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Recent demographic history inferred by high-resolution analysis of linkage disequilibrium

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27 **Abstract**

28 Inferring changes in effective population size (N_e) in the recent past is of special interest for
29 conservation of endangered species and for human historiography. Current methods for
30 estimating the very recent historical N_e are unable to detect complex demographic
31 trajectories involving multiple episodes of bottlenecks, drops and expansions. Here we
32 develop a theoretical and computational framework to infer with high resolution the
33 demographic history of a population within the past 100 generations from the observed
34 spectrum of linkage disequilibrium (LD) of pairs of loci over a wide range of
35 recombination rates in a sample of contemporary individuals. The contributions of all of the
36 previous generations to the observed LD are individually included in our model, and a
37 genetic algorithm is used to search for the sequence of historical N_e values that best
38 explains the observed LD. The method can be applied to samples of fewer than 10
39 individuals using various types of genotyping and DNA sequencing data: haploid, diploid
40 with phased or unphased genotypes and pseudo-haploid data from low-coverage
41 sequencing. The method was tested by computer simulation for sensitivity to genotyping
42 errors, temporal heterogeneity of samples, population admixture and structural division into
43 subpopulations, showing a high tolerance to deviations from the assumptions of the model.
44 Computer simulations also show that the proposed method outperforms other leading
45 approaches when the inference concerns recent timeframes. Analysis of a variety of human
46 and animal populations gave results in agreement with previous estimations by other
47 methods or with records of historical events.

48

49 Introduction

50 Several models and sophisticated mathematical tools have been developed to extract
51 demographic information from the growing amount of genomic data. These models focus
52 on different aspects of the genetic variability generated by mutation and recombination.
53 When recombination is not considered, the only free parameter is the mutation rate, which
54 becomes the metronome of the coalescence process (Hudson 1990). Because mutations
55 accumulate slowly, these models are suitable for estimating the effective population size
56 (N_e) from very ancient times (Atkinson et al. 2008) with the limit given by the coalescence
57 time of all the sequences in the sample. The inclusion of recombination reflects better the
58 reality of nuclear genomes and improves the estimations of past N_e not only for more recent
59 times but also for distant times as several genome sequences can be considered in the same
60 analysis (Li and Durbin 2011; Palacios et al. 2015; Terhorst et al. 2017; Schiffels and
61 Durbin 2014; Speidel et al. 2019). However, the role of mutation remains central in the
62 estimation of the lengths of genealogy branches and the impact of recombination is
63 restricted to a small genomic scale. With fairly accurate estimates of N_e in the ancient past
64 of several thousands of generations, these methods are not expected to provide good
65 estimations for very recent timeframes.

66 Models based exclusively on the theory of linkage disequilibrium (LD) between loci
67 measure the time by the rate of occurrence of recombination events, which typically can
68 take values much larger than mutation rates when loci are distant. Thus, the occurrence of
69 mutations becomes irrelevant and the inference of population sizes from LD concerns
70 essentially the recent demographic history, which is key to understand the current genetic
71 composition of small populations. To some extent, the structure of LD of a population can
72 be described by the distribution of lengths of identity by descent (IBD) segments and, from
73 it, the recent demography can be inferred by the principle that longer segments shared by
74 individuals correspond to more recent common ancestors (Hayes et al. 2003; Palamara et
75 al. 2012; Browning and Browning 2015). However, only long IBD segments, which are
76 infrequent in small samples from large populations, can be reliably identified. Thus, large
77 samples of phased genotypes are usually needed in order to reach some resolution for a
78 general trend.

79 A simplified representation of the structure of LD is given by the correlation
80 between alleles of pairs of loci (Sved and Hill 2018). Two locus statistics provide
81 additional power over one locus statistics in recovering past demography (Ragsdale and
82 Gutenkunst 2017). This basic theory has proven to be useful for estimating the current N_e of
83 small populations from LD between unlinked loci (Waples 2006; Sved et al. 2013; Waples
84 and Do 2008; Wang et al. 2016) and has also been extended to infer changes in N_e in the
85 recent past from LD between linked loci (Hayes et al. 2003; Tenesa et al. 2007; Qanbari et
86 al. 2010; Corbin et al. 2012; Mörseburg et al. 2016). The fundamental idea is that LD
87 between pairs of SNPs at different genetic distances provides differential information on N_e
88 at different time points in the past.

89 Several methods assume that the expected LD between loci at a particular
90 recombination rate is the result of genetic drift at a particular generation (Barbato et al.
91 2015; Mezzavilla and Ghirrotto 2015; Hollenbeck et al. 2016). By assuming that the
92 observed LD between loci pairs at a genetic distance $1/(2t)$ Morgans reflects the N_e value t
93 generations back in time, they are able to estimate general trends with slow increases or
94 decreases in population size, which is a remarkable achievement for a rather simplistic
95 approach. However, although LD for closely linked loci depends more strongly on genetic
96 drift occurred far in the past than LD for loosely linked loci, the magnitude of LD between
97 loci at any given genetic distance is the result of the cumulative effects of genetic drift
98 (determined by N_e^{-1} , which generates LD) and recombination (determined by genetic
99 distance, which reduces LD) occurred over all the previous generations.

100 Here, we derive equations for the expected contributions of each of the past
101 generations to the LD of pairs of loci separated by a particular genetic distance. We also
102 develop corrections for the sampling effects (i.e. LD due to finite sample size), covering the
103 most general types of SNP data from both genotyping and DNA sequencing: diploid
104 unphased genotypes, diploid phased genotypes and pseudo-haploid genotypes of low-
105 coverage genomes usually resulting from sequencing ancient DNA (Haak et al. 2015).
106 Based on the principle that the observed LD for different genetic distances provides
107 differential information of past N_e at different generations, we develop an iterative
108 optimization approach (GONE; Genetic Optimization for N_e Estimation) to infer the recent
109 demographic history of a population from SNP data of a small sample of contemporary

110 individuals. The method is validated by simulation under different demographic scenarios,
 111 and is compared with the previous leading methods, MSMC (Schiffels and Durbin 2014),
 112 Relate (Speidel et al. 2019) and the algorithms used by previous LD-temporal N_e methods,
 113 such as SNeP (Barbato et al. 2015), NeON (Mezzavilla and Ghirotto 2015) or LinkNe
 114 (Hollenbeck et al. 2016). We next inferred the historic population sizes from a number of
 115 real datasets from animal and human populations.

116

117 Results

118 Theoretical developments

119 We derived the expectations for the squared covariance between the alleles of a given pair
 120 of loci (D^2) and the product of their two genetic variances (W), such that the linkage
 121 disequilibrium (LD) between the loci is measured by the standardized quantity $\delta^2 =$
 122 $E[D^2]/E[W]$ (Ohta and Kimura 1969) (see Supplementary File).

123 **Constant effective population size:** When population size is kept constant over generations,
 124 the expected values $E[D^2]$ and $E[W]$ in consecutive generations can be obtained by
 125 considering a third statistic $E[D(1 - 2p)(1 - 2q)]$, where p and q are the allele frequencies at
 126 both loci (Hill and Robertson 1968; Hill 1975). This third statistic is equivalent to the
 127 moment of order (2,2)th that we approximate in terms of D^2 and W by assuming that most
 128 of the new LD produced at any generation is built by drift acting on old variation (see
 129 Supplementary File).

130 At equilibrium, after many generations with constant effective population size N_e ,
 131 constant mutation rate and recombination rate c , δ^2 can be predicted by N_e and c as

$$132 \delta_c^2 = \frac{1+c^2+N_e^{-1}}{2N_e(1-(1-c)^2)+2.2(1-c)^2} . \quad (1)$$

133

134 Note that δ^2 is, in fact, the squared correlation coefficient $r^2 = D^2/W$ (Hill and Robertson
 135 1968; Rogers 2014) weighted by the product of variances, *i.e.* $\delta^2 = E[r^2W]/E[W]$. Under
 136 simplified assumptions (negligible c^2 and N_e^{-1}), equation (1) is close to the classical Sved's
 137 (1971) approximation, $r^2 \approx 1 / [4N_e c + 2]$, for the case of unknown phase. Equation (1) is
 138 valid for the whole range of c values. For independent loci ($c = 1/2$), neglecting the term N_e^{-1}
 139 ¹, equation (1) is simplified to $5/(6N_e)$. Likewise, the corresponding equation for haploid
 140 genomes (Eqn. S2 in Supplementary File) reduces to $2/(3N_e)$. The quantitative difference

141 between δ^2 and r^2 has been considered typically small, particularly for intermediate allele
 142 frequencies. However, important biases in the estimation of N_e could be found if r^2 instead
 143 of δ^2 is used (Supplementary Fig. S1).

144 In practice, sampling could also generate LD (equivalent to one extra-generation of
 145 recombination and drift) and thus its effects need to be corrected to obtain the population
 146 estimate of δ^2 . Approximate corrections for several data types (haploids, phased diploids,
 147 unphased diploids and pseudo-haploid genomes) are given in the Supplementary File.

148 **Variable effective population size:** When population size changes with time, the
 149 above equation for δ^2 does not hold and the historical series of N_e cannot be inferred from a
 150 single δ^2 value. For a particular recombination rate (c), the expectation of the current D_c^2
 151 can be expressed as

$$152 \quad E[D_c^2] \approx \sum_{g=0}^{\infty} (C_g \cdot 2N_g\mu) ,$$

153
 154 where C_g (Supplementary File) is the contribution to the current squared covariance of a
 155 single mutation occurred at generation g back in time and the term $2N_g\mu$ is the number of
 156 new mutations at that generation, N_g being the effective population size at generation g and
 157 μ the mutation frequency that is assumed to be constant across loci and generations.

158 In the same way, $E[W_c]$ can be expressed as (Supplementary File):

$$159 \quad E[W_c] = \sum_{g=0}^{\infty} (w_g \cdot 2N_g\mu) \approx \mu \sum_{g=0}^{\infty} \left[V_x \cdot \prod_{i=0}^{g-1} \left(1 - \frac{1}{N_i} \right) \right] ,$$

160 where w_g is the contribution to the current product of variances from a mutation occurred at
 161 generation g , and V_x is the background neutral variance. The product of the sequence of
 162 terms with negative upper bound equals 1. Note that the expression in the right-hand side
 163 shows the decline in genetic variation by genetic drift. The ratio of expectations $E[D_c^2]$ and
 164 $E[W_c]$ for a particular recombination value c becomes independent of μ ,

$$165 \quad \delta_c^2 = \frac{E[D_c^2]}{E[W_c]} = \frac{\sum_{g=0}^{\infty} (C_g \cdot 2N_g)}{\sum_{g=0}^{\infty} \left[V_x \cdot \prod_{i=0}^{g-1} \left(1 - \frac{1}{N_i} \right) \right]} .$$

166
 167 An estimate of the temporal series of N_g values can be obtained from the observed
 168 δ_c^2 values for pairs of markers with different recombination rates c . Consequently we
 169 developed a genetic algorithm implemented into a computer program (GONE) to search for
 170 the temporal N_g values that minimize the sum of squares of the difference between the

171 expected (calculated above) and observed δ_c^2 values (see Methods). Supplementary Fig. S2
172 shows the close agreement between the observed and optimized values of δ_c^2 for different
173 demographic scenarios.

174

175 **Simulation results**

176 Over 10^8 replicates were simulated for each combination of recombination rate and
177 population size in order to check the accuracy of the predictions of δ^2 for constant
178 population sizes for diploids (Eqn. 1) and haploids (Eqn. S2 in Supplementary File).
179 Predictions resulted to be very close to simulations over the whole range of recombination
180 rates (Supplementary Table S1). They are accurate even at the two boundaries of the range
181 of recombination rates $c = 0.5$ and $c = 0$, where the true δ^2 value used to be controversial.
182 Moreover, δ^2 marginally increases when N decreases in both predictions and simulations at
183 both c bounds. The table also shows predictions by other methods.

184 We evaluated GONE for the ability to infer the true historic series of N_e values of
185 simulated populations. Inferences were carried out from LD data between loci with
186 recombination frequencies from 0.001 to 0.5. Several profiles of changes in population size
187 were simulated, and the resulting genetic data were analyzed by GONE in comparisons
188 with three of the leading methods, MSMC (Schiffels and Durbin 2014), Relate (Speidel et
189 al. 2019), and the algorithms used by the previous LD-temporal N_e methods (such as SNeP,
190 NeON or LinkNe). The results are shown in Figure 1 for a representative sample of
191 demographic scenarios. Within the range of the most recent 200 generations, GONE
192 outperforms any of the other methods, which are, at most, able to detect a general trend for
193 both phased and unphased data. The previous LD-temporal N_e approach, which is a simple
194 method based on bi-locus LD, performs fairly well when compared with Relate and
195 MSMC, particularly for unphased data. Relate is prone to large deviations in recent
196 generations, which suggests that coalescence methods are better suited for ancient N_e
197 estimations.

198 Figure 2 illustrates different characteristics of the estimations by GONE. First, the
199 accuracy of the estimations decreases with time: Ancient demographic changes, like a
200 bottleneck at generation 140 in the figure (panel B), are detected with lower precision than
201 recent ones (panel A). Second, overlapping generations causes some underestimations in

202 the recent generations estimates and a wildly series of estimates in latter generations (Panel
203 C). Third, the inferences from synthetic populations created by mixing of several
204 populations in past times do not show distortions in N_e estimations from the time of mixing
205 to present (panel D). Fourth, no distortion or bias occurs when the analysis deals with
206 metapopulations structured according to the standard island model, and the migration rate
207 between subpopulations is low without extinctions (panel E). The estimates correspond to
208 the total size of the metapopulation, in agreement with the expected effective population
209 size from the classical N_e theory. However, there are substantial biases in the estimates for
210 recent generations when the migration rate is high (panel F). Fifth, base calling errors do
211 not affect estimates in a significant way if they are not larger than 1%, which is a
212 reasonable assumption for data from common commercial genotyping and sequencing
213 platforms (panel G). Other methods need high quality sequences or the application of a
214 threshold MAF to eliminate the distortion caused either on genealogies or on correlations
215 between alleles at different loci. Sixth, the sampling of non-contemporary individuals
216 causes a bias in the estimations of the most recent generations (panel H). This scenario
217 assumes that each of the individuals are sampled in each of the last 100 generations. The
218 distortion in these estimates seems to be significant but affecting a time of inference which
219 is smaller (about a quarter) than the length of the sampling period. Finally, the random
220 selection of individuals of a small sample leads to differences in the estimations from
221 different samples, particularly for the most recent generations (panel I). These differences
222 are mitigated if data from distant loci (say $c > 0.05$) are not included in the analysis, leading
223 to more consistent estimations (panel J).

224

225 **Application to real data**

226 We next apply the method to make inferences on the recent demographic changes of
227 several human and animal populations (Figure 3) with large differences in size. In order to
228 reduce the effect of sampling in recent generations observed in simulations, LD data for
229 recombination frequencies larger than 0.05 were excluded from the analysis. Inferences of
230 N_e from a herd of domestic pigs, which was founded from a population of unknown origin
231 and then maintained under controlled mating conditions for 26 generations before
232 sampling, are in agreement with estimates obtained from the observed genealogical

233 information of individuals (Saura et al. 2015) except for generations close to the setup of
234 the population. This deviation is exactly the kind of artifact expected after mixing of
235 different populations as shown by simulations (Fig. 2D).

236 The estimated N_e values in pigs contrast with the large recent N_e values inferred
237 from a sample of 99 individuals from the Finnish population, which has experienced a rapid
238 growth during the last 15 generations. In this case, the data refers to sequencing analysis
239 and a large number of SNPs (more than 9 million) were available. Thus, 20 replicates of
240 estimation were carried out for each of which 50,000 SNPs were randomly sampled per
241 chromosome. The red thick line is the average over replicates and the shadow area gives the
242 interval of confidence obtained from the replicates. These estimations show some
243 differences with a previous study based on the analysis of IBD segments of a much larger
244 sample of 5,402 individuals (Browning and Browning 2015). While the IBD inference
245 assumed a monotonic increase of population size, we detect a reduction in the Finnish
246 population during the middle ages, which could be in fact a result of the admixture of
247 partially differentiated populations in iron age and medieval times (Översti et al. 2019). Our
248 estimations for recent times are clearly under the actual numbers of Finns. This deviation
249 can only be partially explained by the substantial differences between effective sizes (N_e)
250 and census sizes (N) generally observed in natural populations. In general, large sample
251 sizes (n) are needed by GONE to infer large population sizes with some precision (see
252 Methods), particularly for very recent generations, which relates to the difference between
253 the drift signal (proportional to $1/N$) and the magnitude of sampling error (proportional to
254 $1/n$). Additionally, Figure 3 shows that the alternative use of a map with constant
255 recombination rate of 1.2 cM/Mb across the genome (thin continuous line) does not make a
256 big difference in the estimations of demography of the Finnish population.

257 The analyses of salmon samples composed by individuals born between 1985 and
258 1992 from two tributaries of River Dee in Scotland highlights the consistency of the
259 method when applied to replicates. Both estimates are coincident with a drop in population
260 size about 10 generations before sampling. While fine-scale recombination maps were used
261 for pigs and humans, this salmon analysis assumes a constant rate of recombination of 1
262 cM/Mb for the whole genome, which is an approximated average of estimates by several
263 authors (Philips et al. 2009; Lien et al. 2011; Tsai et al. 2016). Salmon genome underwent a

264 recent event of diploidization and several chromosome rearrangements (Lien et al. 2016)
265 and is still polymorphic for some of them. Consequently, there is a lack of continuity
266 between the assumed physical and the estimated genetic maps but, by ignoring large
267 recombination rates (over $c = 0.05$ in this analysis), we avoid most complications due to
268 gaps or lacks of continuity.

269 Analysis of samples of ancient human remains dated between 2,500 and 4,500 years
270 BCE (Olalde et al. 2018) produces N_e estimates between 2,000 and 6,000 individuals from
271 two Scottish samples. The “random draw” method of genotyping of these ancient-DNA
272 samples results in pseudo-haploid genomes (Haak et al. 2015). While other N_e estimators do
273 not perform adequately with this type of data, our method can be straightforwardly
274 modified to accommodate it (Supplementary File). Simulation results accounting for an
275 extended sampling period of 100 generations (Fig. 2H) showed estimation bias for about a
276 quarter of the time of sampling. Therefore, most recent N_e estimations from these samples
277 should be disregarded.

278 Inferences from two samples of Ashkenazi Jews from Eastern and Western Europe
279 (Behar et al. 2010) show similar N_e trajectories with increased deviations for the most
280 distant generations. The strong reduction in N_e inferred around generation 60 is
281 approximately contemporary with the Jewish-Roman wars of the First Century, which are
282 commonly considered to have contributed to the expansion of the Jewish diaspora across
283 Europe, Africa and Asia (Goodman 2004). The large expansion of this ethnic group in
284 recent times (Slatkin 2004) is not observed in our results, which only show a moderate
285 increase. This, again, illustrates the difficulties of the method in detecting large increases of
286 N_e in recent times from very small samples. The analysis of Mizrahim genomes does not
287 show any decline in N_e at generation 60, which is coincident with the fact that these
288 communities were included in the Parthian Empire by that time and were not affected by
289 the Jewish-Roman wars (Goodman 2004). No significant effect of the later expansion of
290 Islam on N_e is observed but a sharp drop in N_e is detected particularly in Caucasian
291 Mizrahims, which is coincident with the repeated invasions of the region between the 13th
292 and 16th centuries (Singer et al. 1906), and a later decline is observed in Mizrahims from
293 Iran and Iraq.

294

295 Discussion

296 Our method is able to infer demographic histories within a hundred generations in the past
297 from both phased and unphased genotypes. These short-term inferences appear to be more
298 accurate than those obtained by current coalescence methods. The mapping of mutations to
299 estimate the length of branches of genealogical trees makes coalescence theory rather more
300 suitable for modeling ancient demography because mutations accumulate very slowly in
301 populations. Consequently, estimations from coalescence methods deviate from the real N_e
302 for recent generations as can be observed for Relate estimations from simulated data (Fig.
303 1). On the contrary, MSMC makes use of the observed changes in heterozygosity across the
304 genome to infer demography, which considers both mutation and recombination events.
305 Although MSMC performs better than Relate, it lacks enough power to resolve recent
306 demographic changes. The reason is probably because few recombination events between
307 consecutive sites are dated in recent times even when eight haplotypes are included in the
308 sample. The inclusion of more haplotypes could improve the recent N_e estimates but the
309 method would probably become computationally intractable.

310 GONE makes use of the information from a wide range of recombination rates,
311 including distant loci for which at least one crossover event is expected in every meiosis.
312 Every new mutation generates a small amount of LD between the mutation site and any
313 other polymorphic site. This LD is expected to increase by genetic drift over consecutive
314 generations at a rate which depends on N_e . At the same time, LD is constantly removed by
315 recombination at a rate which depends on the genetic distance between loci. Thus, the
316 observed LD between distant loci is mainly the result of the recent drift because the effect
317 of old drift is removed by intense recombination in a few generations, whereas LD between
318 closely linked loci is the result of drift generated both recently and remotely in the past
319 (Hayes et al. 2003).

320 Relevant aspects of GONE allow the detection of demographic changes in scenarios
321 where previous LD methods fail. One of them is the use of δ^2 (Ohta and Kimura 1969) to
322 measure LD instead of the generally used Pearson's r . The use of r^2 to infer temporal
323 changes of N_e is problematic, as there are not analytic solutions for its sampling error. This
324 makes difficult to reach accurate predictions of the cumulative effects of drift on LD over
325 generations, particularly when the recombination rate is small. The general approximation

326 by Fisher (1915) for the normal distribution and some related variations (Tenesa et al. 2007)
327 are inaccurate for a bivariate binomial distribution, for which r^2 depends on gene
328 frequencies in an intricate way. On the contrary, δ^2 is the ratio of two statistics whose
329 expectations in consecutive generations can be established. In addition, because δ^2 is a
330 measure of LD weighted by the genetic variances of the involved loci (Rogers 2014), it is
331 much less affected than r^2 by sampling of low frequency variants and by genotyping
332 errors, which usually generate singleton variants in samples. Methods using r^2 (Tenesa et
333 al. 2007; Saura et al. 2015; Mörseburg et al. 2016; etc.) are prone to overestimations of N_e
334 under those circumstances, which are only partially corrected by applying an arbitrary
335 MAF threshold to data (Supplementary Fig. S1). For our method, however, MAF should
336 not be applied *a priori*. In fact, the application of MAF thresholds results in slightly biased
337 estimates of N_e . However, there is one scenario in which MAF thresholds clearly results in
338 improved estimations: when there are sequencing errors. The application of MAF results in
339 acceptable estimates of N_e except when the rate of errors is extremely high (say 10%)
340 (Figure 2G). We have derived accurate and computationally efficient equations to predict
341 the change of δ^2 over consecutive generations. This accuracy is critical because the
342 inference of N_e across time is the result of the comparison of the accumulated contributions
343 of all previous generations to the observed δ^2 values for pairs of loci with different
344 recombination rates. We also derived appropriate corrections for sampling, some of them
345 similar but more accurate than previous developments, and extended them to new sampling
346 methods.

347 Several authors reached solutions for the expected value of δ^2 (Ohta and Kimura
348 1971; Hill 1975; McVean 2002; Weir and Hill 1980). Recently Ragsdale and Gravel (2020)
349 developed a combinatorial method to find estimators of several statistics related with δ^2 ,
350 which were combined with the predictive theory by Hill and Robertson (1968) in order to
351 consider sampling-without-replacement in the genetic transition of a population from one
352 generation to the next one. The resulting predictions of LD at equilibrium when $c = 0.5$ and
353 population size is constant over time, were $\delta^2 = 1/(6N)$ and $\delta^2 = 1/(3N)$ for haploid and
354 diploid populations, respectively. Simulations show that our predictions of δ^2 with constant
355 population size are generally more accurate for the whole range of recombination rates than

356 those predicted by previous theory (Supplementary Table S1). Particularly for $c = 0.5$, our
357 result is $\delta^2 \approx 2/(3N)$ and $5/(6N)$ for diploids and haploids, respectively.

358 As we have explained above, the expected LD for a particular recombination rate is
359 not only a consequence of the N_e at a particular generation. Previous two-loci LD-based
360 methods (Hayes et al. 2003; Tenesa et al. 2007; Barbato et al 2015; Mezzavilla and
361 Ghirotto 2015; Hollenbeck et al. 2016) assume a univocal correspondence between N_e at a
362 particular generation g in the past and the observed LD between pairs of loci with a
363 particular recombination rate $c = 1/(2g)$. This relationship was deduced by Hayes et al.
364 (2003) in the context of the probability that two chromosome segments, which are flanked
365 by two markers with recombination rate c , come from a common ancestor without
366 intervening recombination. As stated by Hayes et al. (2003), this approach would be only
367 valid for constant N_e or a linear increment or decrement of N_e across generations (Hayes et
368 al. 2003). Our method, however, provides a solution for the inference of the historical N_e
369 without any previous assumption on the magnitude or the trend of changes. In addition, the
370 method is quite robust for base-calling errors, deviations for the genetic map and deviations
371 from the assumption of a single unstructured population. Overlapping generations tend to
372 produce underestimations of the recent N_e , as has been reported for the estimations of the
373 current N_e (Waples et al. 2014). Also, while the admixture of differentiated populations
374 distorts the structure of LD, inferences are valid for the derived population up to nearly the
375 generation of admixture.

376 Although all bins for pairs of SNPs at different distances can be used in the
377 estimation procedure, it is advised in practice to ignore those corresponding to the largest
378 recombination frequencies. In fact, the default largest value of c used in our application is
379 0.05. The reason for this is tripled. First, random sampling of few individuals can lead to
380 deviations from the average coancestry of the population (Fig. 2I). The consequences of
381 these deviations on the inference of temporal N_e are larger for large c values than for small
382 ones because genealogies of a finite sample of individuals mix progressively with the
383 population backwards in time. That is, inferences of recent N_e are more affected by
384 sampling than inferences of ancient N_e . These biases are partially corrected by disregarding
385 large values of c (cf. Fig. 2I and 2J). Second, the observed LD for any particular c value
386 does not depend exclusively on the N_e at a particular generation back in time. However,

387 while LD of SNP pairs with $c = 0.5$ depends on the N_e of a few recent generations (say a
388 couple generations back in time), LD of bins with smaller c values depends on the historical
389 N_e values of a wider span of time from past to present, including the recent generations. As
390 the inferences of N_e at different generations are interconnected in this way, biases in the
391 measure of LD of bins with large c values affect more the inference of the whole series of
392 temporal N_e than biases of LD of small c values do. Finally, when populations are strongly
393 geographically structured, the distortion in LD can be very large (Fig. 2F). This effect is
394 relatively similar to the random sampling of a few individuals in a panmictic population.
395 By ignoring bins of large c values, the distortion in the inference of past N_e is mitigated (see
396 Fig. 2F). Nevertheless, our recommendation of considering the largest value c as 0.05 is a
397 compromise solution which can be changed by the user by setting the switch of this option
398 to any other value between 0 and 0.5. For example, for simulation results, where the
399 sampling of individuals is a random sample of the population, the use of the largest c values
400 is justified unless the sample size is very small.

401 Inferences by GONE are restricted to recent changes in N_e , with the highest
402 resolution within a hundred generations before sampling. Drastic demographic changes
403 partially erase the linkage disequilibrium footprint of older events. Therefore, if older
404 changes are relatively small or there are many demographic changes involved in the time
405 period considered, the method will fail to detect them accurately or will only detect the
406 most recent ones. The lack of precision of N_e estimates of ancient events (Fig. 2A vs. 2B)
407 could be a consequence of the fact that ancient N_e estimates rely on a large number of
408 measures of LD of different recombination-rate bins. Thus, cumulative errors are expected
409 to be larger for ancient estimates than for recent ones.

410 To a good approximation, the accuracy of the estimations is proportional to the
411 sample size, to the squared root of the number of pairs of SNPs included in the analysis and
412 to the inverse of the effective population size (see Methods and Supplementary File). That
413 is, halving the sample size can be approximately compensated by doubling the number of
414 SNPs included in the analysis. This is consistent with previous findings related to N_e
415 estimation by the temporal method (Waples 1989). Note, however, that this approximation
416 relies on the assumption that the individuals analysed are a truly random sample from the
417 population. Even so, if the sample size is very small, the accuracy of population parameter

418 estimates cannot be compensated by a larger number of SNPs. As noted by King et al.
419 (2018), with more and more loci the estimates converge on the true parameter values for the
420 pedigree of the sampled individuals, but not necessarily on the pedigree of the population
421 as a whole. For deep coalescent evaluations this is not such a big problem, as all recent
422 pedigrees coalesce to the same ancestral lineages as one moves back in time. However, this
423 is an important issue for recent generations.

424 Here we have introduced a method to infer very recent changes in effective
425 population size from the distribution of LD between pairs of SNPs from chip genotyping or
426 sequencing data. Its temporal space of inference is of particular interest in the survey and
427 assessment of perspectives of endangered populations and could also be a useful
428 historiographic tool to study human demography. It is computationally efficient, accurate
429 and fairly stable against deviations from the assumptions of the model such as genotyping
430 errors, non-random mating, admixture of populations, overlapping generations, and
431 alterations of the genetic map. It is applicable to populations with a wide range of
432 demographic changes and different types of genomic data. In summary, this method
433 facilitates the immediate use of a large amount of genomic information to study the recent
434 demography of populations.

435

436 Methods

437 **Estimation of the historical N_e**

438 In a first step, SNP data files with *map* and *ped* formats are processed by a custom program
439 to calculate linkage disequilibrium (sample d_c^2) for bins of pairs of SNPs with different
440 genetic distances (c). The analysis is made for individual chromosomes, which can be run
441 in parallel on several processors. It has a number of options: (a) the number and length of
442 bins assumed; (b) the use of the observed genetic distances between SNPs, if available in
443 the *map* file, or the use of genetic distances calculated under the assumption of a given
444 number of cM per Mb of sequence; (c) the use of Haldane's or Kosambi's corrections for
445 genetic distances, or none of them; (d) the exclusion or inclusion of SNPs with missing
446 data; (e) the use of phased diploid data, unphased diploid data, or pseudo-haploid data; (f) a
447 predefined maximum number of SNPs to be analyzed per chromosome, taken at random
448 among all available SNPs, and excluding loci with more than two alleles; and (g) the

449 application of a threshold MAF if desired. Values of d_c^2 from all chromosomes are then
450 combined in a single file for estimation of historical series of N_e , although estimates from
451 individual chromosomes can also be performed.

452 A second program (GONE) implements a genetic algorithm (Mitchell 1998) to
453 search for the global optimal solution of the historical N_e series that best fits the observed
454 δ_c^2 values, which are obtained from the d_c^2 values previously calculated by the first
455 program, after correction for sample size. The function to be minimized is the sum of the
456 squared differences between observed and predicted δ_c^2 values for the whole range of
457 recombination rates c considered in the analysis. An output of the program is the series of
458 observed and predicted d_c^2 values over the range of recombination rates and the sum of
459 squares of their differences. In this genetic algorithm, an “individual” is a particular
460 sequence of temporal N_e values for all the previous generations. In order to reduce the
461 complexity of the optimization procedure, the entire time space from 0 (i.e. at the sampling
462 point) to an infinite number of generations in the past is split into consecutive blocks, with
463 the same N_e value for all the generations within each block. In order to generate each initial
464 “individual”, the time space is randomly split into four blocks with a boundary set at
465 generation $1/c_{min}$, where c_{min} is the minimum c value among all pairs of SNPs included in
466 the analysis, and random N_e values are assigned to each block. Thus, 1,000 “individuals”
467 are randomly generated and fitness values are assigned as the inverse of the sum of the
468 squared differences between observed and predicted δ_c^2 values calculated from the set of N_e
469 values of the “individual”. Then, the fittest 100 “individuals” are selected to be parents of
470 the next generation. In order to produce each “individual” of the next generation, two
471 “parents” are randomly selected, “crossovers” (interchange of sections of temporal N_e
472 series) between both “parents” are carried out and “mutations” (changes in the boundaries
473 of blocks and the N_e values of blocks) occur randomly. Each “crossover” introduces a new
474 boundary, but the number of blocks can also be reduced by random “mutations” that merge
475 two consecutive blocks. In this way, a new set of 1,000 “individuals” is generated and
476 selection of parents starts again to produce the next generation. The block from generation
477 $1/c_{min}$ up to infinity will remain without further divisions during the whole optimization.
478 The selective process is repeated for 750 generations and the average N_e series of the best
479 10 “individuals” is considered to be the solution of the optimization process. As this

480 solution could be an “adaptive peak”, that is a local optimal solution, the selective process
481 is repeated a desired number of times (say 40) and the final solution is calculated as the
482 average value of the available solutions, e.g. $40 \times 10 = 400$ “individuals”. The replicated
483 estimations can also be run in parallel using several processors. Thus, GONE provides a
484 solution of consensus or general trend for the demographic history of a population. We
485 have found that this solution is more consistent and repeatable than any particular optimal
486 solution. An example of the fit between optimized values of δ_c^2 and the observed simulated
487 values is given in Supplementary Figure S2.

488 The method does not generate parametric confidence intervals for the estimate.
489 However, if the number of SNPs per chromosome is large, such as occurs with sequencing
490 data or with some large chips, it is possible to make estimation replicates by choosing
491 different sets of SNPs per chromosome with a functionality implemented in the scripts, as
492 mentioned above. This would allow empirical confidence limits to be obtained. An example
493 of this application is shown in Figure 3 for the Finnish population.

494

495 **Simulation programs**

496 To check the accuracy and statistical properties of the new LD based N_e estimation method,
497 simulations were performed with the software SLiM (Messer 2013; Haller et al. 2019), a
498 forward simulator of SNPs, as well as with in-house programs. For most cases, sequences
499 of 250Mb of length were run for 10,000 generations assuming absence of selection under
500 different demographic scenarios (changes in N over generations), such as bottlenecks, drops
501 or expansions of the population within the last 200 generations. Mutation and
502 recombination rates per nucleotide were assumed to be $m = c = 10^{-8}$, which implies 1 Mb =
503 1 cM. At the last generation, a sample of n diploid individuals (20 or 100) without
504 replacement was taken for analysis. We also considered sampling with replacement in some
505 cases to check the corresponding estimations under this sampling scenario. In general, no
506 pruning was made regarding MAF, but some simulations were run by applying $MAF <$
507 0.05 and 0.1 to check the effects of rare alleles. Simulation results were based on 10-100
508 replicates for each scenario. A custom program was used to obtain the *map* and *ped* files
509 needed to start the estimation procedure.

510

511 **Estimation of temporal N_e with other methods**

512 The *map* and *ped* files of a number of simulated scenarios were transformed into the
513 necessary file formats for MSMC (Schiffels and Durbin 2014) and Relate (Speidel et al.
514 2019) and parameters were set to the default options. Analyses of unphased genotypes were
515 implemented by indicating all the possible phasing modes in MSMC and by randomization
516 of pairs of allele copies of the same individual in Relate. Likewise, the d_c^2 values obtained
517 in the simulations were analysed by assuming the approach of previous estimator of
518 temporal N_e with LD (Tenesa et al. 2007; Barbato et al 2015; Mezzavilla and Ghirotto
519 2015; Hollenbeck et al. 2016) with the corresponding corrections for phased and unphased
520 genotypes.

521

522 **Sample size estimation**

523 By assuming some simplifications (Supplementary File), it can be shown that the power of
524 detecting fluctuations in N_e is roughly proportional to:

525
$$G = \frac{n \cdot \sqrt{\vartheta}}{N_e},$$

526 where n is the sample size and ϑ is the number of loci pairs included in the analysis. As a
527 general rule for experiments in which the range of c values varies from 0.5 to 0.001, good
528 estimations of effective population sizes are obtained when $G > 100$ and very poor
529 estimations are obtained when $G < 10$.

530

531 **Generation time**

532 In order to compare inferences of N_e with references to historical events, generation time
533 was set to 30 years for humans (Fenner 2005).

534

535 **Relationship between physical and recombination maps**

536 A genetic map in centi-Morgans (cM) and a map function are needed to estimate the
537 recombination frequency c between any pair of loci from their physical positions in the
538 genome. A fine-scale recombination map was used for humans (Myers et al. 2005) and an
539 inferred map from data by Tortereau et al. (2012) was used for pigs.

540 There is not a consensus on physical and genetic maps to date for salmon, probably
541 due to the complexity of the chromosome rearrangements in this species. We used the
542 salmon reference genome assembly ICSASG_v2 (Lien et al. 2011) to assign locations to
543 SNPs and considered a constant ratio of 1 cM/Mb between genetic and physical maps,
544 which is an approximate average over several studies (Philips et al. 2009; Lien et al. 2011;
545 Tsai et al. 2016). Tsai et al. (2016) showed the lack of continuity between the assumed
546 physical and the estimated genetic maps, particularly for some chromosomes, with gaps of
547 up to 150 cM. However, by ignoring recombination rates over 0.05 (with the option *-hc*
548 0.05) we avoided most complications due to gaps or lacks of continuity in the genome.
549 Note that, at 1cM/Mb, a recombination rate of 0.05 corresponds to 5.3Mb assuming
550 Haldane's function. Using SNPs closer than this distance makes improbable to have a
551 significant representation of SNP pairs at different sides of a gap.

552

553 **Samples**

554 The different sample sizes of individuals analyzed (n) and the number of SNPs (N_{SNP})
555 analyzed in the estimations are as follows. Guadyerbas population of Iberian pig (Saura et
556 al. 2015) ($n = 219$; $N_{SNP} = 19,144$), Finnish population (1000 Genomes Project Consortium)
557 ($n = 99$; $N_{SNP} = 1,100,000$), Salmon from River Dee ($n = 16$ for each population; $N_{SNP} =$
558 104,354), Neolithic West Scotland (Olalde et al. 2018) ($n = 17$ [10.8], where the number in
559 brackets refers to the actual sample size disregarding missing genotyping data; $N_{SNP} =$
560 552,191), Neolithic North Scotland (Olalde et al. 2018) ($n = 21$ [14.8]; $N_{SNP} = 594,385$),
561 Ashkenazi East (Behar et al. 2010) ($n = 9$; $N_{SNP} = 478,394$), Ashkenazi West (Behar et al.
562 2010) ($n = 9$; $N_{SNP} = 477,884$), Mizrahi caucasus (Behar et al. 2010) ($n = 12$; $N_{SNP} =$
563 486,075), Mizrahi Iran & Iraq (Behar et al. 2010) ($n = 15$; $N_{SNP} = 485,199$).

564

565 **Supplementary Material**

566 Supplementary data are available at Molecular Biology and Evolution online.

567 Program codes, binaries for Linux and Mac, and the scripts necessary to apply the method
568 are available at github address XXXXXXXXXX.

569 (Only for reviewing purposes temporarily at Dropbox address:

570 <https://www.dropbox.com/sh/pyvhfjxkia06qz2/AADUH2nwNFk3RtavjWzI4QVRa?dl=0>).

571

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586

587 Author Contributions

588 E.S. and A.C. conceived the work and wrote the article. E.S. developed the theory and the
589 computational solution. A.C. designed the structure of data and the analysis. I.N. compared
590 methods. A.F.P. contributed human data and investigations. M.S. contributed animal data and
591 analysis. J.W. provided intellectual input.

592

593

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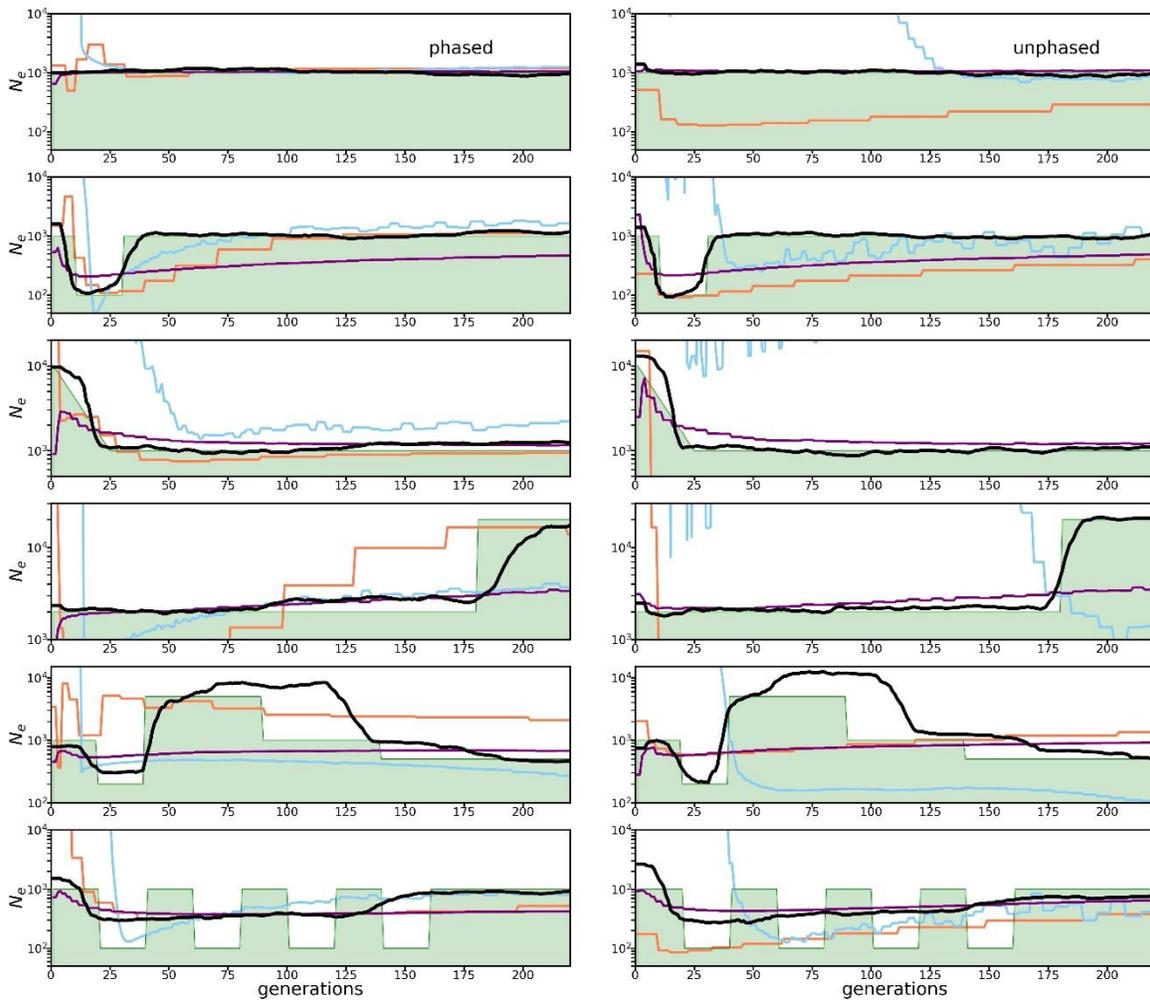
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725 **Figure 1.**

726 Estimates of temporal N_e of simulated populations from phased (left) and unphased (right)
727 data under different demographic scenarios from present (generation 0) to 220 generations
728 in the past. The green area is the true (simulated) population size. The black, red, blue and
729 purple lines are respectively estimations by GONE, MSMC, Relate and LinkNe software.
730 Samples were composed of 4 diploid individuals (8 haplotypes) for MSMC and 20 diploid
731 individuals for the other methods. The total number of SNPs involved in the estimations
732 ranged between 255,000 and 450,000 depending on the scenarios. No MAF threshold was
733 applied to the data.

734



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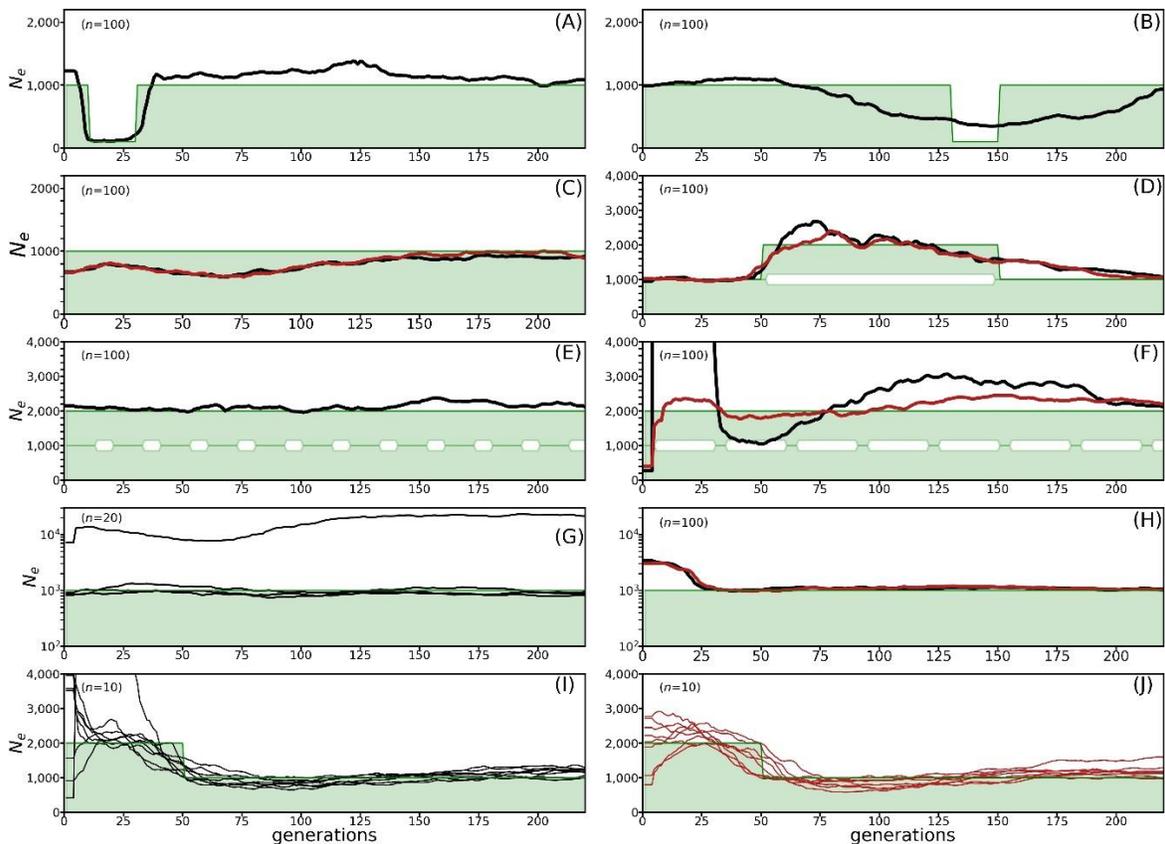
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740 **Figure 2.**

741 Estimates of temporal N_e by GONE (red line) under different simulated demographic
 742 scenarios from present (generation 0) to 220 generations in the past. The true population
 743 size is the green shadowed area and n is the sample size of individuals for analysis. For all
 744 panels, the black lines refer to an analysis where all recombination bins from $c = 0.001$ up
 745 to $c = 0.5$ are considered (option $hc = 0.5$), whereas the red lines refer to analyses with rate
 746 bins from $c = 0.001$ up to only 0.05 ($hc = 0.05$). **(A)** and **(B)**: Detection of bottlenecks
 747 occurring at different times. **(C)**: Scenario with overlapping generations with three cohorts
 748 per generation and mixed-cohort sampling. **(D)**: A population $N_e = 1000$ was divided into
 749 two populations $N_e = 1000$ each, which were isolated for 100 generations and then mixed
 750 50 generations ago into a single population with $N_e = 1000$. **(E)** and **(F)**: Metapopulation
 751 composed of two subpopulations $N_e = 1000$ each with 2% and 0.2% of migration,
 752 respectively, between them. **(G)**: Estimations under different base-calling error rates. From
 753 top to bottom, 10%, 1%, 0.1% and 0%, the latter two being indistinguishable. **(H)**: A
 754 hundred individuals were sampled from the population over a period of 100 consecutive
 755 generations at a rate of one sampled individual per generation. **(I)** and **(J)**: Eight small
 756 samples ($n = 10$ each) were taken from the same population at the same time.
 757

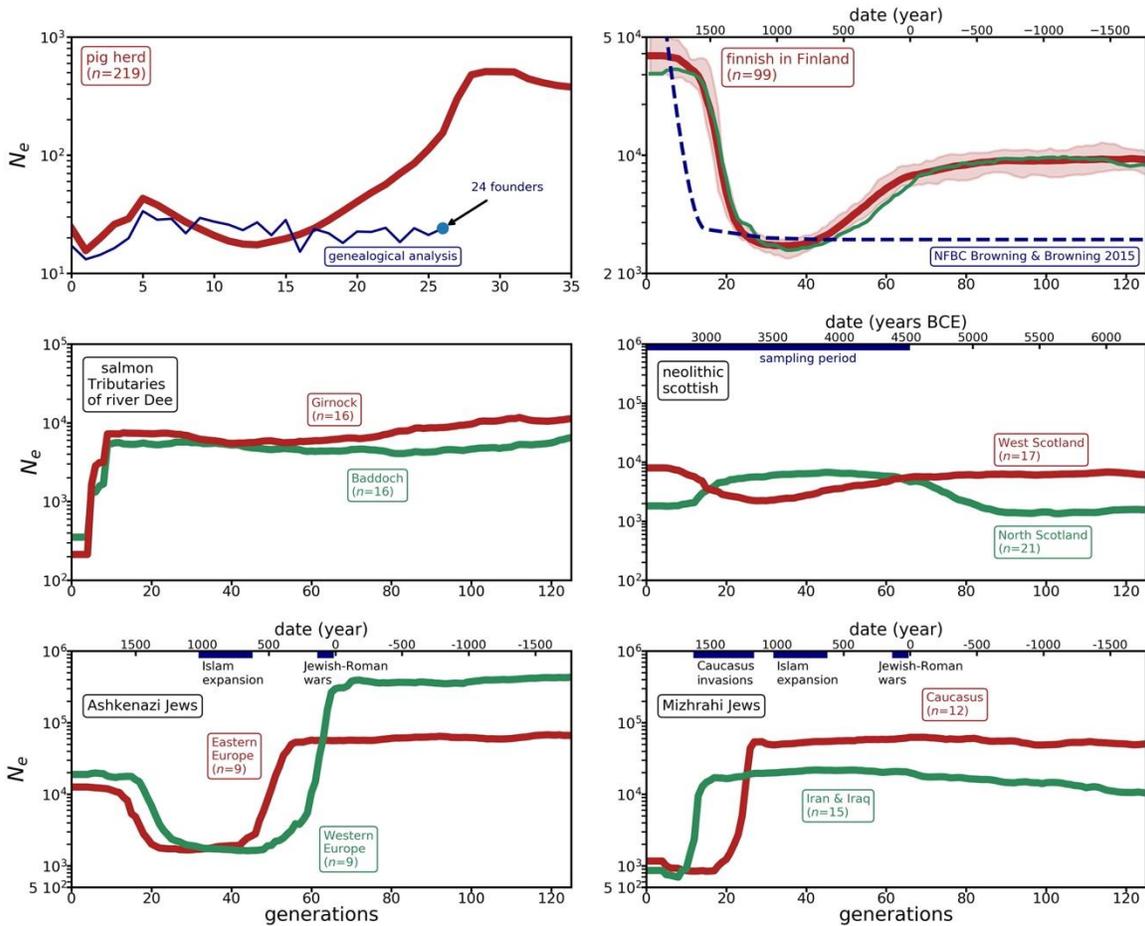


758

759

760 **Figure 3.**

761 Estimates of temporal N_e of real populations with different sample sizes (n). **PIGS:**
 762 Guadyerbas population of Iberian pigs. The thin blue line is the estimate of N_e using the
 763 individual contributions from genealogical data (Saura et al. 2015). **FINNISH:** Estimates
 764 of Finnish human population. The shadow area gives the confidence interval of the
 765 estimates obtained by running 20 replicates, each one corresponding to a random sample of
 766 50,000 SNPs for each chromosome. The thin broken blue line is the estimation obtained by
 767 Browning and Browning (2015) for a Northern Finnish NFBC sample of 5,402 individuals.
 768 The thin green line is the estimate of N_e assuming a constant recombination rate of 1.2 cM
 769 per Mb. **SALMON DEE:** Atlantic salmon of two tributaries of River Dee in Scotland.
 770 **NEOLITHIC:** Two neolithic samples from West and North Scotland, where the sampling
 771 period accounts for about 60 generations. **ASHKENAZI JEWS:** Samples of eastern and
 772 western European populations. **MIZHRAHI JEWS:** Samples from a Caucasus population
 773 and from Iran and Iraq. All estimations assume no MAF threshold and unphased genomes
 774 except for the NEOLITHIC, which involves pseudo-haploid genomes.
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