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4 **Embouchure muscle activity in student and elite trumpeters; a pilot study.**

5

6

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9

10 **Abstract**

11 **Aims.** Objective information on embouchure muscle use in brass players is currently limited.

12 This pilot study records and analyses embouchure muscle activity in trumpet players to

13 identify typical patterns and to reveal how these can differ between playing tasks

14 **Method.** Activity in four embouchure muscles was recorded using surface

15 electromyography in 7 conservatoire trumpet students and 3 elite professional trumpeters.

16 Each played a set of simple exercises, tongued and slurred, including single notes of

17 different pitch, upward and downward transitions between notes a fifth apart, arpeggios

18 and a short musical piece.

19 **Results.** Muscle activity was initiated 0.4-2.0s before the beginning of a note. In some

20 players this was at a higher level than needed to sustain the note, while in others it was not.

21 Levels of activity in all muscles generally increased and decreased together during

22 arpeggios, in line with changing pitch. The sound was terminated by an abrupt fall in muscle

23 activity. In many players, transitions between notes a fifth apart required no change in

24 muscle activity though in others this was marked by a sharp increase or decrease.

25 **Conclusion.** Though levels of muscle activity rose consistently over large pitch ranges, there

26 was considerable variation in the degree to which this occurred over smaller intervals. Even

27 among the three professional players, the embouchure muscle activity showed clear

28 individual patterns, suggesting that high levels of performance can be achieved in different

29 ways. Further investigations will be needed to clarify how embouchure activity changes with
30 proficiency.

31

32 **Introduction**

33

34 In the context of brass performance, the embouchure can be defined as the lip
35 conformation created by action of the facial muscles and supported by the teeth and jaw ¹.

36 The central element is the orbicularis oris, the purse-string muscle surrounding the oral
37 aperture. Muscles that raise and lower the corners of the mouth (levator and depressor
38 anguli oris, zygomaticus and risorius muscles) interdigitate with the orbicularis oris at the
39 modiolus, a fibrous thickening of its lateral regions. The elevators and depressors of the lips
40 (levator labii superioris and depressor labii inferioris muscles) also interlace with orbicularis
41 muscle fibres. Below the central region of the lower lip is the mentalis muscle which
42 contributes to pouting movements. Together these form a muscular framework that can be
43 used to manipulate the tension and shape of the lips ^{1,2}. The muscular wall of the cheek is
44 formed by the buccinator muscle whose fibres merge with orbicularis oris muscle anteriorly.
45 Posteriorly it fuses with the superior constrictor muscle of the pharynx via the
46 pterygomandibular raphe. The pressure generated in the upper part of the airway during
47 brass playing is therefore contained within a continuous muscular tube.

48

49 There have been only a few studies of lip movement within the mouthpiece during brass
50 playing. This varies between individual players depending on playing style (an active
51 component) and level of experience ³ as well due to differences in orofacial structure
52 including the mass of the lips ⁴ (a passive component). There are also differences between
53 instruments linked to the dimensions and shape of the mouthpiece. Studies of a small
54 number of French horn players suggest that there is much greater movement in the upper
55 lip than the lower one in this instrument ³. This has yet to be examined in detail in the
56 trumpet, but stroboscopic video evidence suggests that the difference between upper and
57 lower lip excursion in the trumpet may be less extreme ⁵. However, larger numbers of
58 players of both instruments need to be studied to confirm this. As a result, patterns of
59 embouchure-related muscle activity may vary not only between players of different brass
60 instruments, but also between proficient players of the same instrument.

61

62 Though there have been a number of electromyographic (EMG) studies of activity of the
63 embouchure muscles in brass players, these have been rather unsystematic. Most date from
64 a time before computerization made it straightforward to quantify EMG data. The majority
65 of these studies were on the trumpet ⁶⁻¹³, though more recently normal ^{14,15} and dystonic ¹⁶
66 embouchure muscle activity has been examined in French horn players. The most
67 comprehensive study of embouchure to date is that of Basmajian and White ¹⁰⁻¹² who
68 posed a number of specific questions of relevance to brass teaching and technique through
69 a comparison of muscle recordings from players of different levels of expertise. However,
70 many aspects of the experiments and their analysis were not adequately defined and no
71 actual EMG recordings were shown. In addition, due to the limitations of the technology of
72 the time, levels of EMG activity were only estimated by eye to produce a semi quantitative
73 assessment of activity. The design of the current study is based to some extent on that of
74 Basmajian and White ^{10,11} as the central question they sought to answer is still relevant to
75 brass players; i.e. are there consistent patterns of activity associated with different playing
76 tasks. They also sought to determine if there are particular patterns of embouchure activity that
77 young players should aspire to because they are correlated with high levels of performance. To
78 achieve this aim it is first necessary to collect information from a number of players at different
79 levels to understand the level of variability that can exist between them. We present results from
80 seven student players and three professionals and examine the similarities in the patterns their
81 embouchure muscle activity as well as their individual characteristics.

82

83

84

85

86 **Methods**

87 **Subjects**

88 Seven second-year trumpet conservatoire students at the <BLINDED> College of Music and
89 Drama (<BLINDED>) - entrance requires a playing level of Grade 8 or above) and three
90 professional trumpet players, were offered the opportunity to volunteer as participants in
91 the study. All participants were required to be in good respiratory and physical health for
92 brass playing, and no subjects were excluded on this basis. One elite trumpeter reported

93 complaints of air leakage via the lips. The seven trumpet students had a mean age of $21.8 \pm$
94 1.1 years (range 19-22), and the three professional trumpeters were aged 30, 36 and 48yrs.
95 All of the professionals are elite musicians who play in internationally acclaimed orchestras
96 and ensembles. All experiments were carried out with informed consent and according to
97 the Helsinki declaration, and were approved under the local ethical procedures of the
98 School of <BLINDED> University. The players used their own instruments and mouthpieces.
99

100 **Electromyography (EMG)**

101 Muscle activation was recorded using 9mm diameter electroencephalography (EEG)
102 electrodes, because of their small size and the lightness of their connectors and cables. After
103 the skin was cleaned with alcohol, pairs of silver/silver chloride EEG electrodes were
104 attached approximately 2cm apart, parallel to the estimated direction of the muscle fibres.
105 They were injected with One-Step EEG gel (Unimed, Farnham, UK). The EEG electrodes were
106 attached with double-sided adhesive tape rings. The cables were supported by a headband.
107 It was possible to record from four muscles on each side of the face and still allow
108 unobstructed positioning of the mouthpiece (Fig. 1). Two webcams provided digital video
109 recordings of the front and side of the face that could be replayed in synchrony with the
110 EMG traces. EMG recordings were made from the orbicularis oris muscles in the upper and
111 lower lips (which form the central element of the embouchure), the zygomaticus and the
112 depressor anguli oris muscles. They were chosen because they lie superficially, enabling
113 recording with surface EMG and include the muscles at the core of the embouchure and
114 those outside the lips that regulate their tension and raise or lower the corners of the
115 mouth.

116 Electrode placement was determined using criteria indicated by Lapatki^{17,18}. A ground
117 electrode was placed over the spinous process of the seventh cervical vertebra. EMG signals
118 were passed through CED 1902 amplifiers connected to a CED 1401 A/D converter
119 (Cambridge Electronic Design, Cambridge, UK). They were sampled at 1kHz and displayed
120 and analysed using Spike2 software (Cambridge Electronic Design, Cambridge, UK) which
121 could also replay the synchronised video files. A high band pass filter (90Hz) and a 50Hz
122 notch filter were used to eliminate movement artefacts and electrical interference. The raw
123 EMG traces were processed using a root mean square (RMS) algorithm with a time constant
124 of 100ms. To investigate the possibility of cross-talk, a simple rectification was used to

125 preserve the detailed temporal structure of the signals. Sound was recorded using a Shure
126 C606 microphone placed 1m from the player. The signal was passed through an SP-24B
127 preamplifier (Maplin, Rotherham UK) and then to the CED 1401. Sound could be displayed
128 as a voltage trace (reflecting sound volume) or as a sonogram so that muscle activity could
129 be correlated with the beginning and end of notes and their pitch. Descriptive statistics and
130 paired t tests were carried out using Excel (alpha = 0.05).

131

132 **Musical tasks**

133 The musical tasks were selected to provide unambiguous information on core elements of
134 brass playing and were relative easy to perform in relation to the abilities of the
135 participants. The timing was regulated with a metronome at *crochet* = 60 beats per minute.
136 The playing tasks were a) single notes (*semibreve*) at two pitches (concert middle C and the
137 G above); b) upward and downward intervals between minims a 5th apart, tongued and
138 slurred (C to G or G to C); c) a two octave arpeggio starting on middle C tongued and slurred
139 and finally d) a short piece of music (the theme from Bach's Goldberg Variations, which
140 covered the same range as the arpeggio) played tongued and slurred. All tasks were
141 repeated 3 times with rests between except for the Bach theme which was played once.
142 Players were asked to perform at *mezzo forte* throughout. Some variation in sound intensity
143 during playing of for example the Arpeggios and pieces of music was unavoidable. It was
144 therefore not practical to control for intensity completely. Recordings were also made of
145 maximum voluntary contractions (MVC) from facial grimaces such as pressing the lips
146 together, pouting, drawing the corners of the mouth strongly up and down. Unlike other
147 muscles for which MVC is used, those of facial cannot be held isometric or be performed
148 against a load. Another way of obtaining a standard against which to measure muscle
149 activity is to use the maximum value seen during the experiments ¹⁹. In our study, that
150 always occurred on the top note of the two octave arpeggio which we here call maximum
151 recorded activity (MRA). Except for one muscle in one professional player, this was always
152 considerable greater than MVC. Averaged across all the muscles MRA was 119.5% \pm 20.8%
153 (mean \pm s.d.) of MVC for professionals and 118.5% \pm 14.4% of MVC for students. In the
154 Results section we used MRA as the reference when analysing the data, however this has
155 certain implications for interpretation which we review in the Discussion.

156

157 **Results**

158 Making statistical comparisons between student and professional players was not feasible
159 for a number of reasons. First, the students had had many years of formal instruction
160 (typically around 10) but nevertheless were at quite different stages of technical
161 development. Some students go straight from the conservatoire to leading orchestral
162 positions and so may be close to professional standard while others are less advanced. They
163 are therefore not a homogenous group. Secondly, the three professionals each showed a
164 unique pattern embouchure muscle activity. Thirdly, the sample size was very small.

165

166 **Cross talk between muscle recordings**

167 Because embouchure muscles lie in close proximity, the possibility of cross-talk must be
168 considered. Comparisons of the recordings from the muscles shown in Fig. 2 demonstrate
169 that it is possible to obtain signals with little if any cross-talk.

170

171 **Exercises**

172 **Single notes**

173 The embouchure muscles generally contract rapidly before the onset of the note. For
174 isolated single notes this is typically 0.4-2.0s before the sound is initiated (Fig. 3). During the
175 note, the activity shows small fluctuations around a relatively constant value until it ends as
176 the muscles relax. This termination is often abrupt though how rapidly activity falls may vary
177 from muscle to muscle even in professional players. In the examples show, this is less
178 marked in the muscles of the lower lip and is more obvious in some players than in others.

179

180 **Ascending and descending 5ths.**

181 For this exercise, recordings from three professionals and five of the students are presented
182 to illustrate the pattern and degree of variability there is between embouchure activity in
183 different players. Muscle activity in slurred and tongued intervals between notes a fifth
184 apart was measured by taking the mean during the note after any transient peak that
185 marked note transition (see Figs 4-8). Such transients are seen only in some players and
186 even then, not in all muscles. For the upward 5th from middle C to G, the mean increase in
187 activity across all muscles and averaged across all players was 30.9% from the level of the

188 lower note for the tongued transition and 36% for the slurred transition. A paired t-test
189 comparing the tongued and slurred transitions for the muscles of each player found this to
190 be statistically significant only for Professional 1 ($p=0.03$) and Students 1 ($p=0.03$) and 2
191 ($P<0.001$).

192

193 For the downward fifth, the mean fall in muscle activity from G, to middle C was 24.0% for
194 the tongued transition and 22.8% for the slurred transition. There were no significant
195 differences in EMG patterns between the tonguing and slurring for any player.

196

197 Professionals 1 and 2 show only small differences in mean activity between the two pitches
198 in the upward and downward transitions (Figs. 4 and 6). By contrast, Professional 3 shows a
199 noticeable increase with rising pitch and decrease with falling pitch in the activity of several
200 muscles. However, for the right orbicularis oris of the lower lip the activity instead goes in
201 the opposite direction to the pitch change. Some students (especially Students 2 and 4)
202 show large and abrupt changes in the activity of all muscles with rising and falling pitch (Figs.
203 5 and 7). This is not seen for Student 1, who maintains a very high level of muscle activity
204 throughout all of the exercises for whom it is a feature of personal playing style.

205

206 Fig. 8 compares the changes in muscle activity for the rising and falling 5ths for each player.
207 The total height of each column indicates the change between the first note and the second
208 in the slur. Within the columns the relative contribution of each muscle is indicated. For the
209 rising 5th, the three professionals and four of the students show an increase in activity
210 between the first and second note of around 25%. For three of the students (S2, S4 & S6)
211 this increase is much greater. In one (S4) the increase is around 80% (Fig. 8A). For the falling
212 5th, the same three students show a much greater fall in activity than the other players (Fig.
213 8B).

214

215 For most players, transient pulses of activity at note transitions were either absent or small.
216 However, for Professional 1, these were a feature of playing style and were sometimes
217 present both on ascending and descending intervals (Figs 4, & 6). This was particularly
218 marked in the depressor anguli oris muscle on both sides which appeared to play an

219 important role in this player's control of pitch both here and in other exercises. These pulses
220 were similar whether the exercise was tongued or slurred.

221

222 **Two octave arpeggios**

223 During the two octave arpeggio, activity in all of the muscles rises together with pitch in all
224 players (Fig 9A,B & 10A). The mean level of activity across all muscles for middle C is $36.5\% \pm$
225 5.1% of MRA rising to $94.5\% \pm 2.5\%$ of MRA for the C two octaves above. There is no
226 difference in the mean pattern of activity for upper versus lower lip (orbicularis oris superior
227 and inferior (Fig 10B) or for muscles within the lips (orbicularis oris) compared to those
228 outside the lip (zygomaticus and depressor anguli oris), (Fig. 10C). The data in Fig. 11 show
229 the degree of symmetry of muscle activity on the left and right side of the embouchure for
230 two professional and two student players. The traces from Professional 1 show a high
231 degree of symmetry and also that there is a steeper rise in activity in zygomaticus and
232 depressor anguli oris in the upper octave than for the lip muscles (upper and lower
233 orbicularis oris). Though there are differences in the pattern of muscle activity in the
234 ascending and descending phases of the arpeggio, symmetry is maintained in both phases.
235 Muscle activity in Professional 3 (who reports problems with lip seal on the right side) is
236 largely similar, except that the right zygomaticus is much more active than the left,
237 particularly in the ascending arpeggio (arrow). The two student examples shown have
238 largely symmetrical activation in most muscles except for zygomaticus in Student 6 (arrow).
239 The changes in activity with pitch are also less smooth in the student examples than in those
240 of the professionals.

241

242 Individual variation is again seen in Fig. 9a. The professional shows the typical pattern in
243 which muscle activity rises continuously over two octaves from a mean across all muscles of
244 $39.1\% \pm 5.8$ MRA for middle C. In student 1, mean muscle activity is already $59.2 \pm 10.0\%$ for
245 middle C, so that the increase over the two octaves is much less. The pattern of muscle
246 activity individual players remained consistent when the exercises were repeated. .

247

248 In most players there is little difference in the pattern of muscle activity between slurred
249 and tongued arpeggios. Where there is a difference, this takes the form of more marked
250 fluctuations in activity between notes during tonguing (Fig. 9b).

251

252 **Bach theme**

253 The Bach theme provides a more representative example of embouchure activity during
254 actual performance but, because of the constant changes in pitch direction and note
255 duration, it gives less information on individual elements of technique (Fig 12). The theme
256 has two phrases, the second of which is more demanding because it rises higher in the
257 range. In most players, muscle activity during the first phrase is relatively constant while for
258 the second, the levels more closely match the changes in pitch (e.g. Professional 2 in Fig.
259 12). However, the pattern is different in Professional 1 who, as previously described, made
260 particular use of depressor anguli oris muscle for pitch control in the 5th interval transitions.
261 Similar use of this muscle (but not the others) is made in the relatively low first phrase of
262 the Bach. In the more demanding second phrase, all muscles work in unison; a pattern that
263 is typical of the other players.

264

265 **Discussion**

266

267 **Limitations of Measuring levels of muscle activity.**

268 The levels of the surface EMG recordings cannot on their own be used as an estimate of the
269 absolute force generated by a muscle as the amplitude of the signal is affected by
270 parameters such the conductivity of the skin and subcutaneous fascia, the precise placing of
271 the electrodes over the muscle and how this relates the positioning of the motor end plates
272 ^{20,21}. Motor end plates are scattered in some facial muscles such as orbicularis oris and
273 eccentrically clustered in others, for example in the depressor anguli oris^{17,18} and their small
274 size limits the options for electrodes placement. Maximum voluntary contraction is often
275 used to provide a reference for the level of activity seen during the behaviour under
276 investigation. For MVC, the muscle contractions used are usually isometric and carried out
277 under load. It is not possible to load the muscles when using facial expression as test actions
278 but it has been suggested that contraction of the embouchure muscles during brass playing
279 is essentially isometric ¹⁴. Furthermore, it has been noted that MVC has never been
280 validated as a reliable standard for facial muscles ¹⁵. Even for muscles elsewhere in the
281 body, voluntary effort cannot be completely standardised. The main reason for attempting
282 MVC measurements on embouchure muscles despite these difficulties was as a means of

283 determining whether activity during brass playing was symmetrical. As the facial expressions
284 generally appeared to be symmetrical, it would be expected that the level of muscle
285 activation would also be similar on each side of the face. In professional player P3 maximum
286 activity of the zygomaticus muscle in the arpeggio was 221% of MVC on the right side but
287 only 55% on the left. This could be interpreted either as an indication that the right
288 zygomaticus is much more active than the left at low pitches or, as it increases less for the
289 upper range. However in the other professionals, differences of between 25 - 50% of MVC
290 were seen between individual muscles on the left and right side and similarly large
291 discrepancies were found in all but one student. This either means that asymmetry of
292 activation during playing is almost universal, or that MVC of facial muscles is an unreliable
293 standard. Direct measurements of the force that can be generated by the muscular wall of
294 the cheek (predominantly buccinator) in trumpeters has revealed differences of up to 25%
295 between the left and right side ²². As we were not able to measure muscle force directly, we
296 could not rely on MVC as a marker for absolute levels of activation. We therefore used MRA
297 as a standard and compared the EMG traces of left/right muscle pairs during the exercises
298 to determine whether the pattern of activation (if not the absolute level of activation) was
299 symmetrical.

300

301 Patterns of muscle activity in brass players

302 Few studies have analysed embouchure activity in brass players across a wide range of
303 playing tasks. The most comprehensive is one of the earliest, carried out by White and
304 Basmajian ¹⁰⁻¹² who compared players who were beginners with those who were more
305 advanced. According to their definition however, all of our players are advanced. White and
306 Basmajian used wire electrodes implanted into four embouchure muscles. These were the
307 orbicularis oris of the upper and lower lip (superior and inferior), and the levator and
308 depressor anguli oris muscles. Other studies of embouchure function did not record from
309 orbicularis oris but only from muscles outside the lips ^{6,7,13-15}, though of these only Heuser
310 and McNitt-Gray recorded from the same muscles on both sides. Unfortunately, only a few
311 of the large number of tests used by White and Basmajian were described and no raw data
312 was presented. The number and level of experience of the subjects was similar to our group
313 except that it also included 5 school students and a non-music sophomore. This allowed the
314 subjects to be divided into two clear groups based on level of experience for comparison.

315 Due to the limitations of the technology available at the time, the recordings were in the
316 form of analogue signals recorded on tape and not digitised waveforms as is current
317 practice, which facilitates quantitative analysis. EMG activity levels were estimated visually
318 on a four point scale to allow some statistical analysis. Comparisons were made between
319 muscles with no reference to any absolute standard so some conclusions are open to
320 debate, however we will make comparisons with the results of this study as far as is
321 possible.

322

323 Recordings of single notes played by trumpet and French horn players in other studies show
324 a brief period of muscle activity before note onset, then a relatively constant phase during
325 the note before activity abruptly falls in all muscles at its end ^{6,7,14,15}. This was true of our
326 data though we found considerable variation in the duration and amplitude of activity
327 immediately prior to the note. In line with White and Basmajian ¹⁰ we found that the
328 majority of their players had their own distinctive pattern: e.g. for eight out of ten
329 trumpeters the amplitude of pre-note activity closely matches that of the note itself but for
330 two (S2 and P1) it did not.

331 Several studies relate that embouchure muscle activity increases with both pitch and
332 volume in the trumpet ^{10,11,13}. The same is reported for the French horn though the data
333 suggests that for pitch, activity in some muscles may increase more than others ¹⁴. The
334 smallest increase was for the horn players was in the levator labii superioris and
335 zygomaticus muscles and greatest in depressor anguli oris and depressor labii inferioris.
336 There is no equivalent published data for the trumpet, but our results indicate that though
337 mean activity over two octaves rises similarly in all muscles, for any individual player the
338 pattern can vary between muscles.

339

340 White and Basmajian ¹⁰⁻¹¹ proposed that in advanced players there was greater activity in
341 muscles outside the lips (in their case, levator and depressor anguli oris) than within them
342 (orbicularis oris). They also noted that the level of activity in the upper and lower lips of
343 advanced players was more similar than in their beginners group. These conclusions rest on
344 the assumption that the absolute amplitude of the EMG signal reflects the degree of muscle
345 contraction and can be compared between muscles. There is some evidence that, the
346 relative size of the EMG signal is related to the degree of muscle contraction over most of

347 the range²³. However in order to compare levels of activity between muscles- this must be
348 measured against some objective or semi-objective standard such as MVC or MRA. White
349 and Basmajian did not do this. Furthermore any comparison will be affected by the relative
350 strengths of the individual muscles which would be extremely difficult to determine. We
351 therefore did not try to make such comparisons but looked at patterns of muscle activity
352 using MRA as a reference. We found that over the range of the two octave arpeggio the
353 mean incremental rise in activity for muscles inside and outside the lip were almost identical
354 as were those in the upper and lower lip.

355

356 White and Basmajian^{10,11} were interested in the relative activity of the muscles inside and
357 outside the lip for two reasons. First, one concept that is prevalent among brass pedagogues
358 such as Farkas²⁴, is that lip tension is controlled by a dynamic interaction between the
359 muscles inside and outside the lip. Across our group of subjects, we found mean activity in
360 all of the recorded muscles rises in parallel with pitch. This supports Farkas's contention,
361 with the proviso that in individual players there may be differences between muscle groups
362 with respect to where in the pitch range this increase is greatest. Secondly, there is a belief
363 among some brass players that depressor anguli oris may play a particularly important role
364 in the control of lip tension²⁵. Observations on French horn players would be consistent
365 with this idea¹⁴. However, like White and Basmajian¹⁰ we found no evidence for this except
366 for Professional 1, who did appear to use it to set the embouchure during pitch changes.
367 This does not necessarily indicate that the corners of the mouth are not held down by our
368 other subjects, only that the balance between the depressor anguli oris and zygomaticus
369 muscles was being maintained with changing pitch.

370

371 White and Basmajian¹⁰⁻¹¹ report that their advanced players show a smaller range of
372 variation in small interval lip slurs than the beginner group and equate this with pedagogical
373 practice of keeping the embouchure still during pitch changes. They do not stipulate the size
374 of the interval; neither do they indicate whether they examined transient activity at note
375 transitions as well as comparing the levels underlying each of the two notes. Over tongued
376 and slurred intervals of a 5th we found a mean increase in activity in the rising interval of 30-
377 35% and a reduction with falling pitch of 22-24%. However, this was much larger in three of
378 the students. Two of these were judged to be among the least experienced which would be

379 consistent with the conclusions of White and Basmajian¹⁰⁻¹¹. However this would not
380 necessarily indicate greater movement of the embouchure if the activity changes in all
381 embouchure muscles was balanced. We also looked at the smaller interval of a single tone
382 (both tongued or slurred) and found virtually no change in muscle activity and only
383 occasional small transients at the transition points. One notable difference between the
384 professionals and the students was that when playing long notes, muscle activity in the
385 professionals was very even whereas it tended to fluctuate up and down in the students
386 (compare Figs. 4 and 6 with Figs. 5 and 7).

387

388 Individual variations between players

389 We have alluded in several places to the distinctive patterns of muscle activity characteristic
390 of individual players. Variability in the amount of movement of the face during playing and
391 the necessity to use different strategies depending on the structure of the face and lips has
392 been noted by several brass pedagogues^{26,27}. The three professional players in this study
393 had quite different strategies (summarised in Table 1). Professional 1 plays primarily with a
394 full symphony orchestra and had a sharp pinging attack and made strong use of depressor
395 anguli oris muscle. Professional 2, who performs mainly on the cornet with renowned brass
396 bands, had a more gentle attack and a similar pattern of activity in all of the recorded
397 muscles. Professional 3 who performs with chamber orchestras and small ensembles, also
398 played with a gentle attack. This player exhibited a clear left/right asymmetry in muscle
399 engagement. Atypical activity was seen particularly in the right zygomaticus in the arpeggio
400 task (Fig. 11), while the right orbicularis oris of the upper lip shows anomalous changes in
401 activity during intervals of a 5th in the opposite direction to the pitch change (Figs. 4 & 6). All
402 three professionals are elite level players so their strategies are clearly effective for them.

403

404

405 Among the students there is also a marked degree of variation between players though for
406 this group it is necessary to consider the extent to which this may related to the level of
407 technical development. Student 1 uses a much higher level of muscle activity (as expressed
408 as a percentage of MRA) than all other players even for low notes. One consequence of this
409 may be that changes in embouchure activity contribute less to pitch control pitch than in
410 other players. Other mechanisms such as manipulation of air speed must therefore play a

411 more significant role^{1,28}. In the other students the most obvious differences were the size of
412 activity changes over intervals of a 5th and the presence of prominent transient bursts of
413 activity at the beginning of notes. While it is possible that these features are more common
414 in less developed trumpet players, it would be premature to reach a definitive conclusion
415 without studying a larger cohort of players. Furthermore, though there will be problems
416 with embouchure use that need to be corrected, it is unlikely that there is a single ideal
417 embouchure for all trumpet players. Differences in the shape or fullness of the lips and the
418 structure of the face will all be critical factors. This could form the basis of future studies.
419 When entering College, our student brass students are allocated an instrumental tutor from
420 among local professional players. Care is taken to match them with tutors who have a similar
421 playing style at least in the first instance so that their natural style can be developed. One
422 factor underlying this may be their pattern of embouchure activity.

423

424 Conclusions

425 Though several studies of embouchure muscle activity in trumpet and french horn players
426 have been carried out over the last 40 years, few have presented detailed systematic
427 information on the pattern of muscle use in different players carrying out a standard series
428 of playing tasks. Our results show that while there are features common to most players e.g.
429 an increase in activity in all of the muscles recorded with increasing pitch, there is also
430 considerable variation not only within groups of students but also between professionals.
431 While our preliminary results suggest that some features of embouchure activity may be
432 related to playing proficiency, this needs further investigation with larger groups of players.
433 The differences between professional players indicates that there is no single pattern of
434 embouchure that is indicative of elite performance. The reasons for this are currently
435 unclear but may include the way players have been taught, the style of playing they most
436 engage in, and their facial structure. Further studies will be required to clarify the relative
437 importance of these different factors which may have particular significance for how young
438 players are taught.

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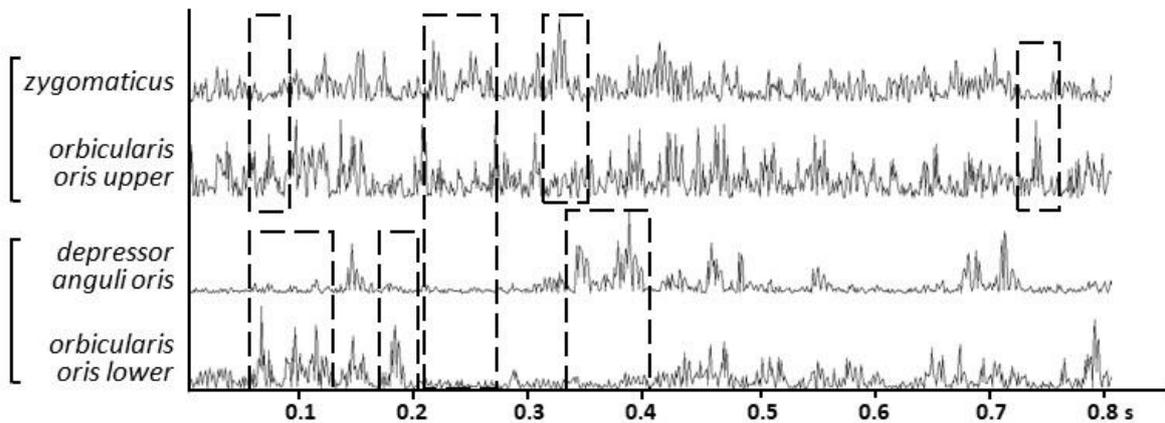
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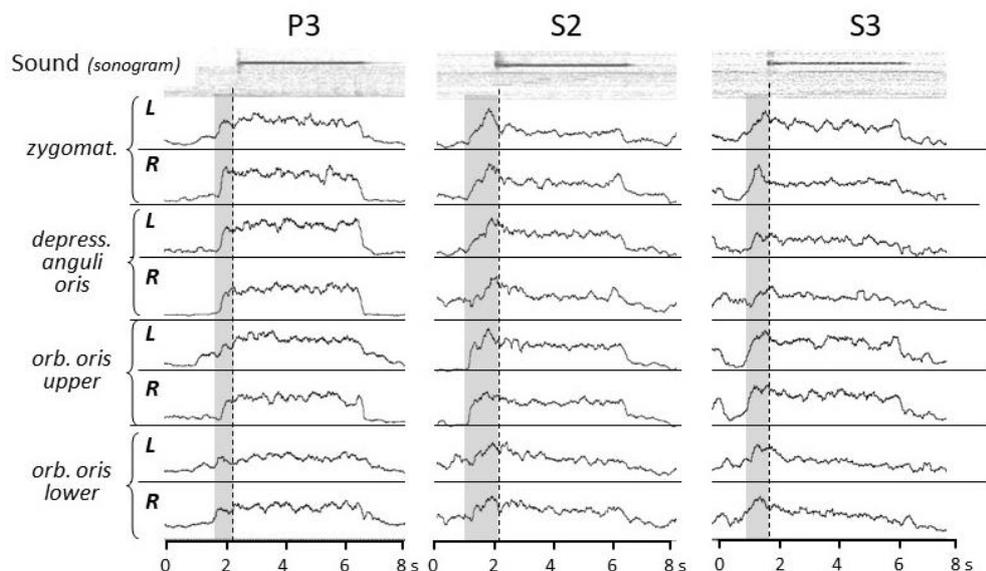
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Figure 1. This shows the electrodes in position during a recording session. Their small size leaves adequate space for the positioning of the mouthpiece. The weight of the electrode cables is supported by a headband.



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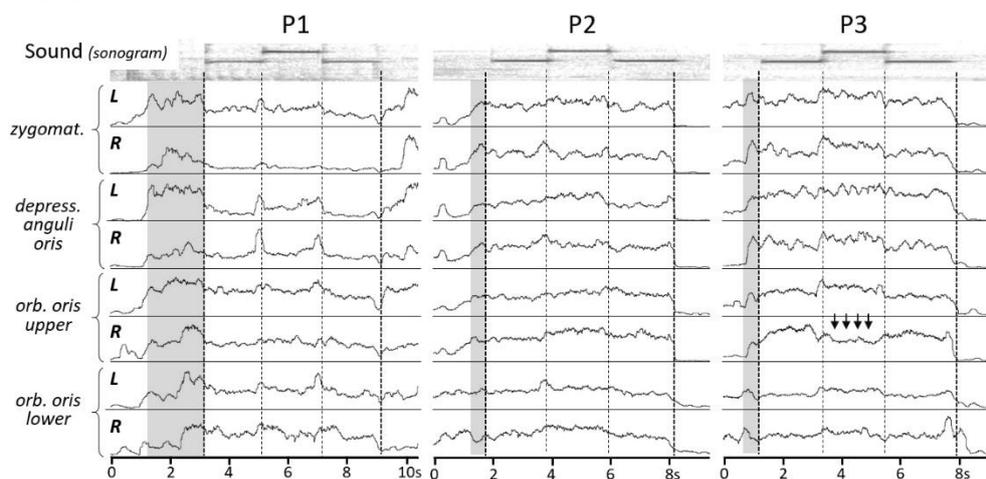
Figure 2. Rectified waveform traces from the four recorded muscles from one side of the embouchure. The recordings of muscles closest together (and so most likely to show cross talk) are linked by the brackets. The boxes highlight sections of the traces where large features in one trace are not matched by patterns of activity in nearby muscles.



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Figure 3

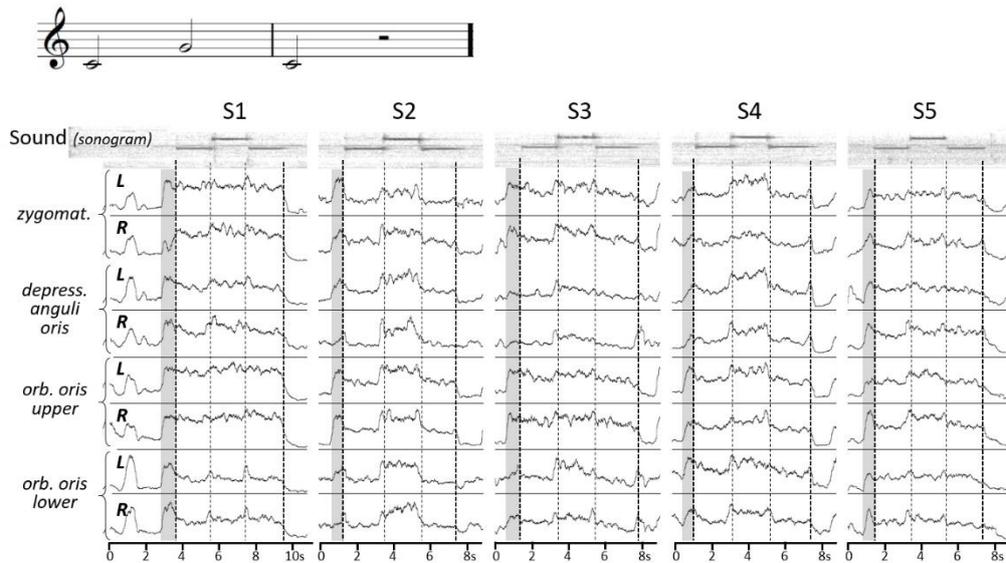
Muscle activity during single notes (G in the treble clef) played by Professional 3 (P3) and Students 1 and 2 (S1, S2). The EMG traces have been root mean square transformed and the first harmonic of the sound is shown a sonogram. The grey shading indicates muscle activity prior to note onset (dashed line). Zygomat, zygomaticus; depress, depressor; orb., orbicularis, L, left; R, right. The amplitude of the traces shown here and in later figures, has been optimised to make the pattern of activity as clear as possible and so are in arbitrary units.



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Figure 4

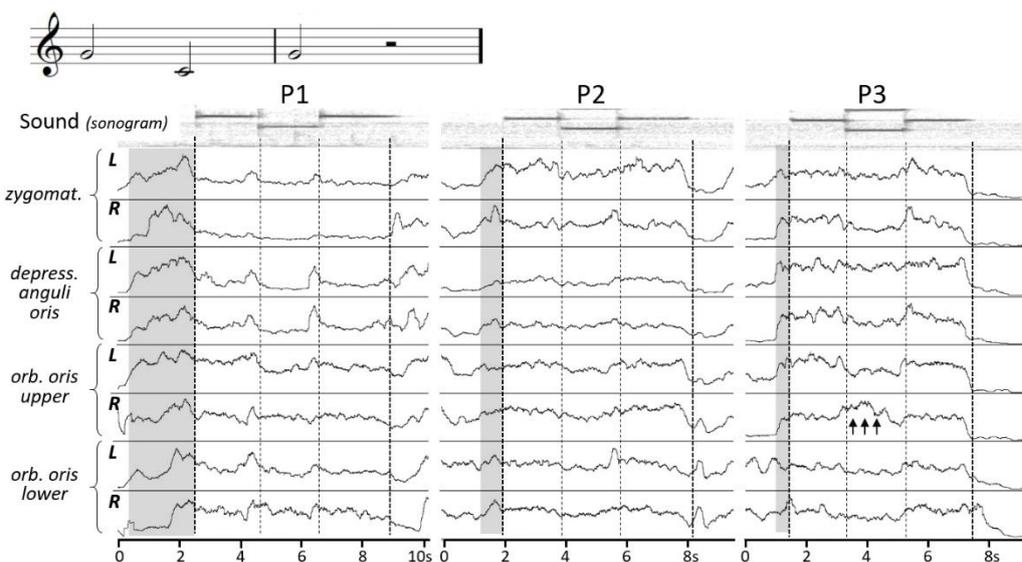
Muscle activity during upward then downward tongued 5ths played by the three professionals (P1-3) presented in the same format as Fig. 3. The grey shading indicates muscle activity prior to note onset (dotted line). Pitch transitions within the phrase are marked by the dashed lines. Note the strong transient bursts of activity in orbicularis oris at the beginning and end of the central G for Professional 1. For Professional 3, the rising pitch is supported by an increase in the activity of all muscles except for the right orbicularis oris where there is actually a fall in activity (arrows).



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Figure 5

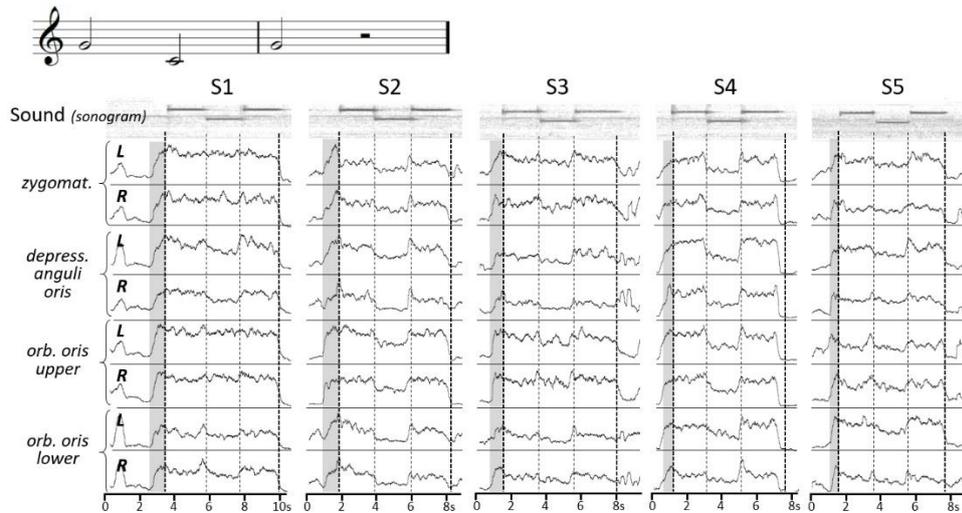
Muscle activity during upward then downward tongued 5ths played by the five of the student players (S1-5) presented in the same format as Fig. 3. The grey shading indicates muscle activity prior to note onset (dotted line). Pitch transitions within the phrase are marked by the dashed lines. Note the marked increase in the activity for the central G some or all muscles in students 2-5.



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Figure 6

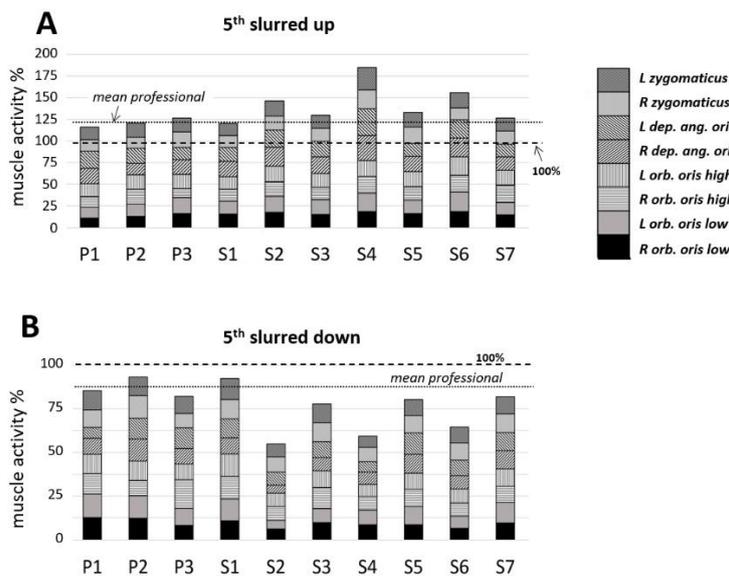
Muscle activity during downward then upward tongued 5ths played by the three professionals (P1-3) presented in the same format as Fig. 3. The grey shading indicates muscle activity prior to note onset (dotted line). Pitch transitions within the phrase are marked by the dashed lines. Note the strong transient bursts of activity particularly in orbicularis oris at the beginning and end of the central C and the small transients in some other muscles in Professional 1. For Professional 3, the falling pitch is supported by a decrease in the activity of several muscles but for the right orbicularis oris, where there is a rise in activity (arrows).



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Figure 7

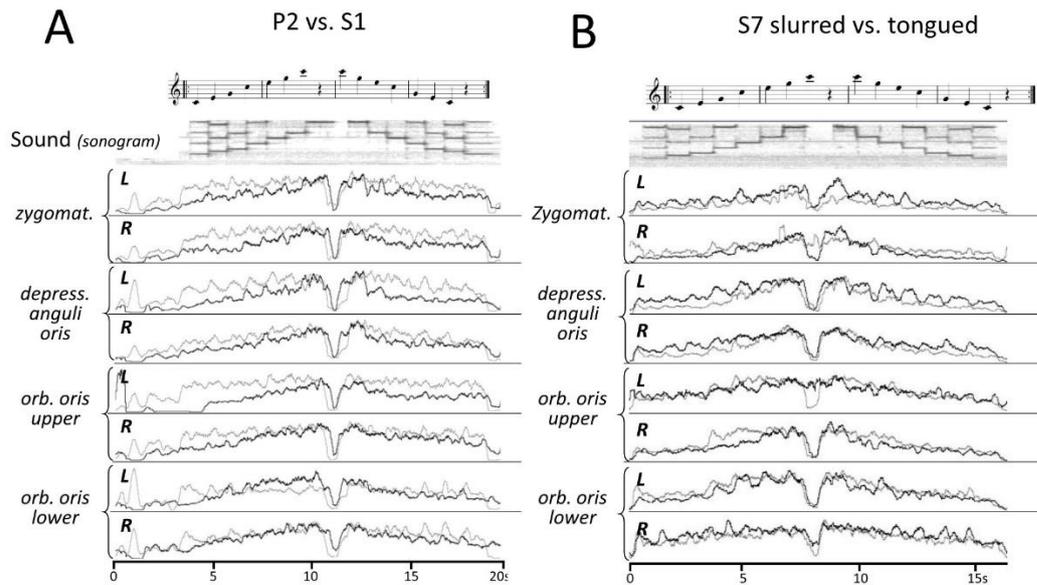
Muscle activity during downward then upward tongued 5ths played by the five of the student players (S1-5) presented in the same format as Fig. 3. The grey shading indicates muscle activity prior to note onset (dotted line). Pitch transitions within the phrase are marked by the dashed lines. Note the decrease in the activity for the central G for some muscles in all students. This is particularly marked and consistent for students 2 and 4.



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Figure 8

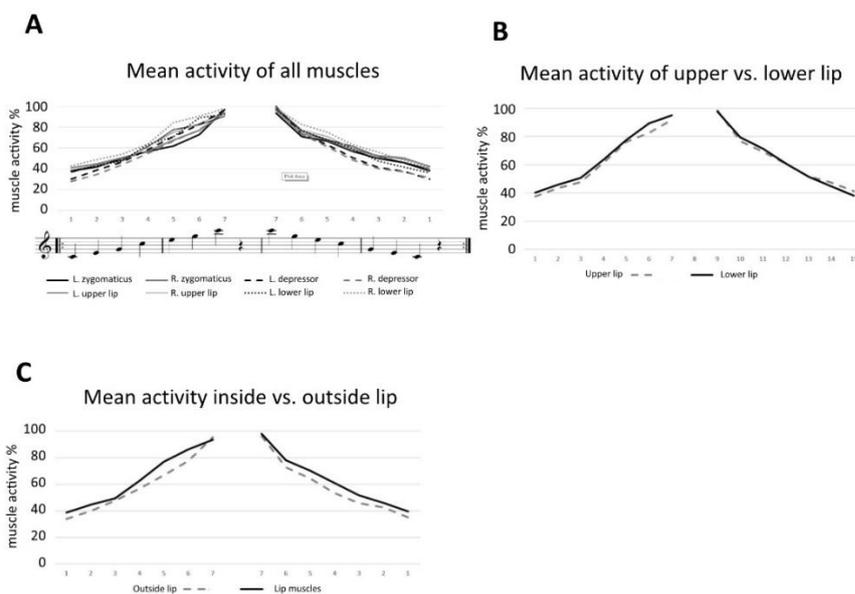
Bar graphs show the difference in activity between the first and middle notes in slurred upward and downward 5ths in both the professionals (P1-3) and all students (S1-7). The sum of the level of activity in all muscles for the middle note is expressed as a percentage of the level for the first note (which is designated 100%; dashed lines). The change for each individual muscle is indicated by the height of the relevant box within the column. For example in A the muscle showing the greatest increase for student 4 (S4) is the left depressor anguli oris. The dotted lines indicate the mean percentage for the professional players. Note that students 2, 4 & 6 show particularly large increases for the upward 5th (A) and particularly large decreases for the downward 5th (B).



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Figure 9

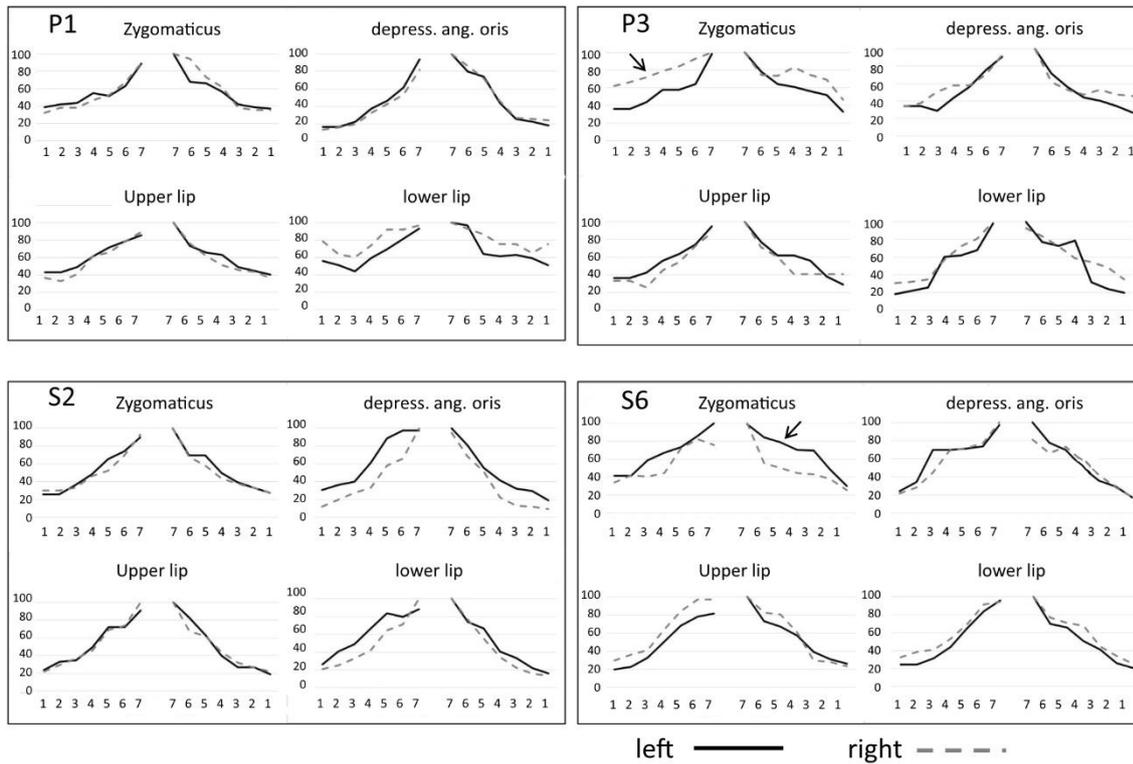
Muscle activity during the two octave arpeggio. **A.** Tongued arpeggio. A comparison between Professional 2 (P2; black trace) who shows a gradual increase in activity with pitch typical of most players, and Student 1 (S1; grey trace), who for several muscles uses a high level of activity regardless of pitch. **B.** A comparison of arpeggios played tongued (black) and slurred (grey) by student 7. In the tongued condition, there are more marked fluctuations in activity between notes in several muscles.



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Figure 10

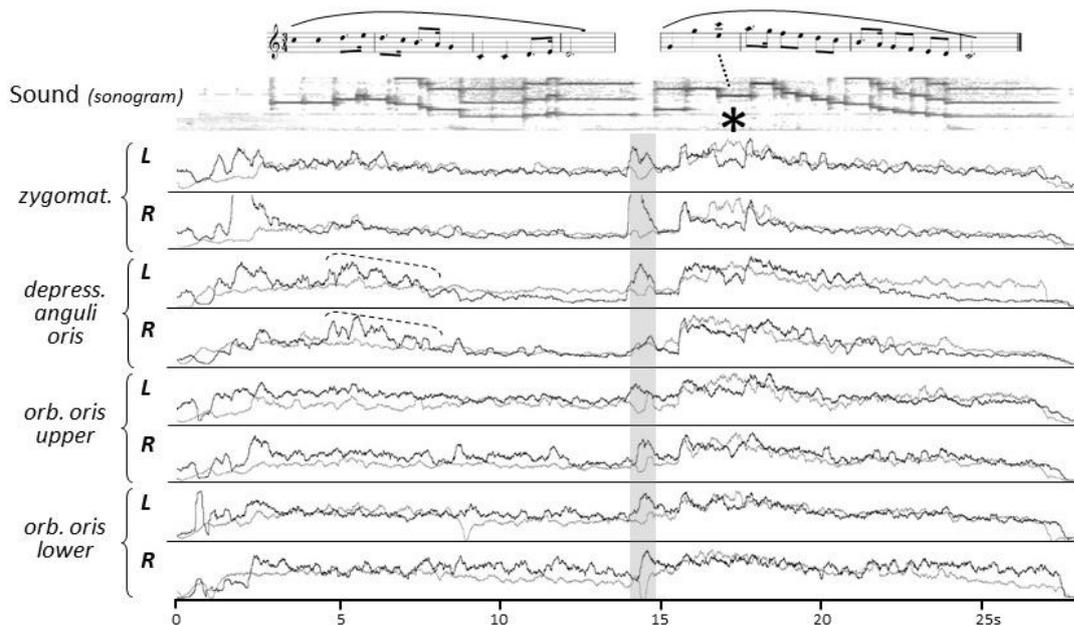
Comparisons of muscle activity over the two octave arpeggio. **A.** Mean activity in each muscle across all players showing that this rises similarly in all muscles. **B.** The muscles of the upper and lower lips (upper and lower orbicularis oris) rise together with pitch. **C.** Muscle in the lips (orbicularis oris) and outside the lip (zygomaticus and depressor anguli oris) show a similar pattern of activation with pitch.



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Figure 11

Comparisons of the same embouchure muscle on the left and right sides in the two octave arpeggio in two professional (P1 & P3) and two student (S2 & S6) players. Many of the traces show close symmetry but some reveal clear asymmetrical activation. This is most marked for zygomaticus in professional 3 and in student 6 (arrows). The y-axis represents muscle activity as a percentage of the maximum seen in the arpeggio.



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Figure 12

606 Muscle activity when playing the Bach theme in Professional 1 (black) and Professional 2
 607 (grey). There is little fluctuation with pitch during the first phrase except for depressor
 608 anguli oris in Professional 1 (dashed bracket). Note that in the second phrase, this player
 609 plays an E rather than the C above at the point indicated by the asterisk (see musical
 610 notation) which explains the lower level of activity at that point (particularly clear for
 611 zygomaticus).
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	Professional 1	Professional 2	Professional 3
Muscle activity prior to note onset	Long (1-2s) and at a much higher level than during the note	Short (0.5-1s) and at a similar level as during the note	Short (0.4-0.8s) and at a similar level as during the note
Attack	Hard, with sharp pinging onset	Gentle attack	Gentle attack
Time to reach max. sound volume	30-40ms	150-300ms	150-300ms
Pattern of muscle activity	Transient activity mainly in depressor anguli oris muscle during pitch changes	Muscles act in unison during pitch changes	Muscles act in unison in upper register but less so for lower register
Symmetry of muscle activity	Symmetrical	Symmetrical	Some asymmetry
Muscle activity during notes a 5 th apart	Little change or no difference in activity sustaining the two pitches	Little or no difference in muscle activity sustaining the two pitches	Activity changes in direction of pitch change except right orbicularis oris whose activity changes in the opposite direction

614 Table 1. A summary of some of the major differences in the sound quality and embouchure
 615 muscle activity in the three professional players studied
 616