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Citation for final published version:

Scurr, Martin J. , Greenshields-Watson, Alexander, Campbell, Emma, Somerville, Michelle, Chen, Yuan, Hulin-Curtis, Sarah L. , Burnell, Stephanie E. A., Davies, James A. , Davies, Michael M. , Hargest, Rachel , Phillips, Simon, Christian, Adam D., Ashelford, Kevin E. , Andrews, Robert, Parker, Alan L. , Stanton, Richard J. , Gallimore, Awen and Godkin, Andrew 2020. Cancer antigen discovery is enabled by RNA-sequencing of highly purified malignant and non-malignant cells. *Clinical Cancer Research* 10.1158/1078-0432.CCR-19-3087

Publishers page: <http://doi.org/10.1158/1078-0432.CCR-19-3087>

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1 **Cancer antigen discovery is enabled by RNA-sequencing of highly**
2 **purified malignant and non-malignant cells**

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24 **Running Title:** Identifying novel, immunogenic tumor antigens

25

26 **Conflict of Interest:** M. Scurr, A. Gallimore and A. Godkin are co-inventors of a
27 patent regarding DNAJB7 and uses thereof, co-owned by Cardiff University. All other
28 authors declare no potential conflicts of interest.

29

30 **Keywords:** Tumor antigens; RNA sequencing; DNAJB7; immunotherapy; cancer
31 vaccine.

32

33 **Word count** (not including references): 4948

34

35 **Total number of figures** (not including supplementary): 6

36

37 **Translational Relevance**

38 In order for cancer vaccination strategies to realise their potential, they must elicit
39 effective anti-tumor immune responses in a broad patient population. Tumor cell
40 purification dramatically improves RNA sequencing resolution to the point where
41 novel, highly differentially expressed, immunogenic proteins become detectable. This
42 novel methodology of tumor antigen identification could enhance future vaccine
43 efficacy.

44 **Abstract**

45 **Purpose:** Broadly expressed, highly differentiated tumor-associated antigens (TAA)
46 can elicit anti-tumor immunity. However, vaccines targeting TAAs have
47 demonstrated disappointing clinical results, reflecting poor antigen selection and/or
48 immunosuppressive mechanisms.

49 **Experimental design:** Here, a panel of widely expressed, novel colorectal TAAs
50 were identified by performing RNA sequencing of highly purified colorectal tumor
51 cells in comparison to patient-matched colonic epithelial cells; tumor cell purification
52 was essential to reveal these genes. Candidate TAA protein expression was
53 confirmed by immunohistochemistry, and pre-existing T cell immunogenicity towards
54 these antigens tested.

55 **Results:** The most promising candidate for further development is DNAJB7 [DnaJ
56 heat shock protein family (Hsp40) member B7], identified here as a novel cancer-
57 testis antigen. It is expressed in many tumors and is strongly immunogenic in
58 patients with cancers originating from a variety of sites. DNAJB7-specific T cells
59 were capable of killing colorectal tumor lines in vitro, and the IFN- γ ⁺ response was
60 markedly magnified by control of immunosuppression with cyclophosphamide in
61 cancer patients.

62 **Conclusion:** This study highlights how prior methods that sequence whole tumor
63 fractions (i.e. inclusive of alive/dead stromal cells) for antigen identification may have
64 limitations. Through tumor cell purification and sequencing, novel candidate TAAs
65 have been identified for future immunotherapeutic targeting.

66 **Introduction**

67 Despite understandable excitement surrounding results from cancer immunotherapy
68 studies, actual outcomes are disappointing. We recently demonstrated the principle
69 that immunological responses generated to the 5T4 oncofetal antigen through MVA-
70 5T4 (TroVax) vaccination can positively influence the outcome of patients with
71 advanced colorectal cancer (CRC)(1). Whilst survival was significantly prolonged for
72 vaccinated patients mounting an anti-5T4 response, all patients had progressed
73 within 10-months. Indeed, stand-alone anti-cancer vaccines are rarely effective in the
74 advanced disease setting; this could be the result of inherent mechanisms of local
75 immunosuppression, or sub-optimal antigenic targets.

76 Many upregulated tumor-associated antigen (TAA) targets are often readily
77 detectable in healthy tissue, e.g. the autoantigen carcinoembryonic antigen (CEA)-
78 and directing immune responses against such antigens can lead to side-effects (2)
79 and poor survival outcomes post-surgery (3). Thus, identification of TAAs that can be
80 targeted by immunotherapy is a balance between expression on tumor and healthy
81 tissue. The challenge is further complicated by T cell cross-reactivity which can
82 result in off-target effects in distant tissue with potentially fatal consequences (4).

83 Whilst immunotherapies targeting neoepitopes hold promise, they are highly
84 focused to the individual and currently prohibitively expensive to develop. For
85 therapies relevant to the wider population such as cancer vaccines, antigens must
86 be broadly expressed in the same tumor types of multiple individuals and present at
87 minimal levels in healthy tissue. Ideal discovery pipelines would involve large scale
88 analysis of TAA candidates followed by selection based on immunogenicity and
89 tissue-specific expression. Candidates that fit these criteria could be explored further
90 with cancer vaccination and CAR-T cell therapy. Indeed, vaccinations targeting non-

91 mutated tumor antigens are capable of inducing robust T cell responses in cancer
92 patients (1,5).

93 The development of RNA-sequencing in differential expression analysis
94 provides an attractive methodology to initiate TAA discovery pipelines. However, this
95 technology is limited by the heterogeneity of the tissue in question and is not
96 extensively used in TAA discovery. For the colon, a mixture of immune cells,
97 epithelium and stroma complicates expression profiles, limiting identification of
98 significantly differential expressed genes especially when tumor immune infiltrate
99 varies highly between individuals and tumor location. Purification of epithelial and
100 tumor cells prior to RNA-sequencing analysis is a novel methodology developed to
101 overcome tissue heterogeneity. In this study, we used EpCAM purification of tumor
102 and healthy colonic epithelium at two sites to improve the resolution between
103 expression profiles and thus aid identification of differentially expressed genes
104 (DEG). Gene lists were created based on expression profiles between all tissues,
105 and significance levels in a DESeq2 comparison analysis. These lists were analysed,
106 and several genes selected for further investigation. Immunogenic analysis and
107 tissue expression of the protein products of these genes in healthy tissues were
108 used to select the best candidate for cancer immunotherapy.

109

110 **Materials and Methods**

111 **Excision of colonic and tumor tissue**

112 Tumor and paired background (unaffected) colon specimens were obtained from
113 three patients undergoing anterior resection for primary rectal cancer at the
114 University Hospital of Wales, Cardiff (see Supplementary Table 1 for patient
115 characteristics). Autologous colon samples were cut from macroscopically normal
116 sections of the excised tissue, both “near” (within 2 cm) and “far” (at least 10 cm)
117 from the tumor site. All fresh tumor samples were derived from the luminal aspect of
118 the specimen, so as not to interfere with histopathological staging. All patients and
119 participants gave written, informed consent personally prior to inclusion. This study
120 was conducted in accordance with the Declaration of Helsinki. The Wales Research
121 Ethics Committee granted ethical approval for this study.

122 **Patient treatment schedule**

123 Orally administered 50mg cyclophosphamide was taken twice-a-day on treatment
124 days 1–7 and 15–21; no cyclophosphamide was taken on treatment days 8–14 or
125 22–106, or until patient relapsed. Peripheral blood samples (40ml) were taken at
126 regular intervals during therapy.

127 **Purification of tissue samples**

128 Background colon and tumor specimens were transported and washed in extraction
129 medium supplemented with 2% human AB serum (Welsh Blood Service), gentamicin
130 and Fungizone (ThermoFisher). Within 30-minutes of resection from a patient,
131 samples were minced and forced through 70 μ m cell strainers to collect a single cell
132 suspension. In no instances were collagenase or DNase treatments used.
133 Dissociated cell preparations of tumor, near and far healthy colonic tissue were
134 stained with Live/Dead fixable Aqua (ThermoFisher) followed by surface marker

135 staining with CD3-APC (BioLegend) and EpCAM-PE (Miltenyi Biotec) antibodies.
136 Samples were resuspended in FACS buffer (PBS, 2% BSA) prior to sorting into
137 Live/Dead⁻EpCAM⁺CD3⁻ populations on a FACS Aria III (BD). Tumor tissue also
138 stained with CD3 and EpCAM antibodies was additionally passed through the cell
139 sorter without gating, and used as an unsorted control for RNA-sequencing. All
140 samples were sorted directly into RLT buffer (Qiagen) with β-mercaptoethanol
141 (Sigma Aldrich) and frozen at -80°C. Frozen samples were thawed and RNA isolated
142 using an RNeasy Micro kit (Qiagen).

143 **RNA sequencing**

144 Library preparation and RNA sequencing was carried out by VGTI-FL (Florida, USA).
145 Purified RNA was used to make libraries using an Illumina TruSeq kit. Libraries were
146 sequenced to a depth of 37-63 M read pairs on an Illumina HiSeq platform. Paired
147 end reads were processed on a Cardiff University pipeline. Reads were trimmed with
148 Trimmomatic (28) and assessed for quality using FastQC
149 (<https://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) using the default
150 parameters. Reads were mapped to Ensembl human genome build GRCh38.89
151 downloaded from the Ensembl FTP site
152 (<http://www.ensembl.org/info/data/ftp/index.html/>) using STAR (29). Counts were
153 assigned to transcripts using featureCounts with the GRCh38.89 gene build GTF
154 (30). RNA-seq data have been deposited in the ArrayExpress database at EMBL-
155 EBI (www.ebi.ac.uk/arrayexpress) under accession number E-MTAB-8803.

156 **Differential expression analysis**

157 Aligned reads were normalised using DESeq2 in R (31). Differentially expressed
158 genes were identified between purified tumor samples, purified near, and purified far
159 epithelium. Differential expression analysis was carried out using DESeq2 between

160 sample types for all donors in a paired analysis. Comparisons of tumor and near or
161 far normal epithelial tissue were carried out. For the three-donor expression analysis,
162 genes with a Log 2-fold change greater than 3.5, FPKM values in healthy tissue less
163 than 3.5, and FPKM values in tumor greater than 4.0 in any two of three donors and
164 p-adjusted < 0.05 (Benjamini and Hochberg (8), in three donors) were taken forward
165 for further analysis.

166 The analysis was expanded to genes which were significantly differentially
167 expressed in separate comparisons for data in two of the three donors. Higher
168 expression cut off values were used with FPKM greater than 5.0 in both donor's
169 tumor tissue, and less than 1.0 in healthy tissues, with a log 2-fold change greater
170 than 6 and p-adjusted < 0.05.

171 **Analysis of TCGA RNA-seq data**

172 Level 3 (raw counts, htseq.counts.gz) RNA-seq data, and sample meta data (GDC
173 sample sheet and clinical cart files) were downloaded from the TCGA GDC portal
174 (<https://portal.gdc.cancer.gov/>) on 15-May-2018 for colon and rectal adenocarcinoma
175 patients (TCGA-COAD, TCGA-READ). All datasets were normalized as one matrix
176 using DESeq2 in R and output normalized counts were used for all analyses, with
177 data visualized using the pheatmap R package.

178 **Antigens**

179 20mer peptides overlapping by 10 amino acids, covering the entire protein sequence
180 of each identified TAA, were synthesized by Fmoc chemistry to >95% purity (GL
181 Biochem), and divided into pools, as shown (Supplementary Tables 2-8). Individual
182 9mer peptides (to measure HLA-A*02-restricted DNAJB7-specific CD8⁺ T cell
183 responses) were synthesised by Fmoc chemistry to >90% purity (Peptide
184 Synthetics). The recall antigens tuberculin purified protein derivative (PPD; Statens

185 Serum Institut) and hemagglutinin (HA; gift from Dr. John Skehel, National Institute
186 of Medical Research) and the T cell mitogen phytohemagglutinin (PHA; Sigma) were
187 used as positive controls. All antigens were used at a final concentration of 5µg/ml.

188 **Peripheral blood mononuclear cell (PBMC) culture**

189 Peripheral blood samples were obtained from pre-operative colorectal
190 adenocarcinoma patients (n=17), hepatocellular carcinoma patients (n=2),
191 cholangiocarcinoma patient (n=1), head and neck carcinoma patients (n=2) and age-
192 matched non-tumor-bearing donors (n=10). Blood samples were collected in 10ml
193 heparin tubes (BD) no more than 7-days prior to surgery. PBMCs were isolated by
194 centrifugation of heparinized blood over Lymphoprep (Axis-Shield). Cells were
195 washed and re-suspended in CTL Test Plus media (CTL Europe), L-glutamine and
196 penicillin / streptomycin. PBMC were plated in 96-well plates (Nunc) and cultured in
197 duplicate wells with specific antigens for 14-days, supplemented with fresh media
198 containing 20 IU/ml IL-2 on days 3, 7 and 10.

199 **Generation of DNAJB7 9mer epitope-specific CD8⁺ T cell lines**

200 PBMCs from two healthy donors and one CRC patient, who were known HLA-A*02
201 positive, were used to generate CD8⁺ T cell lines. The HLA class I epitope prediction
202 algorithms NetMHC 4.0 (32) and SYFPEITHI (33) were used to identify HLA-A*02-
203 restricted DNAJB7 9mers predicted to bind with the highest affinity. PBMCs were
204 stimulated with the top five scoring DNAJB7-derived 9mer epitopes in the presence
205 of 40 IU/ml IL-2, 2 ng/ml IL-7, and 5 ng/ml IL-15, in CTL Test Plus culture media.
206 Cells were incubated in 37°C, 5% CO₂ for 9-12 days before testing their specificity
207 by IFN-γ ELISPOT or intracellular cytokine staining. CD8⁺ T cells from positive lines
208 were sorted by MojoSort CD8 T cell isolation kits (Biolegend, UK), and further
209 expanded using irradiated T2 cells, loaded with relevant peptides, and irradiated

210 autologous PBMCs as feeders. Cells were rested for a minimum of three days in
211 media containing no cytokines before use in downstream assays.

212 **Adenovirus vectors and cell lines**

213 The replication deficient ($\Delta E1/\Delta E3$) adenovirus vectors Ad5-DNAJB7 (expressing the
214 entire DNAJB7 ORF (open reading frame)) and Ad5-EMPTY (lacking an inserted
215 ORF) were generated in the AdZ vector system, using homologous recombineering
216 methods as previously described (34,35). The DNAJB7 ORF was gene synthesized
217 by GeneArt (ThermoFisher Scientific, UK), prior to being inserted into the AdZ
218 vector. Viruses were produced in T-REx-293 and purified as previously described
219 (36).

220 The colorectal tumor cell lines SW480 (European Collection of Authenticated
221 Cell Cultures (ECACC) 87092801) and Caco-2 (ECACC 86010202) were used in
222 this study, given their confirmed expression of HLA-A*02 and the coxsackie and
223 adenovirus receptor (data not shown). Cell lines were transduced using 2500 virus
224 particles (vp) per cell (SW480) or 10,000 vp/cell (Caco-2) of Ad5 vector expressing
225 DNAJB7 under the control of a CMV promoter, or control empty Ad5 vector for 3
226 hours at 37°C 5% CO₂ in media containing FBS. After the incubation period, cells
227 were washed with fresh media and cultivated according to culture collection
228 protocols (ECACC), before use in downstream assays. Ad5-GFP titration and
229 subsequent flow cytometric analyses had been performed prior to Ad5-DNAJB7
230 transduction to determine the optimal vp/cell dose for each cell line. Vp/ml of each
231 adenovirus was quantified using a Pierce Micro BCA Protein Assay Kit.

232 **Western blot**

233 Protein was extracted from SW480 and Caco-2 cells using 4x NUPAGE LDS sample
234 buffer (ThermoFisher Scientific). Protein (6-9 μ g) was resolved using 4-12% sodium

235 dodecyl sulphate polyacrylamide electrophoresis gels at 120V for 90 minutes and
236 transferred to PVDF membrane at 30V for 80 minutes at room temperature.
237 Membranes were blocked using 5% milk in PBS plus 0.05% Tween 20 (PBST) and
238 incubated with DNAJB7 antibody (1:1000, rabbit IgG, polyclonal, Bio-Techne) or β -
239 actin antibody (1:2000, rabbit IgG, monoclonal, Sigma-Aldrich) overnight at 4°C.
240 Antibodies were prepared in 0.05% milk in PBST. Secondary antibody (1:3000, Anti-
241 rabbit IgG, Bio-Rad) was applied for 1-hour at room temperature. Images of the
242 bands were visualized using SuperSignal Pico PLUS chemiluminescent substrate
243 (ThermoFisher Scientific).

244 **Real-time cytotoxicity assay (xCelligence)**

245 Target SW480 or Caco-2 cells were harvested and plated at 20,000 and 12,000 cells
246 per well, respectively, in a 96-well xCelligence E-plate (ACEA Biosciences).
247 Transduction was performed 48 hours prior to plating where appropriate. Suitable
248 cell densities were determined by previous titration experiments. Cell attachment
249 was monitored using the xCelligence Real-Time Cell Analysis (RTCA) instrument
250 until the plateau phase was reached. DNAJB7-specific CD8⁺ T cell lines were added
251 at an effector to target ratio of 5:1 and impedance measurements performed every
252 10 minutes for up to 72 hours. All experiments were performed in duplicate. Changes
253 in electrical impedance were expressed as a dimensionless cell index value,
254 normalized to impedance values immediately preceding the addition of effector T
255 cells.

256 **ELISpot / FluoroSpot Assays**

257 IFN- γ ELISpot and IFN- γ /Granzyme B FluoroSpot assays were performed as
258 previously described (13). Briefly, PVDF 96-well filtration plates were coated with
259 50 μ l antibody (Mabtech). Cells were washed, plated, and stimulated with 5 μ g/ml

260 antigen in duplicate wells. Plates were incubated at 37°C, 5% CO₂ for 24-hours
261 before developing spots. Spot-forming cells (SFC), i.e. cytokine-producing T cells,
262 were enumerated using SmartCount settings on an automated plate reader
263 (ImmunoSpot S6 Ultra; CTL Europe). Positive responses were identified as having at
264 least 20 SFC/10⁵ cultured PBMCs, and at least double that of the negative (no
265 antigen) control. Wells with spot counts >1000 were deemed too numerous to count
266 and capped at this level.

267 **Flow cytometry**

268 To perform T cell counts, 15µl of human TBNK 6-colour cocktail (BioLegend) was
269 added to 50µl of whole heparinized blood using a reverse pipetting technique. Red
270 blood cells were lysed and samples run on a NovoCyte 3000 (ACEA Biosciences) to
271 obtain absolute cell counts. To calculate the proportion of proliferating CD4⁺
272 regulatory T cells, fresh PBMCs were stained with Live/Dead-Aqua (ThermoFisher
273 Scientific), surface stained with CD3-FITC, CD4-BV605 and CD25-BV421
274 (BioLegend), followed by fixation / permeabilization and intracellular staining with
275 Foxp3-APC (ThermoFisher Scientific) and Ki67-PE (BD Biosciences).

276 **Immunohistochemistry**

277 The identified TAAs from this study were evaluated for protein expression
278 characteristics on healthy tissue and a range of tumor samples by utilising the
279 Human Protein Atlas resource (12). In addition, DNAJB7 expression was assessed
280 on formalin-fixed paraffin embedded blocks of colorectal tumor and healthy colon
281 tissue (see Supplementary Table 1 for patient characteristics), and testis tissue as a
282 positive control for DNAJB7 expression. Immunohistochemistry was performed on
283 the Leica Bond RX Automated Research Stainer. Dewaxing/hydration of 5µm
284 sections was performed according to manufacturer's instructions (Leica). Antigen

285 retrieval was performed using Bond Epitope Retrieval Solution 2. DNAJB7 antibody
286 (HPA000534, Atlas Antibodies) was used at a dilution of 1:100 and incubated for 105
287 minutes. Antibody detection was performed using Bond Polymer Refine Detection
288 Kit, followed by hematoxylin counter staining. Following this, samples were
289 dehydrated, mounted then scanned using Slide Scanner Axio Scan.Z1 (Zeiss);
290 representative images were taken using Zen Blue software.

291

292 **Results**

293 **Purification of samples prior to RNA-sequencing provided enhanced**
294 **resolution of differentially expressed genes**

295 Rectal tumor and paired background (unaffected) colon specimens were
296 obtained from three patients undergoing resection. Autologous colon samples were
297 cut from macroscopically normal sections of the excised tissue, both “near” (within 2
298 cm) and “far” (at least 10 cm) from the tumor site (Figure 1A). Dissociated single
299 cells were sorted into Live/Dead⁻EpCAM⁺CD3⁻ populations (Figure 1B and C).
300 EpCAM was chosen as it would enable preferential isolation of epithelial populations
301 over stromal tissue and immune populations (6,7).

302 RNA-sequencing datasets were comparable following several normalization
303 procedures. Differential expression comparisons were run using DESeq2 of healthy
304 tissues (“near” and “far”) against purified tumor tissue in all three patients, and then
305 separate analyses for each combination of two patients. An additional comparison of
306 non-purified tumor tissue against healthy tissues was run to investigate the impact of
307 EpCAM sorting. To find relevant genes that could be targeted by immunotherapy, we
308 applied criteria that specified very low levels of expression in healthy tissue
309 combined with high expression in tumor tissue (based on FPKM and log 2-fold
310 change). Only genes assigned a p-adjusted < 0.05 (Benjamini and Hochberg (8))
311 were taken forward for further analysis.

312 Initial gene lists gave 83 significant genes showing differential expression
313 between tumor and far colon tissue, while 92 genes between tumor and near colon
314 tissue. Cross referencing of these gene lists resulted in five genes that satisfied
315 significant criteria in both comparisons (including four of those taken forward; ARSJ,
316 CENPQ, ZC3H12B and CEACAM3). To expand our analysis, we looked at DEGs
317 which were significantly expressed in tumor tissue of two of three patients to a higher

318 level (increased expression cut-offs and lower threshold of healthy tissue
319 expression). These gene lists were combined with three donor lists, and then near
320 and far tissue cross referenced (Figure 2A). This gave an initial set of 54 genes
321 which were cut to 23 based on levels of expression in healthy tissue of all three
322 donors (Supplementary Figure 1 and Supplementary Table 9). Of these 23 genes,
323 18 were protein coding. We inspected these 18 genes and selected those which
324 were most suitable for further analysis, eliminating those involved in the central
325 nervous system, or which exhibited an inconsistent expression or read mapping
326 profile in three donors gauged by visual curation of mapped reads in IGV (Integrative
327 Genomics Viewer, Broad Institute) (9).

328 The final genes selected were DNAJB7, CENPQ, ZC3H12B, ZSWIM1,
329 CEACAM3, ARSJ and CYP2B6, based on their ideal expression profile for
330 therapeutic exploitation (Figure 2B). Inspection of expression profiles in non-purified
331 tumor tissue (Figure 2B and 2D) exemplified the difficulty in detecting these genes in
332 the absence of purification, with all expression levels lower than purified tissue.

333 To further assess the impact of tissue purification, we looked at the DEGs between
334 bulk and EpCAM sorted tumor samples, focusing on genes which were expressed in
335 at least two of the three samples (Figure 2C). This emphasised the advantage of
336 purification resulting in enhancement of the most relevant gene expression patterns
337 and demonstrates how our novel antigens could not have been identified from bulk
338 tumor sequencing alone (Figure 2D).

339 **Comparison of Common Cancer Antigen Expression**

340 We next wanted to assess the expression patterns of the seven novel
341 antigens identified in the context of other antigens commonly classified in the
342 literature as TAAs (10,11). We compiled a representative list which included antigens
343 such as GP100, 5T4, LAGE3 and MART and looked at how their expression levels

344 compared within the patient samples used in this study (Figure 3A) and across both
345 colon and rectal tumor data from the TCGA (Figure 3B). This analysis showed a
346 clear distinction in antigen expression level, with CYP2B6, ZSWIM1 and 5T4
347 expression comparable to the highly expressed LAGE3. However, beyond these four
348 genes the expression levels of other antigens were heterogeneous across tumor
349 samples, and some appeared to be relatively low in the TCGA data, in particular
350 CEACAM3 and DNAJB7.

351 A critical criterion for the analysis in Figure 2 was differential expression of
352 genes between healthy tissue and tumor tissue. The TCGA data also has several
353 paired datasets of colon and rectal tumors and corresponding healthy tissue. We
354 visually inspected the differences of the TAA panel list across these datasets in order
355 to confirm that our antigen still accorded with this criterion in a large publicly
356 available dataset (Figure 3C). Indeed, when healthy and tumor tissue expression of
357 each were compared, the differentially expressed nature of several antigens was
358 emphasised. For DNAJB7, ZSWIM1 and CENPQ, the contrast between healthy and
359 tumor datasets was skewed towards tumor expression and suggested these would
360 be better targets than ARSJ or CYP2B6 which did not show visual distinction
361 between the two tissue types. Furthermore, other cancer antigens could be classified
362 as having highly favorable (WT1, LAGE3, MART1, AFP) or hypothetically dangerous
363 (ACRBP, SPA17, KLK3) expression patterns between healthy and tumor tissues,
364 and as such their assessment as *bona fide* TAAs should be reconsidered. Such
365 trends were also present in our data (Figure 3D).

366 **Analysis of protein expression across multiple healthy tissues highlights** 367 **DNAJB7 as a cancer-testis antigen and a suitable target for immunotherapy**

368 The protein expression level of each candidate TAA was evaluated using
369 publicly available immunohistochemistry data (12). Whilst each candidate exhibited

370 significant upregulation on tumor tissue over healthy tissue (with the possible
371 exception of ARSJ), DNAJB7, a protein belonging to the evolutionarily conserved
372 DNAJ heat shock family, was unexpectedly identified as a novel cancer-testis
373 antigen given its complete lack of expression on any healthy tissue bar the testis, an
374 immune-privileged site (Supplementary Figure 2).

375 We sought to corroborate this pattern of staining on paraffin-fixed samples
376 acquired in-house, using the same anti-DNAJB7 antibody (HPA000534). In
377 preliminary experiments, expression was higher in certain tumor samples, although
378 antibody staining was observed in background colon tissue (Supplementary Figure
379 3). Given this finding and the failure to detect DNAJB7 mRNA in normal colon, we
380 conclude that where DNAJB7 is detected, it is preferentially expressed in cancer
381 tissue.

382 Furthermore, DNAJB7 was expressed on a very wide range of solid tumors, in
383 particular on tumors of the gastrointestinal tract and accessory organs of digestion,
384 including colorectal cancer and pancreatic ductal adenocarcinoma (Supplementary
385 Figures 2B and 2C).

386 **DNAJB7 is a superior cancer-testis antigen**

387 The expression profile of DNAJB7 was compared to six other well-defined
388 cancer-testis antigens, including NY-ESO-1, MAGE-A1 and SSX2. High protein
389 expression of all these antigens was confirmed to be confined to the testis
390 (Supplementary Figure 4A). In comparison to the other cancer-testis antigens,
391 DNAJB7 was expressed on the greatest range of tumor types, with more than 67%
392 of all patients tested exhibiting positive (low, medium or high) protein expression on
393 their tumor, except for lymphoma (Supplementary Figure 4B).

394 **Analysis of candidate TAA T_H1 responses reveal DNAJB7 to be immunogenic**

395 Following identification of relevant genes and confirmed protein expression,
396 we assessed their immunogenicity using overlapping peptide pools and culture with
397 PBMC of CRC patients and healthy donors. Analysis of cultured PBMC by IFN- γ
398 ELISpot determined three of the seven proteins to demonstrate immunogenicity in
399 most donors tested (Figure 4A-B). As the size of peptide libraries was highly variable
400 for each protein, we standardized the immunogenicity relevant to the number of
401 peptides in each pool (Figure 4A). This analysis revealed CYP2B6, DNAJB7 and
402 CEACAM3 to be comparably immunogenic across multiple individuals without
403 stratification by HLA-type, and similarly immunogenic to the oncofetal antigen 5T4, a
404 tumor antigen that has successfully been targeted in CRC previously (1,13).
405 Conversely, CENPQ and ARSJ were poorly immunogenic in most donors tested.

406 Furthermore, our peptide pool design allowed us to interrogate
407 immunogenicity based on a matrix format to determine the peptides responsible for
408 the positive T cell responses (example for DNAJB7, Supplementary Figure 5). This
409 type of analysis may be important for isolation of T_H1 stimulating regions of TAA
410 which can be incorporated in vaccines based on immunogenic components of
411 multiple antigens important in CRC, as well as being regions that can be targeted by
412 epitope-based modifications and strategies for enhancement of the immune
413 response (14). An example of one CRC patient revealed positive IFN- γ and
414 granzyme B responses to DNAJB7 peptide pools 3, 6 and 10, indicative of T cell
415 responses to epitopes contained within peptides 3 and 23 (Supplementary Figure 5B
416 and C). Indeed, peptide 23 was the most immunogenic region of the DNAJB7
417 protein, with responses discovered in 39% of CRC patient and healthy control
418 donors tested (Supplementary Figure 5D). DNAJB7 was also found to be
419 immunogenic in patients with other tumor types, including hepatocellular carcinoma,

420 cholangiocarcinoma and the non-gastrointestinal head and neck squamous cell
421 cancer (Figure 4C).

422 **CD8⁺ T cell recognition of DNAJB7-expressing colorectal tumor cell lines**

423 T_H1 responses described above were dominated by IFN- γ -secreting CD4⁺
424 effector T cells, favoured by the longer 20mer peptides used to stimulate the
425 PBMCs. However, analysis of specific IFN- γ and granzyme B production using
426 FluoroSpot assays indicates that granzyme B is abundantly produced in response to
427 the DNAJB7 peptides (9/10 donors tested, Figure 5A and Supplementary Figure 5)
428 suggesting cytotoxic T cell responses are present. In order to ascertain whether
429 these responses were indicative of CD8⁺ T cells capable of killing DNAJB7-
430 expressing tumor cells, HLA-A*02-restricted CD8⁺ T cell epitopes derived from
431 DNAJB7 were identified by computer-based epitope prediction algorithms (Figure
432 5B). The top five scoring peptides stimulated cognate CD8⁺ T cells derived from
433 HLA-A*02⁺ healthy donors and a CRC patient. DNAJB7-specific CD8⁺ T cell lines
434 were successfully enriched in all donors, an example of responses to peptides
435 LTFFLVNSV and GMDNYISVT is shown (Figure 5C).

436 The HLA-A*02-expressing SW480 and Caco-2 colorectal tumor cell lines were
437 used as targets in a cytotoxicity assay, however there was minimal expression of
438 DNAJB7 in both lines (Figure 5D and Supplementary Figure 6). Cell lines were
439 successfully transduced with an Ad5-DNAJB7 viral vector and found to stably
440 increase DNAJB7 expression over a 5-day period before reducing on day 6 (Figure
441 5D and Supplementary Figure 6). Upon addition of effector DNAJB7-specific CD8⁺ T
442 cells to target colorectal tumor cells, real-time impedance traces show a highly
443 significant ($P < 0.0001$) reduction in the number, size/shape, and/or attachment
444 quality of DNAJB7-expressing Caco-2 cells (Figure 5E), and a reduction in the

445 growth of DNAJB7-expressing SW480 cells (Figure 5F) over Ad5-EMPTY
446 transduced or non-transduced, untreated (UT) tumor cell lines. Non-specific
447 (DNAJB7-negative) T cell lines did not cause additional killing to DNAJB7-expressing
448 targets, compared to Ad5-EMPTY or UT cell lines (data not shown). Hence,
449 DNAJB7-expressing tumor cell lines present peptides on the cell surface by MHC
450 class I and are selectively eliminated by DNAJB7-specific CD8⁺ T cells.

451 **Anti-DNAJB7 T_H1 responses are induced during cyclophosphamide treatment**

452 We have previously demonstrated that anti-tumor T_H1 effector responses are
453 controlled by regulatory T cells (Tregs) (15), and that targeting these Tregs either by
454 depletion in vitro, or inhibition/depletion in vivo with low dose cyclophosphamide,
455 increases the anti-tumor (5T4) immune response (1,13). We sought to assess
456 whether T cell responses were induced to the novel tumor antigens in a CRC patient
457 (Figure 6) and an HCC patient (Supplementary Figure 7) receiving short-term
458 metronomic cyclophosphamide. Anti-5T4 T_H1 responses increased by >4-fold in both
459 patients, an effect previously identified as associating with improved survival
460 outcomes (13); intriguingly, anti-DNAJB7 T_H1 responses also mirrored this treatment
461 response profile in both instances, whereas no responses were induced to ARSJ,
462 CENPQ, ZSWIM1 and CYP2B6 (Figure 6B and C, and Supplementary Figure 7A
463 and B). This could suggest that responses to DNAJB7 and CEACAM3 are
464 suppressed in CRC and HCC, given that responses were unmasked by efficient
465 regulatory T cell depletion (Figure 6D and Supplementary Figure 7C).

466

467 **Discussion**

468 The pursuit of new cancer vaccines that can be administered regardless of patient
469 HLA-type or neoantigen load relies on the investigation and discovery of novel TAAs.
470 Paired RNA-sequencing of tumor and healthy tissue facilitates TAA identification but
471 is limited by the diversity of cellular input in each sequencing sample. Here we
472 purified EpCAM⁺ cellular populations from healthy colon and primary colorectal
473 tumors; RNA-sequencing data from purified samples revealed multiple genes that
474 showed significant differential expression across three donors.

475 In a comparison with non-purified tumor tissue, no genes were classified as
476 significant according to the same criteria, demonstrating the power of using purified
477 tissues in antigen discovery. Further analyses of differentially expressed gene lists in
478 tissues near and far from the tumor helped identify 18 genes which showed
479 differential expression patterns suitable for therapeutics. Four protein products of the
480 identified genes exhibited significant immunogenicity in healthy donors and cancer
481 patients; of these DNAJB7 also demonstrated the most favorable expression profile
482 based on immunohistochemistry data of healthy and cancerous tissue. DNAJB7
483 belongs to the evolutionarily conserved DNAJ/Heat Shock Protein (HSP)40 family of
484 proteins and is a molecular chaperone to HSP40. It is likely that its upregulation in
485 tumors is in response to increased expression of many heat shock proteins, aiding
486 tumor cell proliferation in hostile environments (16). Indeed, other HSP40 family
487 members DNAJB6 and DNAJB8 have both been previously shown to be upregulated
488 in cancer, contributing to cancer-initiating cell maintenance (17-19). Therapies
489 targeting heat shock proteins and their molecular chaperones are already showing
490 promise in cancer treatment (20). Immunotherapeutic targeting of these proteins may
491 also yield further anti-cancer benefits, as implicated by this study.

492 Robust T_H1 responses to DNAJB7 and several other novel tumor antigens
493 were found in healthy controls, in keeping with previous findings for tumor-
494 associated antigens from our laboratory (21,22) and others (23,24). It is possible
495 these responses are indicative of a normal functioning process of
496 immunosurveillance to remove aberrant epithelial cells. The presence or absence of
497 such responses are now beginning to be exploited for cancer diagnostics and
498 prognostication ((24) and NCT02840058). How and why these T cells exist and are
499 maintained at such a frequency in the memory pool remains unknown: possibilities
500 range from transient upregulation of TAAs during periods of inflammation, e.g. of the
501 colon (22), incomplete thymic selection or antigenic cross-reactivity / mimicry to
502 microbial proteins (25).

503 There are limitations to our study, including the selection of luminal tumor
504 sites for cell enrichment as opposed to the invasive margin (required for
505 histopathological assessment of the tumor), the use of purification procedures, i.e.
506 fluorescence activated cell sorting, that may influence mRNA expression prior to
507 RNA isolation, and low initial sample size. However, despite the relatively small
508 scale, the approach described here has successfully identified novel, highly antigenic
509 proteins expressed in cancers. These antigens could be incorporated into vaccines
510 for both therapeutic and prophylactic use. One goal of cancer vaccination in the
511 context of CRC immunotherapy is to reduce relapse rates following surgical
512 intervention. Curative rates following resection of primary colorectal tumors are ~60-
513 70% but could be improved if relapse was prevented by safely boosting immunity to
514 the proteins with differential expression patterns as a form of prophylactic
515 immunotherapy (26). Indeed, loss of anti-tumor immune responses associates with
516 advancing tumor stage (21,22), and these patients can benefit from anti-cancer
517 vaccination strategies. At the moment, although there is some success using a

518 single TAA in CRC (1), better therapeutic strategies are necessary with superior
519 vaccine targets combined with manipulations of immune regulation. These
520 approaches necessitate discovery of more TAAs and greater investigation of the
521 negative impacts of T cell cross-reactivity and off-target immune effects. Questions
522 over the ideal differential expression pattern, specifically the extent to which some
523 expression in healthy tissue can be tolerated relative to tumor expression are highly
524 relevant. In addition, the targeting of multiple tumor antigens is more likely to
525 overcome inherent tumor immune evasion and evolution. However, cancer-testis
526 antigens allay some of these concerns and represent an ideal tumor target for
527 immunotherapy (27). Indeed, here we identified that most donors have the capability
528 to mount anti-DNAJB7 T cell responses, and these responses can be significantly
529 boosted in cancer patients receiving cyclophosphamide. Enhancing this anti-tumor
530 immune response could hold significant potential in future therapeutic and
531 prophylactic treatment strategies.

532

533 **Acknowledgments**

534

535 This study was supported by a Cancer Research Wales programme grant (to A.
536 Godkin and A. Gallimore), a Wellcome Trust Collaborator Award Grant
537 (209213/Z/17/Z) (to A. Godkin, A. Gallimore, R. Stanton), a Cancer Research UK
538 programme grant (C16731/A21200) (to A. Gallimore and A. Godkin) and funding
539 from the Wales Cancer Research Centre (to S. Burnell). We are grateful to Prof
540 Richard Houlston for useful preliminary discussion on experimental design. We thank
541 Dr Catherine Naseriyan for assistance with flow cytometry and cell sorting, Anna
542 Fuller for provision of peptides, Dr Mat Clement for assistance with western blots and
543 Dr Ruban Rex Peter Durairaj & Owen Moon for assistance with xCelligence.

544

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546

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560

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676

677

678 **Figure Legends**

679 **Figure 1. Isolation of epithelial and tumor cells by EpCAM-sorting prior to RNA-**
680 **seq.** (A) Schematic of tumor and healthy tissue resection taken at two distances from
681 the tumor site. Samples were taken from rectal tumor of three patients. (B) Sample
682 processing and purification flow chart. (C) Flow cytometry gating for EpCAM⁺ and CD3⁻
683 purification, pre and post cell sorting.

684 **Figure 2. Identification of candidates for further investigation based on differential**
685 **expression analysis.** (A) Workflow for obtaining gene lists of differentially expressed
686 genes based on two comparisons (purified tumor versus purified healthy colon “far,” and
687 purified tumor versus purified healthy colon “near,” left-hand side and right-hand side,
688 respectively). Gene lists were obtained from significantly differentially expressed genes
689 across all three patients and separately in two of three patients. These were aligned and
690 cross referenced between “far” and “near” comparisons to give a smaller gene list which
691 was further reduced based on expression in healthy tissue, and finally suitability for
692 further investigation. (B) Normalized counts for each of the seven genes selected for
693 further analysis. Counts are shown for each of the four conditions as box plots
694 representing all three patients. (C) Heatmap showing 317 genes that were differentially
695 expressed between EpCAM purified and bulk tumor samples and were expressed in at
696 least two of three EpCAM purified samples. Normalized counts were scaled by gene to
697 show relative expression between each sample. (D) Heatmap of novel tumor antigen
698 gene expression (in addition to 5T4), showing differences in normalized counts between
699 EpCAM purified and bulk tumor, scaled as part (C).

700 **Figure 3.** (A) Heatmap showing relative expression levels of known and novel TAAs
701 using normalized counts scaled by sample across EpCAM purified tumor. (B)
702 Corresponding analysis using TCGA data for rectal (green bar) and colon (purple bar)

703 tumors scaled by sample. (C) Comparison of expression levels between tumor and solid
704 tissue normal in available TCGA data, scaled by gene. Sample type and tissue/tumor
705 location (colon or rectal) are indicated in the top two bars. (D) Corresponding analysis
706 performed on our EpCAM purified tumor and healthy data.

707 **Figure 4. Immunogenicity of candidate TAAs.** T cell responses to peptide pools
708 spanning the entire protein sequence of each candidate TAA were assessed by cultured
709 IFN- γ ELISpot (see Supplementary Tables 2-8 for peptide sequences). The total number
710 of IFN- γ ⁺ spot-forming cells (SFC) per 10⁵ cultured PBMC relative to the number of
711 peptides spanning the protein was assessed and ranked by mean response (grey bars)
712 amongst all donors tested (A) and then subdivided by CRC patients (blue circles TNM
713 Stage 1/2; n=6, black circles TNM Stage 3; n=8) and healthy donors ('HD', white circles;
714 n=10) (B). (C) Patients with other gastrointestinal cancers were tested for their ability to
715 mount anti-DNAJB7 T cell responses (CC – cholangiocarcinoma; HCC – hepatocellular
716 carcinoma; HNC – head and neck squamous cell carcinoma).

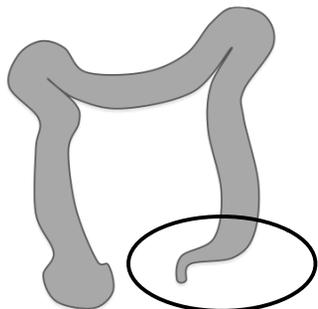
717 **Figure 5. Enriched DNAJB7-specific CD8⁺ T cells target DNAJB7-expressing**
718 **colorectal tumor cell lines.** T cell responses to two peptide pools spanning the entire
719 DNAJB7 protein sequence were assessed by cultured Granzyme B FluoroSpot (see
720 Supplementary Table 2 for peptide sequences). The total number of Granzyme B⁺ spot-
721 forming cells (SFC) per 10⁵ cultured PBMC relative to the 30 peptides spanning the
722 DNAJB7 protein was assessed in 9 CRC patients (A). (B) HLA class I epitope prediction
723 algorithms were used to identify HLA-A*02-restricted DNAJB7 9mers predicted to bind
724 with the highest affinity; top 5 across the algorithms are indicated. (C) DNAJB7-specific
725 CD8⁺ T cells were enriched in multiple donors, a representative example of the IFN- γ
726 response in one T cell line to DNAJB7 epitopes LTFFLVNSV and GMDNYISVT is
727 shown. (D) The SW480 CRC cell line was transduced with Ad5-DNAJB7 or an Ad5-

728 EMPTY vector, with the expression of DNAJB7 protein indicated by the band at 35kDa
729 and actin control at 45kDa. UT = untreated (non-transduced) SW480 cells. DNAJB7-
730 specific T cell lines from a healthy donor ('Donor 1') and a CRC patient ('Donor 2') were
731 seeded into 96-well E-plates, co-incubated with the indicated transduced / non-
732 transduced Caco-2 (E) or SW480 (F) cell lines at an effector to target ratio of 5:1.
733 Changes in impedance over a 24-hour period, normalized at the timepoint immediately
734 preceding the addition of effector T cells, are given as a dimensionless normalized cell
735 index. Experiments were performed in duplicates. Statistical results of two-way ANOVA
736 are indicated (***) $P < 0.0001$).

737 **Figure 6. Regulatory T cell depletion unmask T_H1 responses to novel TAAs.** (A) A
738 post-colectomy CRC patient received low-dose, metronomic cyclophosphamide on
739 treatment days 1-8 and 15-22, with blood samples collected weekly throughout
740 treatment. T cell responses to peptide pools spanning the entire protein sequence of
741 each candidate TAA were assessed by cultured IFN- γ ELISpot at each timepoint;
742 example images of IFN- γ ELISpot wells are shown (B). (C) The total number of IFN- γ^+
743 spot-forming cells (SFC) per 10^5 cultured PBMC (mean of duplicate wells) were
744 calculated for each TAA. (D) CD3 $^+$ CD4 $^+$ CD25 hi Foxp3 $^+$ regulatory T cell numbers and
745 %Ki67 $^+$ Tregs were measured by flow cytometry during cyclophosphamide treatment.

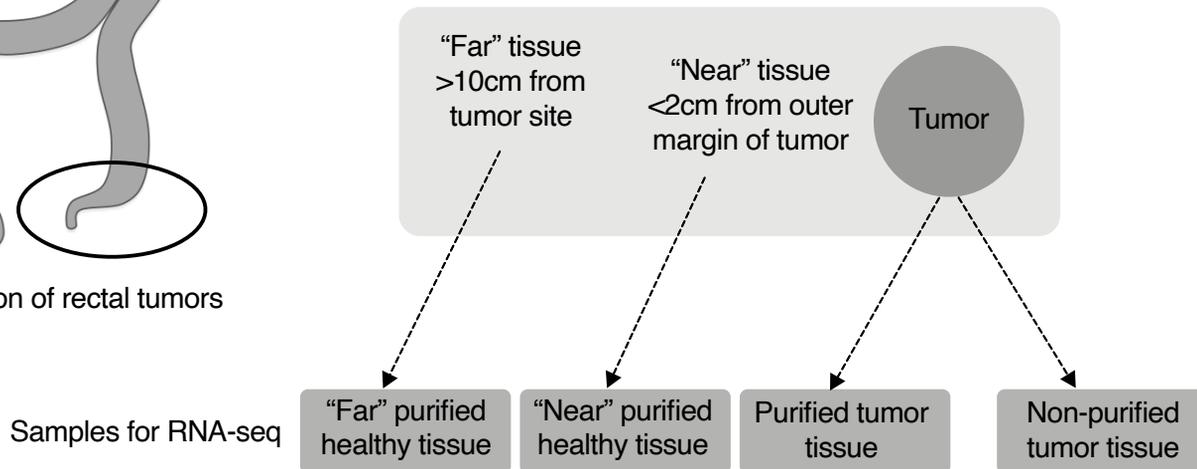
Figure 1

A



Resection of rectal tumors

Classification of “near” and “far” tissue samples



B

Sample Purification

Colonic healthy epithelium samples
and CRC tissue excised

Mechanical dissociation and live/
dead, CD3 & EpCAM staining

Live, EpCAM⁺, CD3⁻ cells isolated
by FACS

RNA-seq

C

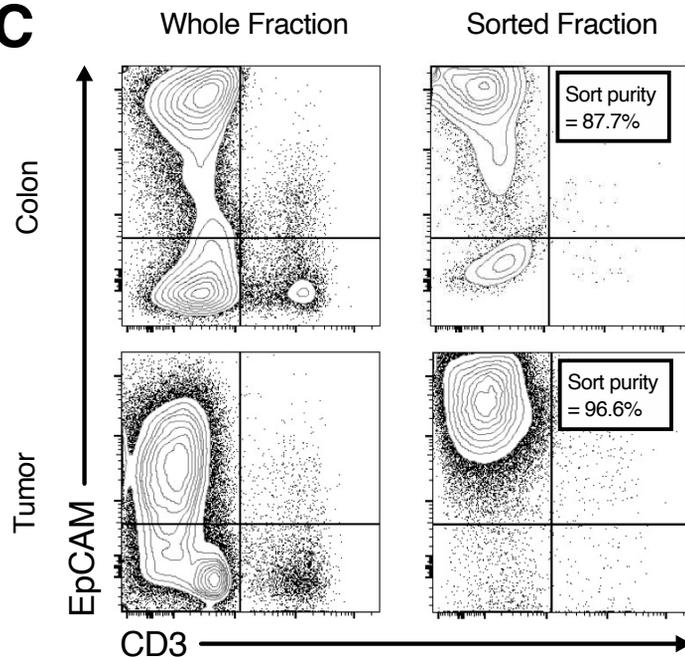


Figure 2

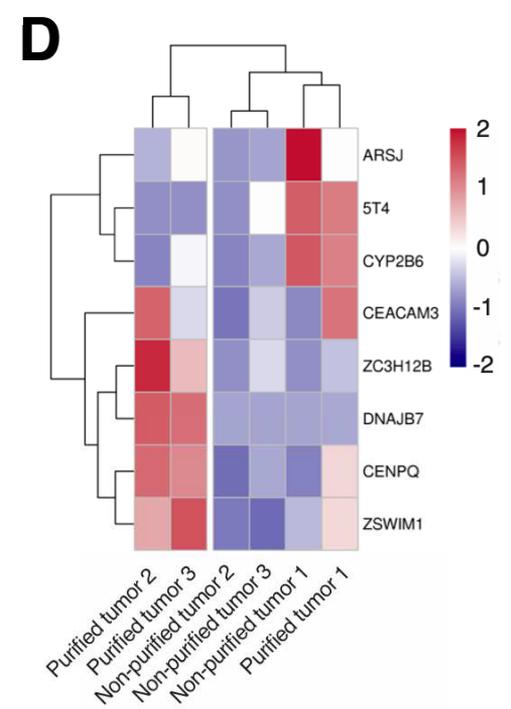
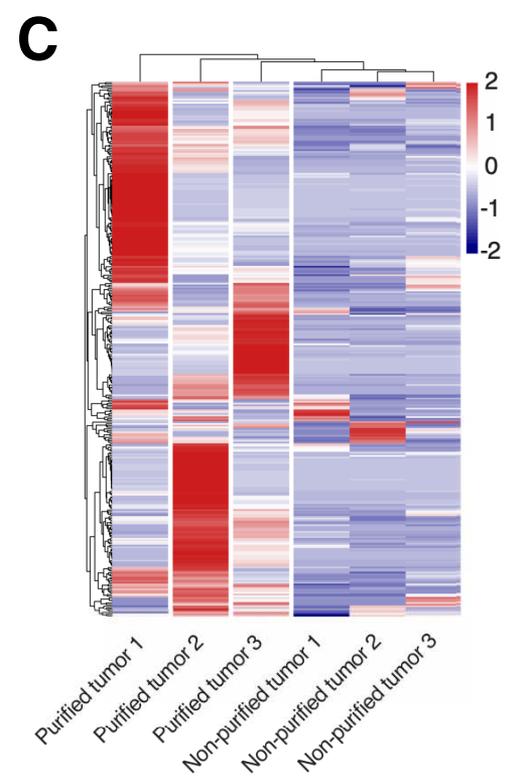
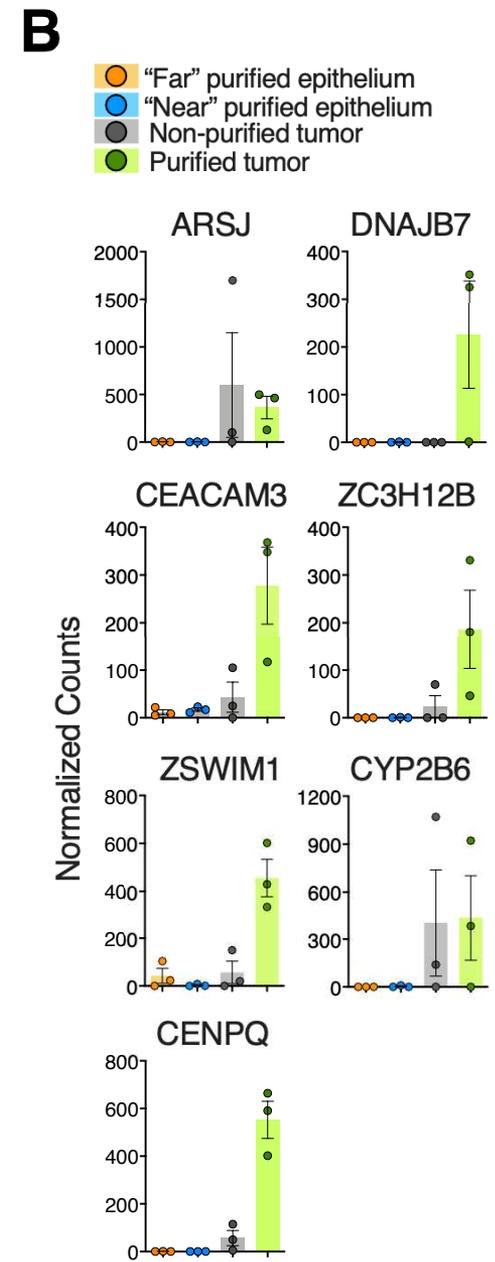
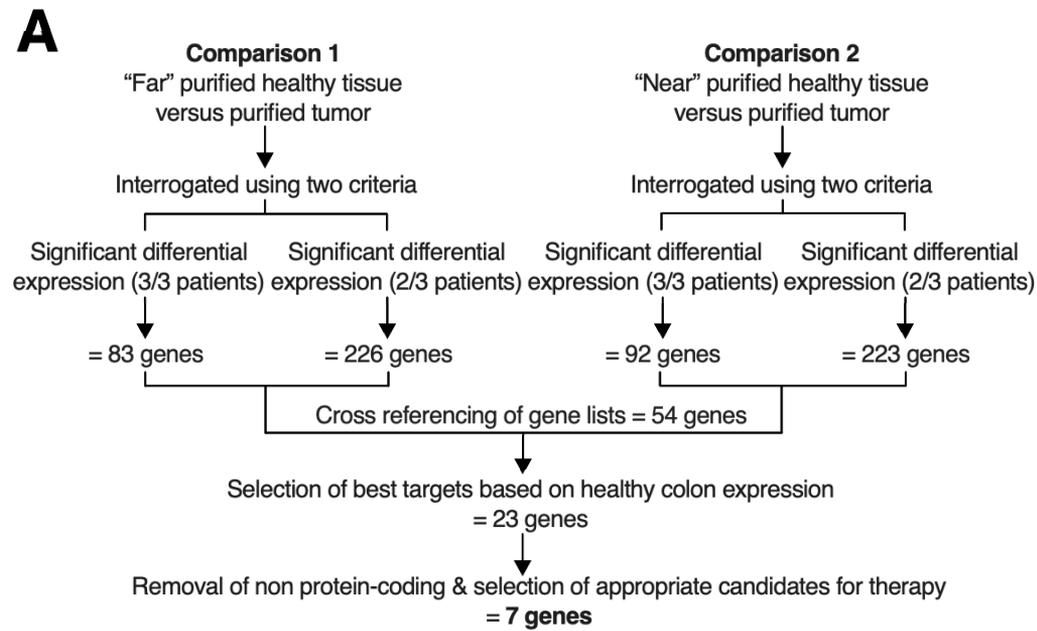
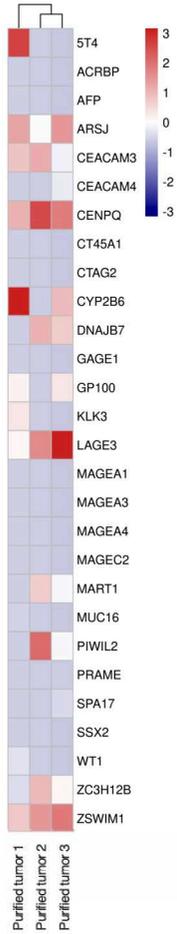
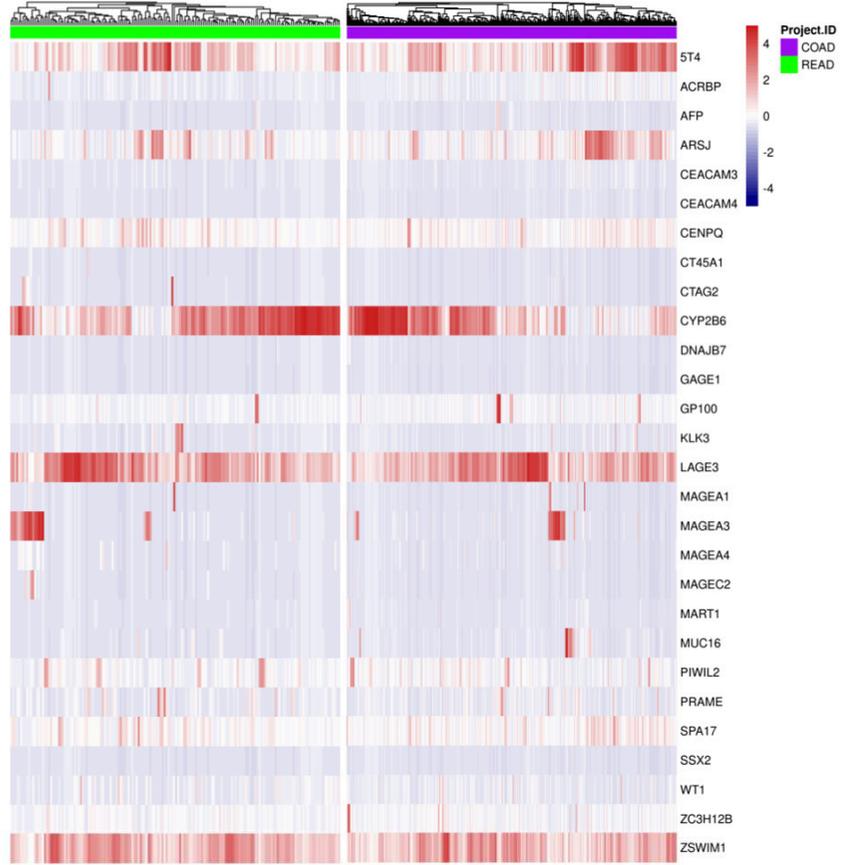


Figure 3

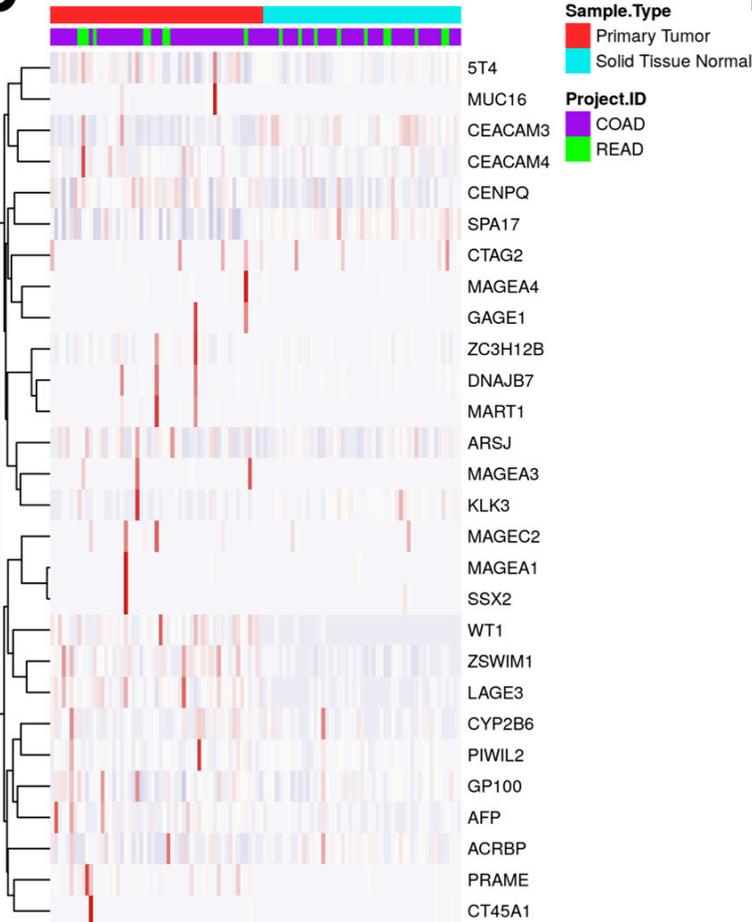
A



B



C



D

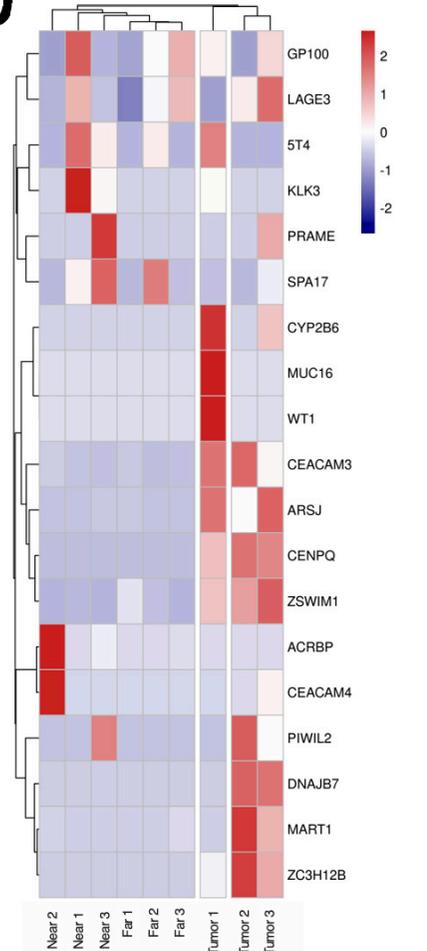
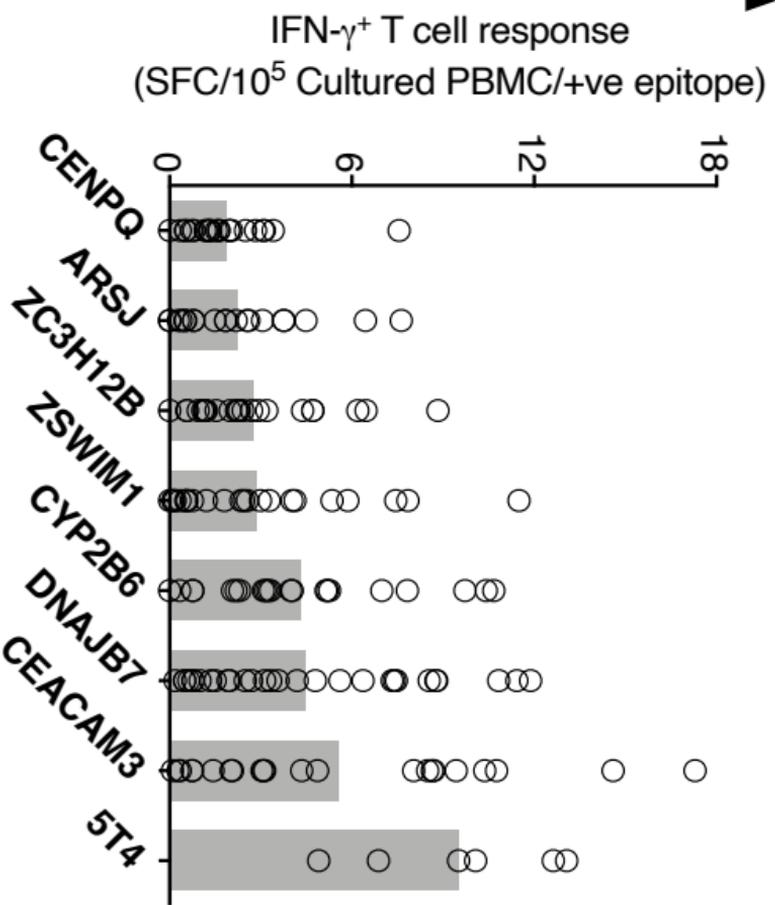
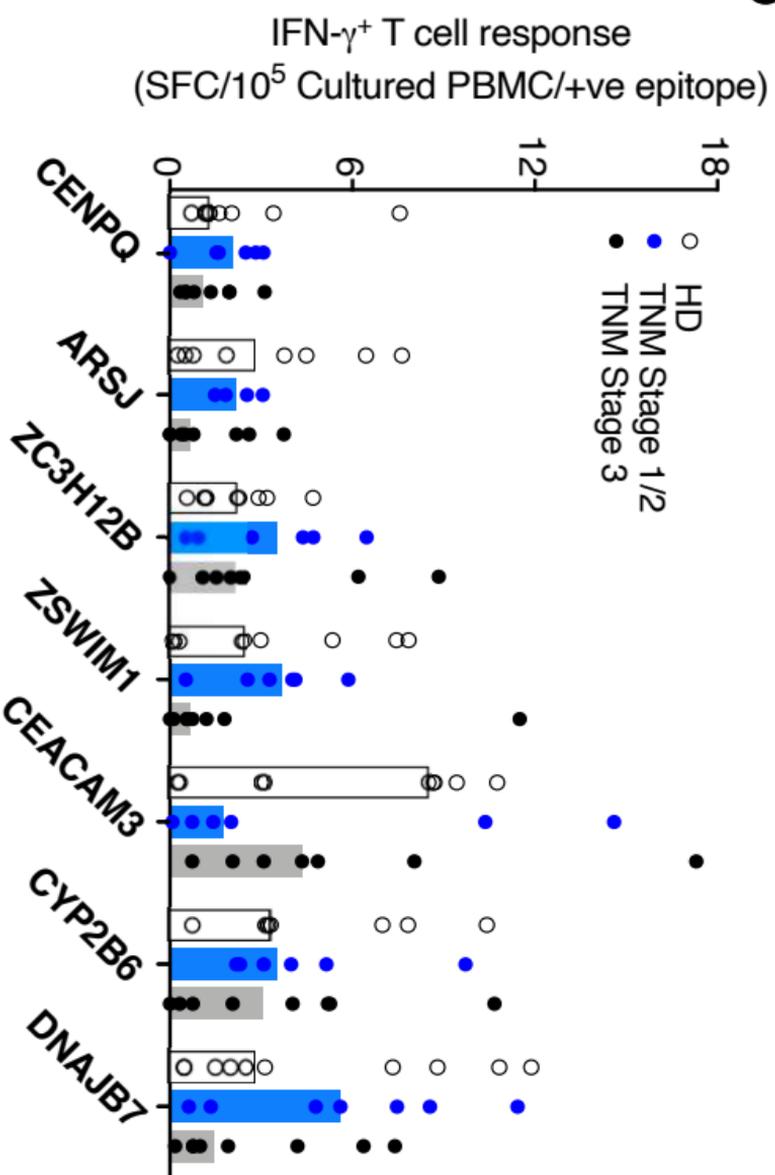


Figure 4

A



B



C

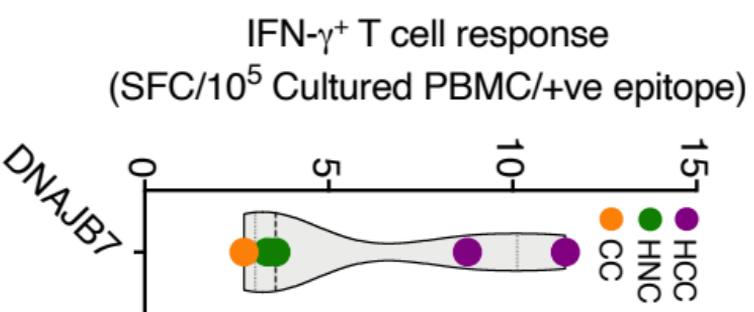
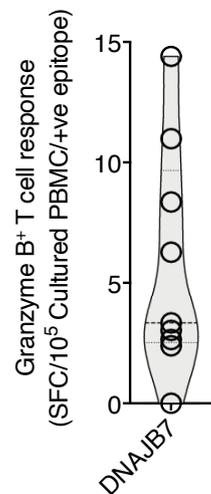


Figure 5

A

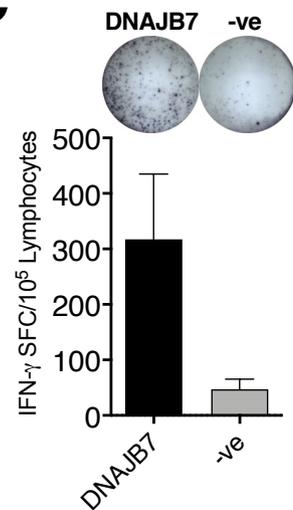


B

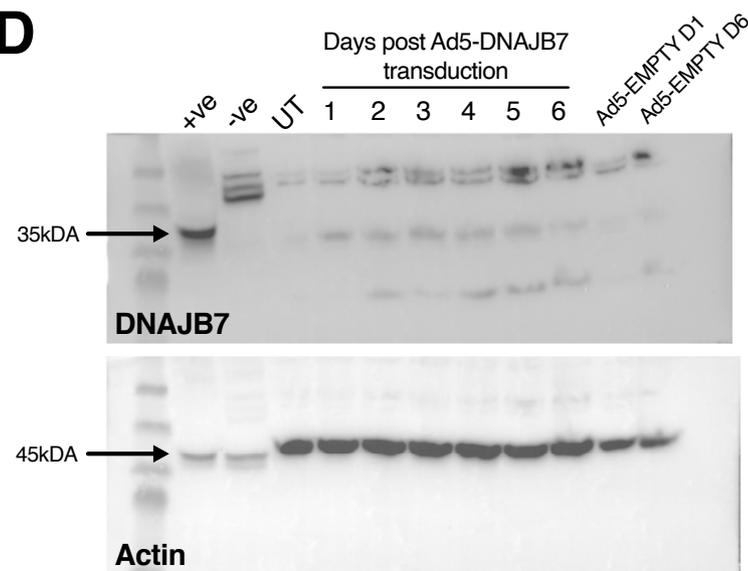
**Predicted HLA-A*02
DNAJB7 9mers**

LTFFLVNSV
SLAFDNSGM
FTFHKPDDV
GMDNYISVT
FLVNSVANE

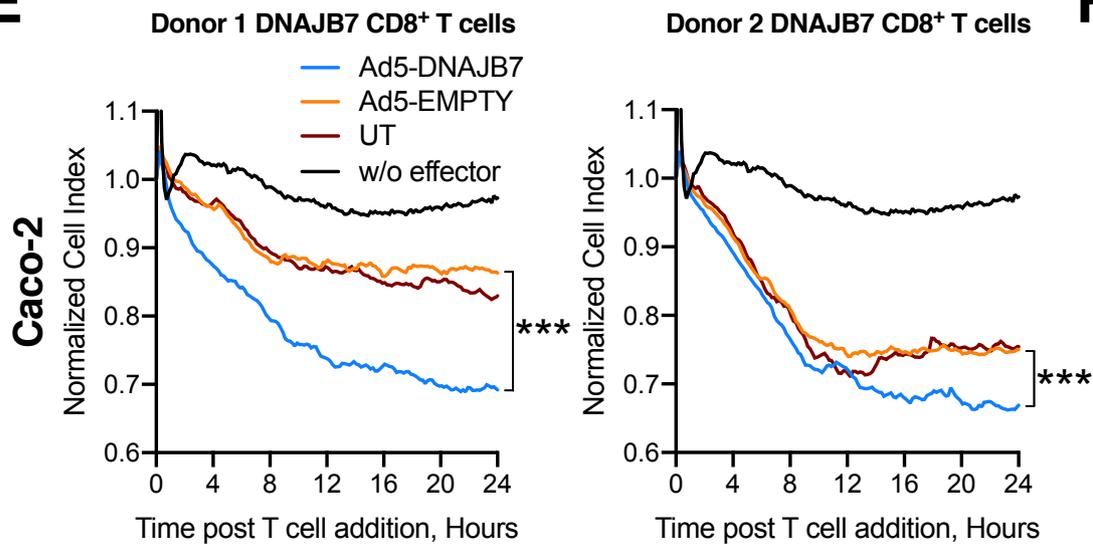
C



D



E



F

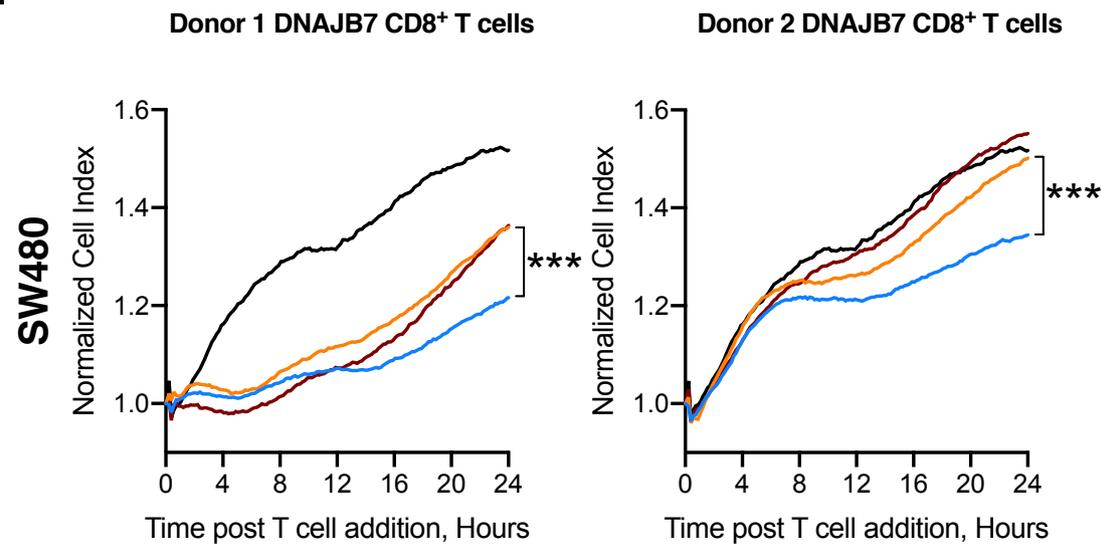
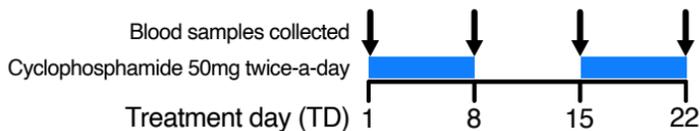
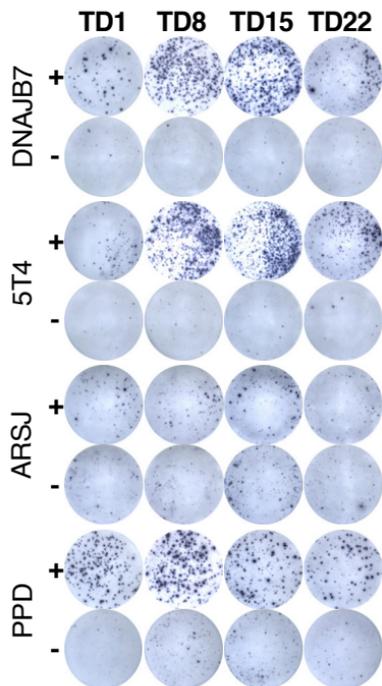


Figure 6

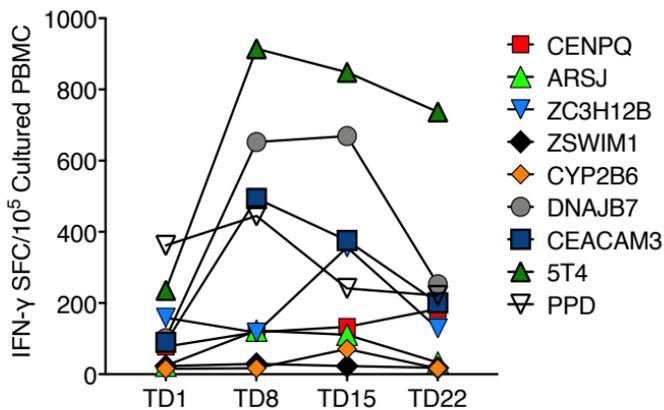
A



B



C



D

