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# Hey Factors at the Crossroad of Tumorigenesis and Clinical Therapeutic Modulation of Hey for Anticancer Treatment

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## Abstract

Hairy and Enhancer-of-split related with YRPW motif (Hey) transcription factors are important regulators of stem cell embryogenesis. Clinical relevance shows that they are also highly expressed in malignant carcinoma. Recent studies have highlighted functions for the Hey factors in tumor metastasis, the maintenance of cancer cell self-renewal, as well as proliferation and the promotion of tumor angiogenesis. Pathways which regulate Hey gene expression, such as Notch and TGF $\beta$  signaling, are frequently aberrant in numerous cancers. In addition, Hey factors control downstream targets via recruitment of histone deacetylases (HDAC). Targeting these signaling pathways or HDACs may reverse tumor progression and provide clinical benefit for cancer patients. Thus, some small molecular inhibitors or monoclonal antibodies of each of these signaling pathways have been studied in clinical trials. This review focuses on the involvement of Hey proteins in malignant carcinoma progression and provides valuable therapeutic information for anticancer treatment. *Mol Cancer Ther*; 1–14. ©2017 AACR.

## Introduction

Hairy and Enhancer-of-split related with YRPW motif factors (Hey1/2/L) belong to the basic helix-loop-helix Orange (bHLH-O) family which is also known as Hairy and Enhancer-of-split related protein (Hesr), Hairy-related transcriptional factor (HRT), Hes-related repressor protein (HERP), and cardiovascular helix-loop-helix factors (CHF; refs. 1–5). All three Hey genes have been found in developmental tissue, and abnormal expression of these proteins promotes abnormalities in stem cells, even leading to organ defects. Hey proteins can maintain an undifferentiated state of precursor cells by transcriptionally repressing cell fate regulators such as achaete-scute homolog 1 (6). In the developing heart, Hey proteins regulate cardiomyocyte precursor cell differentiation as well as epithelial-mesenchymal transition (EMT) of endocardium cells (7, 8). Since we recognized that cancer cells can monitor and utilize similar physiologic strategies to normal cells and promote tumor progression, for instance, cancer cells can initiate cellular plasticity and/or activate similar signaling pathways as mesenchymal cells, stem cells, or precursor cells do, we

have started to realize the significant role played by Hey factors in tumor progression (9, 10). Hey proteins are found to be selectively expressed in malignant tumor tissues, and numerous studies have been undertaken to explain the molecular mechanism governing the Hey proteins in tumorigenesis. The most outstanding feature is that many signaling pathways can potentially confer EMT via Hey factors in malignant carcinomas. In addition, Hey factors not only regulate differentiation, self-renewal, and proliferation of cancer cells, but contribute to tumor neovasculature as well. Accumulating evidence indicates Hey factors lay at the crossroad of tumor progression. However, there are currently very few review articles illustrating the roles of the Hey family in tumorigenesis. The current review explores the functional significance of the Hey family in initiating these processes. We also describe the signaling pathways involved in the control of Hey expression. Small molecular inhibitors or monoclonal antibodies to each of these signaling pathways show promising antitumor or antiangiogenic effect in clinical trials. Here, these promising avenues for cancer treatment are also discussed.

### Structure of the Hey family proteins

Hey family members are highly conserved and resemble their homologs, the Hairy and Enhancer of Split (Hes) family, in the four domain structures: basic, helix-loop-helix (HLH), Orange, and two C-terminal motifs. Hey proteins are directly connected to the E-box DNA sequence (CANNTG) via the glycine-rich basic domain (11, 12). The bHLH-O domain serves as a platform for cofactor interaction (3, 13). Despite extensive homology with the Hes family, Hey proteins also have significant features that distinguish them from Hes proteins, namely, the YRPW motif (YHSW for HeyL) and GTEIGAF (GTEVGAF for Hey2) peptides (ref. 1; Fig. 1). Hey proteins have been regarded as transcription inhibitors in the past. They have since been shown to act as transcription activators as well as inhibitors (Table 1). Strikingly,

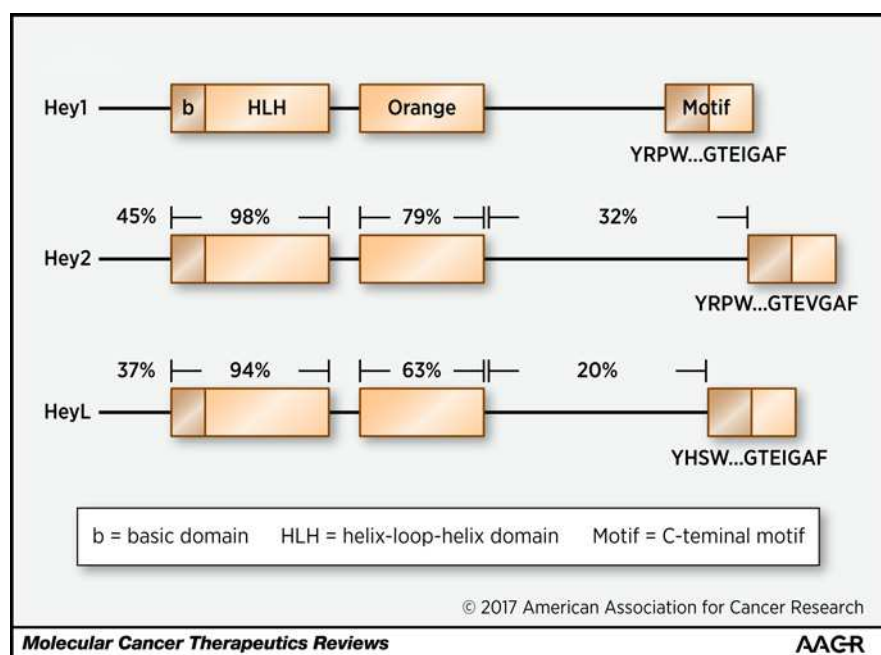
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**Note:** Supplementary data for this article are available at Molecular Cancer Therapeutics Online (<http://mct.aacrjournals.org/>).

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**Figure 1**  
Domain structures with percentage identity of Hey2 and HeyL with Hey1. The bHLH domains show the highest conservation among other domains. The Orange domain shows less conservation. Individual Hey proteins potentially recruit selective cofactors via Orange domain and C-terminal motif.

84 their function seems to be regulated at multiple levels. For  
85 instance, nonsynonymous single-nucleotide polymorphism  
86 (SNP) naturally occurs at codon 94 of Hey1, which leads to a  
87 substitution of a leucine residue by methionine (L94M) in the  
88 helix 2 domain. The L94M-mutant Hey1 transforms from an  
89 androgen receptor corepressor to androgen receptor coactivator  
90 without changing its intrinsic repressive domains (14). The phos-  
91 phosphorylation of the Serine-68 residue of Hey1 prevents its enhance-  
92 ment of p53 transcriptional activation but confers p53-activating  
93 chemotherapy resistance, whereas wild-type Hey1 stimulates p53  
94 and alters the sensitivity to p53-activating chemotherapy drugs.  
95 Interestingly, such posttranscriptional regulation is also observed  
96 within Hey2 (15). The dynamic regulation of Hey proteins at  
97 pretranscriptional levels, posttranscriptional levels, or their own  
98 characteristic structure could partly explain why Hey proteins  
99 eliminate one target molecule in certain cancers but activate the  
100 same molecule or its analogues in others. It should be noted that  
101 the specificity for protein interactions and target molecules of  
102 different Hey variants is differential between certain cell types.  
103 L94M Hey1 variant strongly interacts with Hey2, whereas Hey1  
104 forms an unstable homodimer with Hey2 (14). There is a poten-  
105 tial, unknown Hey1 variant enhancing matrix metalloproteinase 9  
106 (MMP9) expression in osteosarcoma, whereas wild-type Hey1 is  
107 unable to bind to the MMP9 promoter itself (16).

#### Hey proteins in malignant carcinomas

The levels of Hey factors are strikingly elevated in high-grade glioma, malignant osteosarcoma, high-grade esophageal squamous cell carcinoma, aggressive pancreatic adenocarcinoma rhabdomyosarcoma, as well as colorectal carcinoma (17–23). In these malignant carcinomas, aberrant Hey expression has been associated with poor prognosis, overall survival (OS), tumor grade, chemotherapy resistance, lymphatic metastasis, and vascular proliferative properties (17, 23–25). Taken together, these studies suggest that elevated levels of Hey proteins contribute to tumor progression, and to a certain extent, this is a result of their regulation of the behavior of cancer cells as well as remodeling of the tumor microenvironment (Fig. 2).

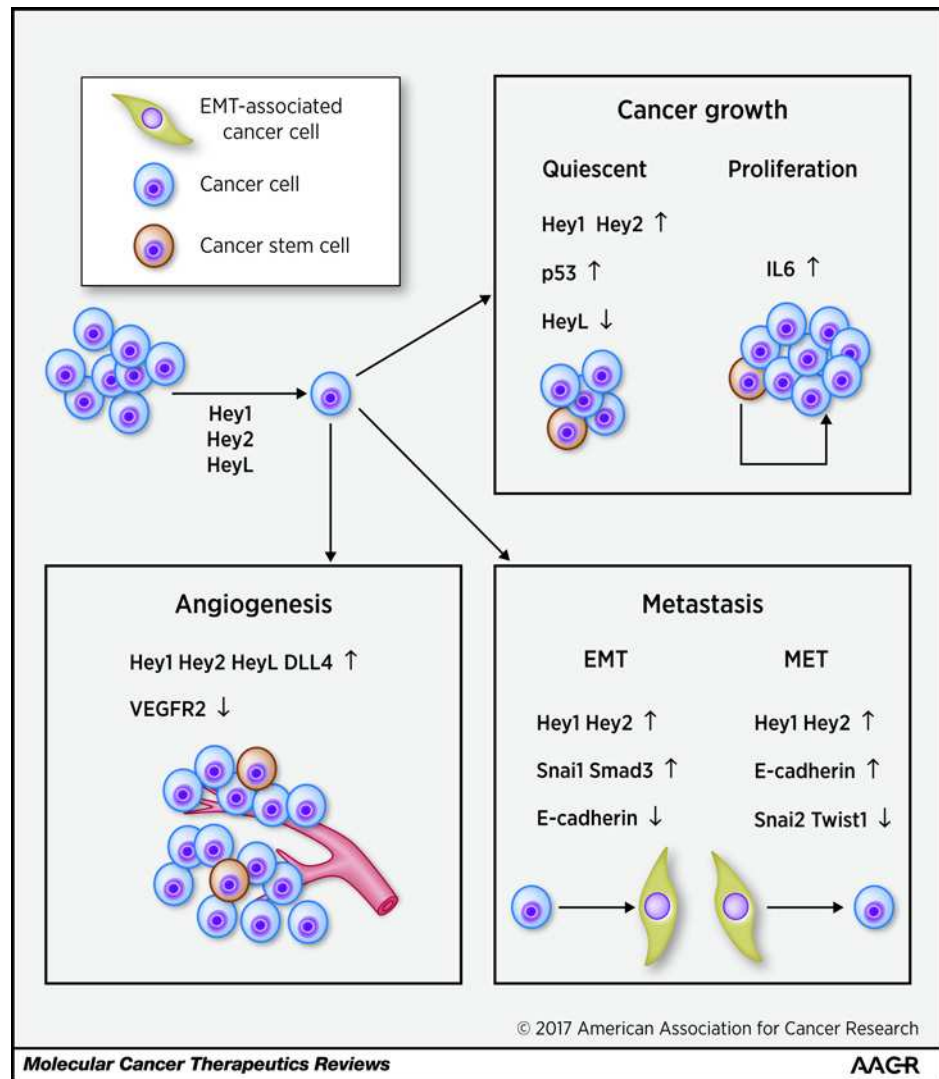
#### The roles of Hey proteins in cancer metastasis

It was first observed that Hey-induced EMT was required in the developing heart (26–29). Subsequently, Hey proteins were found to be involved in tumor metastasis progression. *In vitro*, Hey1 knockdown inhibited the invasive phenotype of osteosarcoma via downregulation of MMP9 (16). Furthermore, the transfection of Hey1 antisense oligonucleotides blocked EMT through E-cadherin expression, and Smad3 inhibition repressed the Hey1-induced EMT phenotype even with the presence of TGF $\beta$  (30). Strikingly, interaction between Hey1 and Smad3 has been observed *in vitro* (31), suggesting a Hey1–Smad3 complex transcriptionally represses E-cadherin. However, in the absence of activated Smad3, Hey1 does not influence EMT promotion, but only acts as a Snai1-initiated EMT marker (30, 32). On the other hand, Snai1, known as an E-cadherin repressor, potentially contributes to this repression process. Snai1 is reduced in Hey1/HeyL double knockouts and Hey2 knockout AV canals, and Snai1 can form a complex with Smad3 to occupy the E-cadherin promoter (26, 33). All these observations hint that Hey1 interacts with Smad3 and may inhibit E-cadherin directly or in a Snai1–Smad3–Hey1 manner. In other situations, Hey proteins promote mesenchymal–epithelial

**Table 1.** Summary of target genes, cytokine, and transcriptional factors of Hey

Targets	Hey proteins	Comment	References
P53	Hey1, Hey2, HeyL	Activation	14, 15
MMP9	Hey1	Activation	16
Snai1	Hey1, Hey2, HeyL	Activation	26, 30
IL6	Hey1	Activation	37
Twist1	Hey1, Hey2	Repression	34
Snai2	Hey1, Hey2	Repression	34
Runx2	Hey1	Repression	40, 41
Col2a1	Hey1	Repression	42
VEGFR2	Hey1, Hey2	Repression	51, 58, 60, 61

**Figure 2**  
 Hey proteins in tumorigenesis. Via activating or inactivating cytokines and other transcriptional factors, Hey proteins show their regulation on tumor progression including cancer metastasis, cancer cells' quiescence maintenance as well as cancer neovasculature.



146 transition (MET). Upon Notch4 induction, Hey proteins pro-  
 147 mote melanoma MET and are important in promoting meta-  
 148 static colonization because Hey1 and Hey2 can eliminate Snai2  
 149 as well as Twist1 expression via binding to their promoters  
 150 (34). The different stimuli have a potential influence on Hey  
 151 function, as TGFβ-induced Hey1 promotes EMT and Notch-  
 152 induced Hey proteins regulate MET or transition irrelevant due  
 153 to lack of Smad3. However, it is more complex than first  
 154 thought. Forced expression of Hey proteins has no impact on  
 155 Snai2 or E-cadherin expression in other cell lines (35, 36). Does  
 156 the paradox happen in different cell types? Evidence from the  
 157 previous section indicates the nonsynonymous SNP of Hey  
 158 genes in different cell types will affect different Hey variants'  
 159 DNA-binding ability as well as protein-interaction specificity.  
 160 Based on this, we presume that Hey variants affect Snai1/Snai2  
 161 expression transcriptionally to mediate EMT/MET in different  
 162 cell lines. More intensive research is required to fully charac-  
 163 terize Hey variants and the posttranscriptional modification of  
 164 Hey. Also, Hey1 participates in metastatic microenvironment  
 165 remodeling. Tumor-derived Jagged1 enhances osteoblast secre-  
 166 tion of IL6 via Hey1 activation, and, in turn, IL6 confers a

proliferative advantage to cancer cells (37). Epithelium-derived  
 Jagged1 activates Hey1 which then promotes metastatic lym-  
 phoma cell chemotherapy resistance as well as progression in  
 the tumor perivascular niche (38).

**Hey proteins can regulate the differentiation, self-renewal,  
 and proliferation of cancer cells**

Hey proteins were identified as one of a few genes specifically  
 expressed during embryogenesis (1, 39). Following this discovery,  
 the potential capacity of the Hey family in sustaining cell quies-  
 cence was recognized (6, 40–42). Cancers monitor the quiescence  
 strategy to keep their nondivide state and contribute to tumor  
 progression (10, 43). The upregulation of Hey1 is likely to inhibit  
 differentiation because rhabdomyosarcoma cells with an shRNA  
 antagonizing Hey1 display differentiation morphology changes  
 and the expression of differentiation marker myogenin (22). The  
 introduction of Hey1 into proliferating osteosarcoma increases  
 p53 expression and makes tumor cells stay in a nondividing state  
 through p53-dependent reversible cell-cycle arrest (14). In the  
 context of quiescence, elevated Hey family expression can reflect  
 the undifferentiating property of malignant cancer cells. In

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190	addition, the ability of Hey proteins in maintaining self-renewal	251
191	was investigated. The expression of Hey1 and Hey2 is remarkably	252
192	higher in cancer stem cells (CSC), also referred as tumor-initiating	253
193	cells (TIC), than that in non-CSCs (44). Hey1 is supposed to	254
194	maintain CSCs self-renewal capacity as the silencing of Hey1	255
195	dampens malignant tumor-initiating ability as well as tumor	256
196	growth <i>in vivo</i> and reduces cancer cell sphere formation <i>in vitro</i>	257
197	(45, 46). In hepatocellular carcinoma, Hey1 upregulation upon	258
198	c-Met/FRA1 signaling increases the number and the size of	259
199	TICs spheroids which represent the self-renewal ability of these	
200	cells (47). Furthermore, Hey proteins have an effect on cancer	
201	proliferation. Hey2 overexpression increases hepatocellular	
202	carcinoma cell viability and proliferation (48). HeyL can promote	
203	breast cancer initiation through interaction with TGF $\beta$ -activated	
204	Smad3 (31). Interestingly, HeyL promotes p53-induced	
205	cell-cycle arrest which results in suppression of cancer cell	
206	proliferation and induction of cancer cell apoptosis in hepatocellular	
207	carcinoma (49). The same study also reported that 75% of	
208	hepatocellular carcinoma tissues had inactivation of HeyL, suggesting	
209	that HeyL is a potential tumor suppressor in hepatocellular	
210	carcinoma. This is an interesting observation, despite that it	
211	was a single study and demonstrated in a small cohort ( $n =$	
212	80), this will require further validation on a larger scale. How-	
213	ever, the fact that HeyL differs in one of the key motifs, namely	
214	the YHSW motif, from Hey1 and Hey2 which both have the	
215	YRPW motif, may be one of the reasons why it acts differently	
216	from other Hey proteins. While YRPW appears to be a good	
217	target (16), YHSW, at least in hepatocellular carcinoma, may not	
218	be the case. This is clearly a fascinating area to explore, both in	
219	research and in clinical settings.	
220	<b>Balance between HeyL and Hey1/Hey2 regulates cancer</b>	
221	<b>neovasculature</b>	
222	Genetic studies have highlighted the great influence of Hey	
223	proteins in angiogenesis during development or pathologic	
224	conditions (27, 50–52). Angiogenesis actively requires a strict	
225	hierarchy between sprouting and vascular tubes (53). Previous	
226	research suggests a factor acting upstream of Hey, Delta-like 4	
227	(DLL4), is capable of controlling this hierarchy, as the inhibi-	
228	tion of DLL4 leads to a hyper-sprouting phenotype following	
229	exposure to proangiogenic factors (54–56). Much evidence,	
230	however, supports that DLL4's control on vascular sprouting	
231	is via its downstream factors, Hey1/2. It is acknowledged that	
232	epithelium with higher VEGFR2 emerges at the tip position	
233	and sustained VEGFR2 pathway activation results in excessive	
234	sprouting (57–59). Strikingly, Hey1, as well as Hey2, can sup-	
235	press VEGFR2 expression and eliminate the increased frequency	
236	of epithelial cells at the tip position (58, 60, 61). When activated	
237	by the bone morphogenetic protein (BMP)/Activin receptor-like	
238	kinase (ALK) pathway, Hey1 as well as Hey2 abrogate the hyper-	
239	sprouting phenotype and induces tube formation (58, 62).	
240	In tumors, the coordinated balance between VEGFR2 and	
241	DLL4/Hey is tightly required for tumor cell expansion (63).	
242	DLL4/Hey2 overexpression leads to tumor growth by promoting	
243	low-density and mature tumor vessels through downregulation	
244	of VEGFR2 levels (64). DLL4/Hey blockage leads to VEGFR2	
245	upregulation, which restrains tumor progression by producing	
246	hyper-sprouting, thin, fragile, and nonfunctional tumor vessels	
247	(56, 65–67). Interestingly, Jagged1-associated Hey upregulation	
248	seems to have little effect on low-density and mature tumor	
249	vessel phenotype, and Jagged1 promotes tumor-spouting angio-	
	genesis through distinct mechanisms (54, 68, 69). In contrast,	251
	HeyL potentially promotes neovascularization. Studies reveal	252
	that breast tumor-derived vascular samples exhibit at least	253
	20-fold higher levels of HeyL than normal breast vasculature.	254
	The elevated level of HeyL potentially promotes neovascuature	255
	by forcing vascular endothelial cells to undergo proliferation	256
	and ceasing apoptosis (25). Taken together, this evidence high-	257
	lights the complexity of Hey in angiogenesis, and drugs targeting	258
	DLL4, Jagged1, and ALK1 are promising.	259
	<b>Notch-Hey signaling pathway</b>	260
	The mature heterodimeric Notch receptors are cleaved at	261
	two sites once the five ligands (Delta-like 1, 3 and 4, and Jagged	262
	1 and 2) bind to the four membrane-bound Notch receptors	263
	(Notch 1, 2, 3, and 4), firstly by a disintegrin and metallopro-	264
	teinase domain-containing protein 10/17 (ADAM10/17) and	265
	secondly by $\gamma$ -secretase to release the Notch intracellular domain	266
	(NICD). In the nucleus, NICD interacts with the CBF1/Suppressor	267
	of Hairless/Lag1 (CSL) and recruits coactivators, allowing for	268
	transcriptional activation of Hey genes (4, 70, 71). Intriguingly,	269
	Notch receptors or Notch ligands show little selectivity for the	270
	induction of individual Hey proteins. Aberrant Notch-Hey axis	271
	shows great relevance to cancer biology. The Notch-Hey1 signal-	272
	ing pathway is over activated in invasive breast cell lines. Upon	273
	Notch inhibition via $\gamma$ -secretase inhibitors (GSI), their migration	274
	and invasion capacity is reduced and this is accompanied by	275
	downregulation of Hey proteins (32, 72). The disruption of	276
	Notch-Hey1 in stroma bone cells decreases Jagged1-mediated	277
	breast tumor growth and bone metastasis (37). In osteosarcoma	278
	as well as rhabdomyosarcoma, Notch-Hey inhibition reverses	279
	tumor cell proliferative and relieves tumor burden (20, 22). GSI	280
	treatment also contributes to depletion of breast CSCs (44).	281
	Furthermore, a nonfunctional vascular network which results in	282
	tumor growth inhibition emerges when the DLL4-Notch-Hey1/2	283
	pathway is blocked by DLL4 antibodies (56, 67). Thus, because	284
	GSIs, anti-Notch receptors, as well as anti-DLL4 are effective in	285
	Notch-Hey pathway inhibition, they have been developed into	286
	promising preclinical drugs (as summarized in Table 2).	287
	<b><math>\gamma</math>-secretase inhibitors</b>	288
	Various preclinical trials show that GSIs have strong antitumor	289
	effects (73, 74). When treated with MK-0752 in phase I studies,	290
	clinical benefits such as complete response (CR) and prolonged	291
	stable disease (SD) were observed (75–78). However, patients	292
	present no objective responses to monotherapy of RO-4929097	293
	in phase II clinical trials of solid tumors (79–82). Clinical indi-	294
	cation of GSIs is still controversial, as a portion of cancer patients	295
	experienced SD during RO-4929097 or MK-0752 therapy, 1	296
	advanced thyroid cancer patient achieved CR, and 71.4% (5/7)	297
	desmoid tumor patients had a partial response (PR) when they	298
	received another GSI, PF-0308414 (83). The most prominent and	299
	dose-limiting toxicity of GSIs is gastrointestinal (GI) events	300
	including diarrhea, vomiting, and nausea. This GI toxicity is likely	301
	based on the mechanism that inhibition of Notch signaling	302
	abrogates the undifferentiated state of intestinal crypt progenitor	303
	cells and results in differentiation into goblet cells (84). To reverse	304
	GI events, some investigators use glucocorticoid or tamoxifen	305
	therapy which potentially protects the intestine from goblet cell	306
	metaplasia (85, 86). Besides, the adverse events are scheduled	307
	dependent. Once-per-week dosing schedule of MK-0752 shows	308
	less severe GI events as well as fatigue than intermittent dosing for	309

**Table 2.** Selected therapeutic inhibitors of Notch signaling, TGFβ signaling, and HDACs

Mechanism of action	Agent	Biology targeted	Clinical benefits	Disease	Stage	NCT number
<b>Notch</b>						
Pan-Notch inhibitor	RO-4929097	Antitumor	SD, PD	Metastatic colorectal cancer	Phase II	NCT0116687
			SD, PD	Recurrent ovarian cancer	Phase II	NCT01175343
			SD	Pretreated pancreatic adenocarcinoma	Phase II	NCT01232829
			PR, SD	Metastatic melanoma	Phase II	NCT01120275
			CR, SD	Advanced solid tumors	Phase I	NCT00106145
Notch1-specific antibody	MK-0752	Antitumor	SD	Children CNS malignancies	Phase I	NCT00572182
			SD	Advanced cancers	Phase I	NCT01158404
			CR, PR, SD	Advanced solid tumors	Phase I	NCT00878189
Notch2/3-specific antibody	LY900009	Antitumor	SD	Advanced solid tumors	Phase I	NCT01778439
			PR, SD	Untreated metastatic pancreatic cancer	Phase I	NCT01647828
DLL4-specific antibody	OMP-52M51	Antitumor	SD, PD	Untreated small-cell lung cancer	Phase I	NCT01859741
			PR, SD	Advanced solid tumors	Phase I	NCT00871559
TGFβ	REGN421	Angiogenesis targeting	PR, SD	Pretreated solid tumors	Phase I	NCT00744562
			PR	Advanced solid tumors	Phase I	NCT00871559
ALK1-specific antibody	OMP-21M18	Angiogenesis targeting	PR, SD	Advanced solid tumors	Phase I	NCT00996957
			PR, SD	Pretreated advanced solid tumors	Phase I	NCT00557856
			SD	Pretreated urothelial cancer	Phase II	NCT01620970
			SD	Advanced solid tumors	Phase I	NCT01337050
			CR, PR, SD	Advanced cancer and glioma	Phase I	Unavailable <sup>a</sup>
HDAC	LY2157299	Antitumor	SD	Advanced solid tumors	Phase I	NCT01722825
			PR, SD	Advanced solid tumors	Phase I	NCT01722825
Pan-HDAC inhibitors	Vorinostat	Antitumor		Cutaneous T-cell lymphoma	Approved	
				Peripheral T-cell lymphoma	Approved	
				Multiple myeloma	Approved	
				Cutaneous T-cell lymphoma	Approved	

Abbreviation: CNS, central nervous system  
<sup>a</sup>Reference 121.

3 to 7 days or continuous daily dosing group and once-per-week group also achieved substantial Notch signaling inhibition (75). With glucocorticoid therapy and intermittent schedule, cancer patients are more tolerant to higher GSIs exposure and may associate with better outcomes. However, it is worth considering that GSIs have an off-target effect as γ-secretase cleaves more than 90 substrates (87). Strikingly, two types of GSIs reduce Notch1 but not Notch4 activity, suggesting some GSIs are receptors specific (88). In addition, different GSIs enjoy quite inequivalent pharmacokinetics. LY900009 is cleared by oxidation and amide hydrolysis, and its renal clearance is low, while semagacestat, an analogue of LY900009, mostly depends on renal clearance (89, 90). RO4929097 is cleared by auto-induction of cytochrome P450 family 3 subfamily A polypeptide 4 (CYP3A4), indicating that combination RO4929097 therapy with antitumor agents metabolized by CYP3A4 might show limit clinical utility (91). Furthermore, intravenous GSIs are under development (ref. 92; chemical structures of the unproved GSIs are available in Supplementary Data: Supplementary Figs. S1–S4).

**Anti-Notch receptor antibodies**

As GSIs are pan-Notch inhibitors, several antibodies were launched to block Notch receptors more specifically by binding with their extracellular-negative regulator region or ligand-binding domain. Preclinical data show antitumor, antiangiogenesis effects and decreasing CSCs frequency following treatment with these receptor-specific antibodies (93–95). Based on the success of Notch-specific antibodies, OMP-52M51 (anti-Notch1) and OMP-59R5 (anti-Notch2/3) have been studied in clinical trials. In a phase I study in solid tumors, the best response to OMP-52M51 was 2 patients with adenoid cystic carcinomas: one achieved PR; the other had SD for 290 days

and SD was also observed in other tumors (96). Untreated metastatic pancreatic cancer patients only present SD, whereas 75% (6/8) of untreated extensive-stage small-cell lung cancer patients achieve PR to OMP-59R5 monotherapy (97, 98). Anti-Notch receptor antibodies are attractive, as they still function even in Notch receptors carrying mutations, and some of these tumors carrying mutations may be highly sensitive to these antibodies (93).

**Anti-DLL4 monoclonal antibodies**

Considering the great importance that DLL4 exerts on tumor vessel formation, targeting DLL4 was used to target tumor angiogenesis in preclinical studies, and several DLL4-blocking monoclonal antibodies have also been used to target Notch-mediated tumor angiogenesis in clinical trials (64, 99). SD and PR were noted in 41% of patients with advanced solid tumors when treated with REGN421 (Enoticumab), a DLL4 monoclonal antibody, in a phase I trial (100). OMP-21M18 (Demcizumab), another anti-DLL4 monoclonal antibody, showed antitumor effect, and 40% of patients with solid tumors responded with a reduction in tumor size (101). Although promising and well tolerated, severe adverse events, including hemangiomas, bleeding episodes, increased levels of troponin I, and ventricular dysfunction, were observed. In addition, targeting Jagged1 seems to exhibit an alternative therapeutic strategy which requires further clinical data (102, 103).

**Agents in preclinical stage**

Other agents blocking Notch signaling are also under development. Soluble decoys, which are Notch receptor extracellular domains or Notch ligands fused with or without human IgG, compete with endogenous ligands and inhibit Notch signaling activation. Notch1 decoys consisting of certain

378	EGF-like repeats can interrupt Jagged-class-induced Notch	437
379	uniquely and show antiangiogenesis as well as antitumor	438
380	effects with limited adverse events <i>in vivo</i> (68). Soluble DLL1	
381	or Jagged1 decoys can also block Notch signaling successfully	
382	(104, 105). Also, cell-permeable peptides interact with NICD-	
383	CSL and form a transcriptionally repressive complex which	
384	halts leukemia cell proliferation (106, 107).	
385	<b>TGFβ-Hey signaling pathway</b>	
386	Recent evidence has documented that TGFβ signaling induces	
387	Hey protein in a Notch-independent manner or through canonical	
388	Notch. Upon TGFβ1 activation, initiation of Hey is conducted	
389	by Smad3/Smad4 complex binding to Hey promoters at Smad-	
390	binding element core repeat (SCR) positions, and Hey gene	
391	activation is still observed when canonical Notch is abrogated	
392	by GSI (30). BMP9 protein activates Smad1/5/8 and directly	
393	stimulates Hey expression via a noncanonical Notch signaling	
394	pathway when it binds to TGFβ type I receptor-ALK1	
395	receptor (58, 62, 108). On the other hand, activation of the Hey	
396	family can be enhanced by synergy between TGF-β/BMP and	
397	Notch signaling. Smads physically interact with Notch-dependent	
398	NICD and synergistically activate transcription of Hey1, Hey2,	
399	and HeyL, when Smads are activated by BMP-ALK5/6 or	
400	TGFβ1 treatment (30, 109, 110). As with the Notch pathway,	
401	TGFβ signaling is often elevated in tumors and contributes to	
402	tumor progression. Subsequent studies have indicated a crucial	
403	role for TGFβ in EMT initiation, and tumors break free from	
404	their neighboring tissue to undergo metastasis through TGFβ-	
405	induced EMT (111). Smad3 is of significant importance for	
406	Hey1-induced EMT as Smad3 is an integral molecule for repress-	
407	ing E-cadherin. In addition to metastasis, TGFβ pathway activation	
408	has been linked to tumor angiogenesis. Upon BMP9	
409	treatment, the ALK1-Hey signaling pathway forces epithelial	
410	cells to remain in a stalk cell state, resulting in tube induction	
411	and mature vessel phenotype (58). If the ALK1-Hey signaling	
412	pathway is abrogated through addition of the ALK1 inhibitor,	
413	K02288, a hyper-sprouting phenotype is induced <i>in vitro</i> and	
414	angiogenesis is disrupted <i>in vivo</i> (112). Thus, TGFβ receptor	
415	inhibitors, which are potentially antitumor as well as antiangi-	
416	ogenesis drugs, have been applied in preclinical trials (as	
417	summarized in Table 2).	
418	<b>ALK1 blockers</b>	
419	Several ALK1 inhibitors have been studied in clinical trials.	
420	ACE-041 (Dalantercept), another ALK1 blocker, was tested in	
421	squamous cell carcinoma, non-small cell lung cancer, and	
422	intestinal adenocarcinoma and displayed antitumor activity in	
423	phase II clinical trial (113). No responses or PR to PF-	
424	03446962, an antibody targeting ALK1, was observed in hepa-	
425	tocellular carcinoma, urothelial cancer, colorectal cancer, malig-	
426	nant pleural mesothelioma, and other solid tumors (114–116).	
427	Three patients with metastatic hepatocellular carcinoma, met-	
428	astatic clear cell renal carcinoma, and KRAS-mutant non-small	
429	cell lung cancer showed PR to PF-03446962 in another phase I	
430	trial (117). SD was observed among these four studies.	
431	Although only a very small part of patients have PR to anti-	
432	ALK1, further research is required into anti-ALK1. PR and SD	
433	were observed in portions of patients who still had lesions and	
434	cancer progression following VEGFR tyrosine kinase inhibitor	
435	(TKI) treatment. The combination of VEGFR TKI and ACE-041	
	results in a promising antiangiogenesis effect with marked	437
	tumor vascular disruption in xenograft models (118).	438
	<b>ALK5 inhibitor</b>	439
	LY2157299 (galunisertib), a small molecular inhibitor targeting	440
	the TGFβ receptor I, was originally developed as an ALK5	441
	inhibitor and proved to complement ALK4/7 inhibitors (119).	442
	LY2157299 exerts an anti-invasive effect rather than antiprolif-	443
	erative effect on hepatocellular carcinoma cells via repression	444
	of Smad2 and Smad3 phosphorylation (120). A total of 24.3%	445
	of patients with glioma had either CR or PR to LY2157299, and	446
	26.7% showed SD to LY2157299 in a phase I trial (121).	447
	Interestingly, 80% of low-grade glioma patients with isocitrate	448
	dehydrogenase mutation received clinical benefits in this study,	449
	when given LY2157299 treatment. In addition, LY2157299 is	450
	well tolerated and safe without adverse cardiac events. How-	451
	ever, LY2157299 shows limited antitumor effects in pancreatic	452
	tumors (122).	453
	<b>Hey mediates histone deacetylases</b>	454
	The mechanisms through which Hey factors regulate their	455
	downstream effectors might also provide promising strategies	456
	for anticancer treatment. Hey factors are known to repress the	457
	expression of their target genes through recruitment of cofactors	458
	(123). Through Hey-mediated transcriptional repression, cancer	459
	cells maintain their undifferentiation state. Hey1 transcriptionally	460
	represses myogenin expression to sustain embryonal rhabdo-	461
	myosarcoma cells in an immature state (22). Heterodimers	462
	between Hes1 and Hey factors potentially silence achaete-scute	463
	homolog 1, which results in the maintenance of an undifferent-	464
	iated state of tumors (124–126). Histone deacetylases (HDAC)	465
	are potentially involved in the repressive effects of Hey factors,	466
	as treatment with trichostatin A, a pan class I and II HDAC	467
	inhibitor, partially abrogates the repressive effect of Hey factors	468
	(127–129). It has been suggested that Hey factors can use their	469
	bHLH domain to recruit the mSin3-NCoR-HDAC1 complex or	470
	associate with SIRT1, a member of NAD <sup>+</sup> -dependent HDACs, and	471
	induce transcriptional repression (11, 127). Further research	472
	indicates that Hey-HDACs complexes reduced target gene expres-	473
	sion by downregulation of histone H3 lysine 27 acetylation	474
	(H3K27ac), which represents active transcription (130). Con-	475
	versely, the inhibition of HDACs can lead to accumulation of	476
	acetylated histones and results in active transcription of target	477
	genes which are expected to cause tumor differentiation and	478
	induction of apoptosis (131, 132). Because the expression of	479
	HDACs is required for tumor cell survival and maintenance of an	480
	undifferentiated state, HDAC inhibitors might provide a new	481
	antitumor strategy. However, the application of HDAC inhibitors	482
	remains paradoxical and should be studied in different types of	483
	cancer. The silencing of HDAC1 and/or HDAC2 can give rise to	484
	hematologic malignancy initiation (133). Knockout of HDAC3	485
	impairs genome stability as well as integrity and results in hepa-	486
	tocellular cancer (134).	487
	<b>HDAC inhibitors</b>	488
	Five HDAC inhibitors have been approved for T-cell lymphoma	489
	treatment, vorinostat (MK0683), belinostat (PXD-101), panobi-	490
	nostat (LBH-589), and romidepsin (FK-228), by the FDA, and	491
	chidamide (CS055/HBI-8000) approved in China (ref. 135; as	492
	summarized in Table 2). These highlight the impact of HDAC	493
	inhibitors as antitumor agents. A great number of HDAC	494

497	inhibitors are currently in testing in different phases of trials,	558
498	either combined with other antitumor chemotherapeutics or as	559
499	monotherapies. A phase II study indicates that entinostat (SNDX-	560
500	275/MS-275), an inhibitor of HDAC 1 and 3, brings clinical	561
501	benefits (PR, CR, and SD) to 24% of Hodgkin lymphoma patients,	562
502	and the median progression-free survival (PFS) as well as OS of	563
503	these patients was 5.5 months and 25.1 months, respectively	
504	(136). Entinostat also shows antitumor effect in several clinical	
505	trials (137, 138). In estrogen receptor-positive breast cancer, the	
506	combination of exemestane with entinostat improves median PFS	
507	to 4.3 months and median OS to 28.1 months, whereas median	
508	PFS and OS is 2.3 and 19.8 months, respectively, in the exemes-	
509	tane plus placebo group (139). Other HDAC inhibitors, such as	
510	ITF2357, CHR-3996, and JNJ-26481585, have been studied and	
511	show promising antitumor effect (140–142).	
512	<b>Combination of therapies</b>	564
513	The combination of therapies targeting TGF $\beta$ , HDACs, and	565
514	Notch pathways requires thorough investigation regarding their	566
515	cross-talk in specific cancer settings. For example, Notch and	567
516	TGF $\beta$ have synergetic carcinogenic effects in lung carcinoma,	568
517	head and neck squamous, esophageal adenocarcinoma, renal	569
518	cell carcinoma, thyroid carcinoma, and breast cancer (31, 143–	570
519	146). Because both TGF $\beta$ and Notch signaling can activate Hey,	571
520	the simultaneous inhibition of both pathways might result in	572
521	better outcomes than blockade of either individually. Interest-	573
522	ingly, inhibition of both Notch and TGF $\beta$ cannot increase the	574
523	synergetic effects on inhibition of cancer cell migration, but	575
524	additional blockage of Notch attenuates cancer cell prolifera-	576
525	tion in TGF $\beta$ -treated cells (145). This highlights that combina-	577
526	tion therapies may affect more than one angle. Besides, combina-	578
527	tion of ALK1 inhibitors and GSI shows promise in targeting	579
528	tumor angiogenesis, as inhibition of both signaling pathways	580
529	further abolishes angiogenesis when compared with the inhi-	581
530	bition of each alone (58). However, there is little clinical trial	582
531	data about the combination of Notch and TGF $\beta$ inhibitors, and	583
532	further insightful studies are required. In another instance,	584
533	targeting both Hey levels and Hey activity concomitantly might	585
534	prove advantageous in cancer treatment. As an example, Hey	586
535	proteins exert their influence on tumor cells by recruiting	587
536	HDACs; when combining GSI and vorinostat, glioma and	588
537	melanoma cells show a decreased viability (147).	589
538	Another strategy is to combine molecular-targeted drugs with	590
539	classical chemotherapies. The combination of GSIs, HDAC	591
540	inhibitors, or TGF $\beta$ inhibitors with cytotoxic agents results in	592
541	a more effective therapy since the inhibition of these pathways	593
542	has been observed to enhance cancer cell lines sensitive to	594
543	chemotherapy (148–150). Some clinical trials have also estab-	595
544	lished the efficacy of combination therapies. For example, when	596
545	combined GSIs with cytotoxic chemotherapy, clinical benefits,	597
546	such as PR and prolonged SD, are observed in solid cancer	598
547	patients (73, 151, 152). Encouraging antitumor activity is	599
548	noticed in a Notch2/3-specific antibody study. Treatment	600
549	OMP-59R5 with etoposide/cisplatin or nab-paclitaxel/gemci-	601
550	tabine shows 100% (3/3) PR in small cell lung cancer and 35%	602
551	(9/26) PR and 35% (9/26) SD in untreated metastasis pan-	603
552	creatic cancer, respectively (97, 98). HDAC inhibitors in combi-	604
553	nation with classical chemotherapy also lead to a stronger	605
554	antitumor effect. For instance, 64% thymoma and thymic	606
555 <sup>Q10</sup>	carcinoma patients show objective response to belinostat in	607
556	combination with cisplatin, doxorubicin and cyclophospha-	608
	mid and vorinostat combined with fludarabine, mitoxan-	609
	trone, and dexamethasone results in a 77.8% overall response	610
	rate in relapsed or refractory mantle cell lymphoma (153, 154).	611
	However, the combination of HDAC inhibitors with chemo-	612
	therapy may lead to unacceptable toxicity and on times is less	613
	efficient (155–157).	614
		615
		616
		617
	<b>Perspective in selectively targeting Hey proteins and bHLH</b>	564
	<b>factors</b>	565
	Because different tumors tend to upregulate Hey proteins via	566
	distinct pathways, targeting Hey proteins directly may bring about	567
	a higher response rate than blocking these pathways individually.	568
	Besides, targeting Hey proteins themselves may result in fewer	569
	side effects because the target genes of Notch, TGF $\beta$ , and HDAC	570
	signaling pathways will be unaffected. To target Hey, we have to	571
	understand the mechanism of action of Hey. There are two	572
	possible mechanisms of transcriptional regulation mediated by	573
	Hey proteins. The first mechanism is E-box-dependent transcrip-	574
	tional regulation. Hey proteins bind to E-box via basic domain	575
	and form functional complex with other cofactors via HLH	576
	domain. A domain located between amino acids 47 and 122 is	577
	necessary (11, 158). The second mechanism is E-box independ-	578
	ent. Hey interacts with DNA-binding proteins via HLH-O	579
	domain and performs as a cofactor. The critical domains locate	580
	in amino acids 47 to 76 and 111 to 291, which stride over bHLH	581
	and Orange domains (61, 159). Based on these, some small	582
	molecular inhibitors to antagonize Hey–DNA interaction and	583
	Hey–cofactor interaction might be promising. Dimer inhibitors	584
	from natural compounds were reported to disrupt the Hey homo-	585
	log Hes1 dimerization (160). It is still possible to isolate small-	586
	molecule inhibitors targeting Hey. In addition, mutagenesis of	587
	Hes1 amino acid sequence in the basic domain does not decrease	588
	its dimerization-forming ability, but abrogates its transcriptional	589
	function (161, 162). Thus, we may construct high structural	590
	compatible Hey-dominant-negative peptides which can form	591
	inert complexes with Hey and block the three critical functional	592
	domains of Hey to disrupt their protein–protein and DNA–	593
	protein interfaces. The most successful example is designing	594
	stabilized, cell-permeable peptides which bind with NICD–CSL	595
	complex and prevent mastermind-like-1 interfacing to antagonize	596
	leukemia proliferation (107).	597
	Human bHLH transcription factors contain over 200 mem-	598
	bers and can be divided into five classes based on phylogenetic	599
	analysis (163). Hey transcriptional factors belong to clade B,	600
	and other transcriptional factors, such as Twist1-2 (clade A),	601
	MyoD (clade C), Max (clade D), Myc (clade E), and hypoxia-	602
	induced factor (HIF, clade E), are also bHLH factors. From	603
	the mechanistic inhibitory action of bHLH, the bHLH inhibi-	604
	tors can be summarized into the following groups: preventing	605
	dimerization, preventing DNA binding, and preventing bHLH	606
	factors expression (164). For example, some small-molecule	607
	inhibitors were isolated to specifically inhibit Myc–Max dimer-	608
	ization and block the binding of Myc–Max to DNA without	609
	affecting other structure-like bHLH factors dimerization	610
	(165, 166). By using Myc bHLH–Zip domain fragments,	611
	researchers also discovered local conformational changes and	612
	formation of hydrophobic cavities at the specific peptide	613
	sequences of the fragments upon binding with these small-	614
	molecule inhibitors (167). This makes it possible to design	615
	specific inhibitors simply through protein sequence analysis	616
	because the small-molecule binding sites have certain peptide	617



620 sequence criteria. Also, HIF dimer inhibitors as well as HIF  
621 DNA-binding inhibitors have been reported (168, 169). In  
622 addition, dominant negative peptides mimicking the HLH  
623 domain show a significant impact on E2A dimerization  
624 (170). Peptides of MyoD which have a high affinity for Id1  
625 can interrupt MyoD–Id1 interaction and exhibit antitumor  
626 effects *in vitro* (171).

## 627 Conclusion

628 Hey proteins, a subfamily of mammalian bHLH-O transcrip-  
629 tional factors, have been highly investigated in several research  
630 studies since they have been found to be overexpressed in aggres-  
631 sive tumors. Previous work has focused on their transcriptional  
632 repressive roles in the maintenance of the undifferentiated state.  
633 More recently, studies reveal novel characteristics of Hey proteins  
634 in the regulation of cancer metastasis and their influence on  
635 angiogenesis. This article offers insight into the significant roles  
636 of Hey proteins in tumorigenesis. Alternatively, therapeutic agents  
637 able to reverse aberrant Notch, TGF $\beta$ , and HDACs levels have been  
638 evaluated in clinical trials, but treatment-associated toxicities are  
639 also observed. Targeting Hey factors may represent an opportu-  
640 nity for higher response rates but fewer side effects than treatment  
641 with GSIs, TGF $\beta$  blockers, and HDAC inhibitors. Attention should  
642 be drawn to the Hey family in drug design, and studies must be  
643 carried out to analyze outcomes using Hey-specific inhibitors in  
644 the future.

## 67:Q13

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No potential conflicts of interest were disclosed.

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