Cyclohexane oxidation using Au/MgO: an investigation of the reaction mechanism

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The liquid phase oxidation of cyclohexane was undertaken using Au/MgO and the reaction mechanism was investigated by means of continuous wave (CW) EPR spectroscopy employing the spin trapping technique. Activity tests aimed to determine the conversion and selectivity of Au/MgO catalyst showed that Au was capable of selectivity control to cyclohexanol formation up to 70%, but this was accompanied by a limited enhancement in conversion when compared with the reaction in the absence of catalyst. In contrast, when radical initiators were used, in combination with Au/MgO, an activity comparable to that observed in industrial processes at ca. 5% conversion was found, with retained high selectivity. By studying the free radical autoxidation of cyclohexane and the cyclohexyl hydroperoxide decomposition in the presence of spin traps, we show that Au nanoparticles are capable of an enhanced generation of cyclohexyl alkoxy radicals, and the role of Au is identified as a promoter of the catalytic autoxidation processes, therefore demonstrating that the reaction proceeds via a radical chain mechanism.

1. Introduction

The partial oxidation of cyclohexane to cyclohexanone and cyclohexanol is a major process in industrial chemistry since these two products are chemical precursors for the manufacture of nylon-6 and nylon 6,6 fibres via oxidation to adipic acid. Typically this reaction is carried out in the liquid phase under aerobic conditions using air as oxidant at 125–160 °C and 3–15 bar, normally using cobalt-based homogeneous catalysts, such as cobalt(II)-naphthenate or cobalt acetylacetonate. The reaction mechanism is known to proceed by a free radical autoxidation mechanism. Heterogeneous catalysts such as MoO3, Cr2O3 and WO3 have also been reported, and gas phase catalytic oxidation can be used. However, the major drawback of these processes lies in the poor selectivity control to cyclohexanol and cyclohexanone. In fact, in order to avoid the formation of a high organic acid content, and to preserve a high selectivity to the alcohol and the ketone (> 70%), conversion values are industrially limited to 4–12%. This prompted several research groups to explore the possibility of developing new catalysts, for example using gold-based catalysts for the liquid phase oxidation of cyclohexane because of the efficiency of these materials in a vast array of selective oxidation reactions. However, it is currently debated if gold-based materials are real catalytic systems or rather act as promoters of the autoxidation pathways for the cyclohexane oxidation reaction.

Silica-supported gold catalysts, modified by doping with TiO2, were reported to be capable of high conversion, relative to the industrial catalyst, of ca. 10% and selectivity to the alcohol and ketone (K/A oil) > 70%, which was not observed in the absence of supported gold nanoparticles; the conclusion reached was that this was a real catalyst for cyclohexane oxidation. On the other hand, investigation of the cyclohexane oxidation over Au/Al2O3, Au/TiO2 and Au/SBA-15 showed that the reaction proceeds via a pure radical pathway with products typical of autoxidation and the reaction could be fully inhibited by means of radical scavengers. Finally, it has also been shown that gold nanoclusters supported on hydroxyapatite were capable of displaying high activity towards cyclohexane and that no reaction was taking place in the absence of gold, although the reaction required the presence of radical initiators, typically tert-butylhydroperoxide (TBHP).

This lack of unambiguous evidence on the true catalytic role of gold in the cyclohexane oxidation, prompted us to carry out a mechanistic study using Au/MgO because of its excellent selective oxidation properties. To test if the cyclohexane oxidation reaction proceeds via a radical mechanism, we employed X-band EPR spectroscopy combined with the spin trapping technique as well as radical scavengers. The principle of the spin-trapping methodology relies on the fast selective addition, i.e. trapping, of short-lived radicals to a diamagnetic spin trap, usually a nitroso or a nitroso compound, such as 5,5-dimethyl-1-pyrroline-N-oxide (DMPO). The product of
this addition, known as the spin adduct, is a persistent free nitroxide radical with a sufficiently long lifetime to enable detection by conventional EPR spectroscopy (Scheme 1). Because of the hyperfine coupling between the unpaired electron in the spin adduct and the $^1$H in the beta position for the chosen spin trap, it is often possible to assign the structure of the original short-lived radicals due to changes in the $^{14}$N and $^1$H hyperfine coupling constants of the spin trap molecule. In this paper we present the results of this mechanistic study.

### 2. Materials and methods

#### 2.1 Chemicals

DMPO, toluene, dichloromethane, chloroform and other chemicals were purchased from Aldrich and used without further purification unless otherwise specified.

#### 2.2 EPR experiments

X-band continuous wave (CW) EPR spectra were recorded at room temperature in deoxygenated cyclohexane, using a Bruker EMX spectrometer. The typical instrument parameters were: centre field 3487 G, sweep width 100 G, sweep time 55 s, time constant 10 ms, power 5 mW, modulation frequency 100 kHz, and modulation width 1 G. Quantitative spectral analysis was carried out using WinSim software.

The spin trapping experiments were performed using the following procedure: 5,5-dimethyl-1-pyrroline-N-oxide (DMPO) (0.1 mL of 0.1 M solution in cyclohexane) was added to the substrate (0.1 mL of 2.5 molar% solution of cyclohexyl hydroperoxide – hereafter abbreviated CHHP – in cyclohexane), in an EPR sample tube. The mixture was deoxygenated by bubbling N$_2$ for 1 min prior to recording the EPR spectra in order to enhance the signal intensity. For the samples containing the Au/MgO catalyst, deoxygenation was carried out at room temperature, 5 min after the mixing of the catalyst with the reaction mixture.

#### 2.3 Catalyst activity studies and product analysis

Catalytic oxidation of cyclohexane (Alfa Aesar, 8.5 g, HPLC grade) was carried out in a glass bench reactor using 6 mg of catalyst in 10 mL of cyclohexane. The reaction mixture was magnetically stirred at 140 °C under 3 bar O$_2$ for 17 hours. Samples of the reaction mixture were periodically analyzed by gas chromatography (Varian 3200) with a CP-Wax 42 column. Adipic acid was converted to its corresponding ester for quantification purposes and chlorobenzene added as internal standard.

### 2.4 Catalyst preparation

Au/MgO catalysts were prepared via impregnation of an aqueous solution (1 mL) of HAuCl$_4$3H$_2$O (JM, assay 49.5%) over MgO (BDH, 1 g), in order to obtain a gold loading of 1 wt%. The suspension was continuously stirred for 30 min. The sample was dried at 120 °C overnight and consecutively calcined at 300 °C for two hours. The same procedure was applied for the preparation at 0.1 and 0.01 wt% Au loading, adjusting accordingly the Au amount in the starting aqueous solution.

### 2.5 Catalyst characterization by XRPD

X-ray powder diffraction patterns (XRPD) were acquired using a X'Pert PANalytical diffractometer operating at 40 kV and 40 mA selecting the Cu-K$_\alpha$ radiation. Analysis of the patterns was carried out using X'Pert HighScore Plus software. In order to enhance the signal for the assignment and determination of line broadening in the Au peaks, XRPD patterns were base line corrected, and the signal-to-noise ratio was increased using a Gaussian filter. The peak identification was carried out using a second derivative algorithm to further enhance the signal. Crystallite sizes for the metal and metal oxide clusters was determined using the Scherrer equation assuming spherical particle shapes and a K factor of 0.89. The line broadening was determined using a Voigt profile function, convoluting the Gaussian and Lorentzian profile part of the reflection peak and the instrumental broadening for the Bragg–Brentano geometry used was estimated to be 0.06° 20.

### 2.6 Catalyst characterization by DR-UV

UV-Vis diffuse reflectance spectra were collected using a Harrick Praying Mantis cell mounted on a Varian Cary 4000 spectrophotometer. The spectra were collected from 900 to 200 nm at a scan speed of 60 nm min$^{-1}$. Background correction was carried out using teflon powder (Spectralon). The sample was mounted on a 3 mm diameter diffuse reflectance sampling cup.

### 3. Results and discussion

#### 3.1 Catalytic tests

Au/MgO was investigated for the cyclohexane oxidation using different gold loadings in the presence and absence of radical initiators (Table 1). It is possible to observe that in the absence of radical initiators (entries 1–3), Au/MgO displays little activity; ca. 2% or less, when compared to autoxidation, which was in the range of ca. 1.1% (entry 4). However, it is evident the effect of gold on the selectivity of the reaction with enhanced cyclohexanol formation up to 50%; an effect that is lost when the amount of gold present in the catalyst is reduced. This is also reflected in variations in the amount of cyclohexyl hydroperoxide (CHHP). In fact, when the gold loading is reduced from 1 to 0.01 wt%, the selectivity to CHHP increases from 7% to ca. 27%, while in the absence of catalyst the selectivity to CHHP was about 57%. These data clearly indicate the influence of gold in the oxidation process, even when the metal is present in low concentrations, and including CHHP decomposition and the possible quenching of some
Table 1 Conversion and selectivity of cyclohexane after 17 hours at 140 °C under 3 bar of O₂ under different reaction conditions. K = cyclohexanone, A = cyclohexanol, CHHP = cyclohexyl hydroperoxide, and AA = adipic acid.

<table>
<thead>
<tr>
<th>Entry</th>
<th>Catalyst</th>
<th>Au loading (wt%)</th>
<th>Initiator</th>
<th>Conversion (%)</th>
<th>Selectivity (K/A) CHHP AA Total K/A ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Au/MgO-Imp 1</td>
<td>—</td>
<td>—</td>
<td>1.9</td>
<td>30 51 7 0 88 0.58</td>
</tr>
<tr>
<td>2</td>
<td>Au/MgO-Imp 0.1</td>
<td>—</td>
<td>—</td>
<td>1.7</td>
<td>35 44 15 0 94 0.8</td>
</tr>
<tr>
<td>3</td>
<td>Au/MgO-Imp 0.01</td>
<td>—</td>
<td>—</td>
<td>1.3</td>
<td>28 36 27 0 91 0.78</td>
</tr>
<tr>
<td>4</td>
<td>Autoxidation</td>
<td>—</td>
<td>—</td>
<td>1.1</td>
<td>19 22 57 0 98 0.86</td>
</tr>
<tr>
<td>5</td>
<td>MgO</td>
<td>—</td>
<td>—</td>
<td>1.4</td>
<td>36 25 4 0 65 1.44</td>
</tr>
<tr>
<td>6</td>
<td>Au/MgO-Imp 1</td>
<td>AIBN</td>
<td>4.5</td>
<td>34 51 6 0 91 0.67</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Autoxidation</td>
<td>AIBN</td>
<td>6.7</td>
<td>32 57 2 0 91 0.56</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Au/MgO-Imp 1</td>
<td>TBHP</td>
<td>5.0</td>
<td>29 50 0 19 98 0.58</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Autoxidation</td>
<td>TBHP</td>
<td>6.6</td>
<td>33 52 0 15 100 0.63</td>
<td></td>
</tr>
</tbody>
</table>

"We detected a closed carbon mass balance within an experimental error of 5%. The missing components are carboxylic acids as ring opening products.

From the XRPD pattern it was also possible to estimate the particle size of gold, using the Au(111) reflection at 38.2°. These were estimated to be ca. 17 and 8 nm for the materials containing 1 and 0.1 wt% Au respectively. In contrast, no gold reflection for the 0.01 wt% Au sample was detected, indicating a particle size below the detection limit of the XRD method, which is ca. 4 nm. Gold nanoparticles were also well identified by means of plasmon resonance via diffuse reflectance UV-Vis spectroscopy (Fig. 2), and the band intensity of the spectra is consistent with the particles size estimation obtained by XRPD; i.e., with gold particles less than 4 nm diameter for the 0.01 wt% Au sample.

A detailed analysis of the diffuse reflectance spectra shows that MgO, even for the untreated sample, presents absorption bands in the UV range at ca. 210 and 280–300 nm. While MgO is commonly regarded as a white standard for DR-UV in the visible region, absorption bands at 213 nm and 282 nm are associated with the excitation of four-fold and three-fold coordinated surface O²⁻ anions at edge and corner positions of MgO crystals respectively. This is a consequence of the use of a commercial purity grade MgO as catalyst support, rather than the use of an optically pure grade MgO.
3.3 Free radical chain mechanism

In order to rationalize the results described above on the behaviour of Au/MgO catalyst, it is important to consider the accepted free radical chain reaction mechanism in the autoxidation case, and the different decomposition pathways for AIBN and TBHP. The commonly accepted radical chain pathway in the formation of cyclohexanol and cyclohexanone is reported in Scheme 2 (eqn (1)–(7)).

The first step of the oxidation is the initiation, which involves activation of the C–H bond via abstraction of an H atom. This can occur by a number of events, including: (i) cleavage by an unsaturated metal centre, (ii) H abstraction by a peroxide species (either peroxyl or alkoxy radicals present in solution), or (iii) H abstraction by a superoxide species (O2•−) bound to metal centres or metal oxides. For each of these processes this results in the formation of a carbon centred parent radical (C6H11•). It is well known that carbon-centred radicals are extremely reactive and they immediately react with O2 to give peroxyl radicals, in our case cyclohexyl peroxyl radical (C6H11–OO•) according to (eqn (2)). In principle, the oxygen incorporated into the products can originate from oxygen dissolved in solution or from adsorbed oxygen species on the metal oxide surface. C6H11–OO• can react further with cyclohexane to give cyclohexyl hydroperoxide (CHHP) and another C6H11• radical, thus ensuring propagation of the reaction (eqn (3)). It should be stressed that, in this scheme C6H11–OO• is the main radical chain carrier, with CHHP reacting in a sequence that finally yields cyclohexanone (eqn (4) and (5)).

In contrast, cyclohexanol can be obtained by hydrogenation of cyclohexyl alkoxy radical C6H11–O• (eqn (6)), cleavage of CHHP (eqn (7)) or via recombination of two peroxyl radicals (eqn (8)). In principle, cyclohexanol can originate from insertion of lattice oxygen from the metal oxide to the C6H11• parent radical adsorbed over the catalyst surface. However, when MgO only was tested, no activity was detected which therefore rules out this latter possible route, and this is also not related to the purity level of MgO.

From this model, it is evident that the ketone is always obtained (eqn (5) and (8)) if autoxidation is operating. Therefore selectivity control, if any, can occur only in the decomposition step of CHHP (eqn (7)). More recently, solvent-cage models have also been proposed for the autoxidation pathways to explain the alcohol and ketone formation.

3.4 Radical initiators

In this context, radical initiators were used to promote the oxidation reaction. However, it is necessary to emphasise that AIBN and TBHP, despite both being radical initiators, operate through a different decomposition pathway and this can help to explain the differences in reactivity observed in the present study. AIBN is thermally decomposed to two cyanopropyl radicals and N2 (Scheme 3). Carbon centred radicals are extremely reactive and they can instigate H abstraction, or they can react further with the oxygen present in the reaction media to form peroxy radicals. The new cyanopropyl peroxy radical can also act as a H abstractor, and therefore initiate the reaction acting on eqn (1).

In contrast TBHP can undergo homolytic cleavage of the O–O bond and from this to peroxyl condensation via the set of equations ((9)–(11)) reported in Scheme 4.

Considering the mechanisms of action for these initiators and the results obtained in the current case (i.e., an enhanced oxidation when initiators are used, but a decrease when...
combined with Au/MgO it would be possible to conclude that Au/MgO can actually quench some of the radicals generated by the initiators, such as cyanopropyl radicals, but at the same time acting as a promoter for the O–O cleavage in the TBHP decomposition; this could also explain the enhanced selectivity to cyclohexanol. It is possible that quenching comes at least in part from the support, with the activation role from the metal nanoparticle counterpart. Many metal oxides, such as MgO, present neutral oxygen vacancies that could possibly aid in the localisation of peroxy species, and so partially inhibit the reaction when initiators are used. A similar quenching effect was observed for ZnO in the aldehyde oxidation by Au/ZnO catalysts. In fact, regardless of the insulator properties of MgO, compared to the semi-conducting properties of ZnO which may increase the tendency to radical quenching, the presence of defects in or on the MgO crystals may facilitate radical trapping and stabilisation. This effect has been experimentally observed for methyl radicals in gas phase.

### 3.5 EPR spin trapping experiments

In order to explain the enhanced selectivity to the alcohol when Au is used, spin trapping was carried out in presence of CHHP using 5,5-dimethyl-1-pyrroline-N-oxide (DMPO) as the spin trap. CHHP was chosen for two reasons: it is a peroxide involved in the cyclohexane oxidation, and it can be prepared free of water, which could interfere in the determinations.

When the reaction was carried out at room temperature in the presence of DMPO as spin trap, CW EPR spectra were acquired in the presence (Fig. 3) and absence (Fig. 4) of Au/MgO. Simulation of the spectrum and comparison with literature values allowed the identification of all the radical intermediates which are expected in the autoxidation pathway of cyclohexane to cyclohexanone and cyclohexanol. In particular these species include: di-tert-butyl-nitroxide derivative, a DMPO–O–C₆H₁₁ spin adduct, and a DMPO–OO–C₆H₁₁ spin adduct, which is possibly a DMPO–C(OH)R₂ species.

### Table 2: Hyperfine splitting constants (in Gauss) for the DMPO spin adducts obtained during the decomposition of CHHP in cyclohexane, in presence and absence of Au/MgO catalyst. The values in bracket are in absence of catalyst, and n.d. = not detected.

<table>
<thead>
<tr>
<th>Radical</th>
<th>a_H/G</th>
<th>a_H/B/G</th>
<th>a_H/S/G</th>
</tr>
</thead>
<tbody>
<tr>
<td>tert-Butyl nitroxide derivative</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C₆H₁₁–O</td>
<td>17.2 (17.2)</td>
<td>7.40 (7.20)</td>
<td>1.50 (1.60)</td>
</tr>
<tr>
<td>C₆H₁₁–OO</td>
<td>14.3 (14.2)</td>
<td>11.00 (11.00)</td>
<td>1.80 (1.90)</td>
</tr>
<tr>
<td>C₆H₁₁</td>
<td>14.3 (14.4)</td>
<td>13.20 (13.40)</td>
<td>2.00 (2.10)</td>
</tr>
<tr>
<td>R₂(OH)C</td>
<td>16.6 (16.5)</td>
<td>11.40 (11.50)</td>
<td>2.20 (2.30)</td>
</tr>
</tbody>
</table>

![Fig. 3 Deconvoluted EPR spectra of DMPO spin adducts obtained during the decomposition of CHHP in cyclohexane in the presence of Au/MgO: (a) experimental spectrum and (b) simulated spectrum; (c) di-tert-butyl-nitroxide derivative, (d) DMPO–O–C₆H₁₁ spin adduct, (e) a DMPO–OO–C₆H₁₁ adduct, (f) DMPO–C₆H₁₁ carbon centred adduct, and (g) carbon centred adduct, which is possibly a DMPO–C(OH)R₂ species.](image URI)

![Fig. 4 Deconvoluted EPR spectra of the DMPO spin adducts obtained during cyclohexane autoxidation at room temperature in the presence of CHHP: (a) experimental spectrum and (b) simulated spectrum; (c) di-tert-butyl-nitroxide derivative, (d) DMPO–O–C₆H₁₁ spin adduct, (e) DMPO–OO–C₆H₁₁ adduct, and (f) carbon centred adduct which is possibly a DMPO–C(OH)R₂ species.](image URI)
C\textsubscript{6}H\textsubscript{11}-OOH + Co(III) → C\textsubscript{6}H\textsubscript{11}-OOO + H\textsuperscript{+} + Co(II) \hspace{1cm} (eq. 12)
C\textsubscript{6}H\textsubscript{11}-OOH + Co(II) → C\textsubscript{6}H\textsubscript{11}-O + \cdot OH + Co(III) \hspace{1cm} (eq. 13)

Net equation:
2C\textsubscript{6}H\textsubscript{11}-OOH → C\textsubscript{6}H\textsubscript{11}-OOO + C\textsubscript{6}H\textsubscript{11}-O + H\textsubscript{2}O \hspace{1cm} (eq. 14)

Scheme 5 Haber–Weiss cycle for the oxidation of cyclohexanol mediated by Co(m).

parent radical is not detected in the autoxidation case. In contrast, the most remarkable difference between the two sets is an increased quantity of alkoxy (C\textsubscript{6}H\textsubscript{11}-O\textsuperscript{+}) species, from 50\% to 80\% when gold is used. This indicates that Au/MgO is capable of enhancing alcohol formation by cleavage of the O–O bond of CHHP.

However, as the spin trapping technique is prone to artifacts, control tests were necessary and we carefully carried out. In fact, spin adducts can be formed not just by the radical addition to a spin trap, but also by nucleophilic addition followed by oxidation of the spin adduct\textsuperscript{69,70} or by oxidation of the spin trap followed by nucleophilic addition, in our case by species such as \cdot OH. Control tests in the presence of Au/MgO and the spin trap, but in the absence of substrate, did not reveal any trace of the DMPO oxidation product.\textsuperscript{71} Moreover, the trace amount of di-\textit{tert}-butyl-nitroxide derivative should be considered ubiquitous in these types of experiments and can be discounted.\textsuperscript{28,61}

On the other hand, no DMPO-OH adduct was detected in the tests we carried out. If homolytic cleavage of a substrate is considered, it is not unprecedented, by using spin trapping, to detect just one of the two expected partners (in our case RO\textsuperscript{+}). This could be due to a failure of the spin trap molecule to capture the *OH species under the reaction conditions used, or by termination of *OH on the metal surface. In addition, it is known that *OH is among the most reactive known radical species,\textsuperscript{72} and therefore difficult to trap.

This still does not preclude a reox cycle mediated by the metal centre. At present, this has been accredited in the case of oxidation by means of Co(m) salts in agreement with the Haber–Weiss cycle,\textsuperscript{73} (Scheme 5, eqn (12)–(14)).

No adduct from nucleophilic attack and oxidation was detected when Au was present. Moreover, systems like those in eqn (12)–(14) have a K/A ratio in the range of 1.5,\textsuperscript{21} while in our case the K/A ratio is in the range of 0.6 with clear selectivity to the alcohol, therefore supporting the conclusion that gold has to operate a homolytic cleavage of CHHP in agreement with eqn (7).

3.6 Effect of CBrCl\textsubscript{3} as radical scavenger

In view of the data reported so far, supported gold nanoparticles appear to be capable of accelerating the reaction rate (although to a minor extent) but within the autoxidation pathway, i.e., without inducing alternative reaction mechanisms or intermediates from those that would be expected from the free radical chain mechanism. In order to test this hypothesis, a reaction in the presence of CBrCl\textsubscript{3} was carried out. CBrCl\textsubscript{3} can act as radical scavenger by cleavage of the C–Br bond by carbon centred radicals.\textsuperscript{74} Therefore, CBrCl\textsubscript{3} is capable of reacting with the parent C\textsubscript{6}H\textsubscript{11}-\textsuperscript{*} radical to yield to C\textsubscript{6}H\textsubscript{11}-Br and thus quench the reaction.

When CBrCl\textsubscript{3} was used (Fig. 5) the reaction was completely inhibited at the initial stage (first 2 hours). Then, as long as CBrCl\textsubscript{3} was consumed in the reaction media, with consequent C\textsubscript{6}H\textsubscript{11}-Br formation which is detected after ca. 30 min, product formation is observed. In particular, when all CBrCl\textsubscript{3} was consumed (after 2 hours), the oxidation reaction newly started as in the absence of inhibitor, thus demonstrating that Au/MgO promotes the decomposition of CHHP but within a free radical-chain reaction mechanism.

4. Conclusions

The current literature on the use of Au based catalysts in cyclohexane oxidation describes the role of Au to be that of either a true catalyst or a mere promoter for the reaction. The present study shows a situation which should be considered as intermediate within these two extremes. In fact, Au is capable of accelerating the reaction, without the need for initiators, and so it is by definition a catalyst for the cyclohexane oxidation, but this acceleration occurs by increasing the concentration of species (through C\textsubscript{6}H\textsubscript{11}-OOH or C\textsubscript{5}H\textsubscript{11}-OO\textsuperscript{+}) which are chain carriers in the radical pathway of the reaction and therefore promote catalytic autoxidation processes via a radical-chain mechanism. These findings can shed light on the use of gold catalysts for alkane oxidations showing that selectivity control is possible but with a limited effect on the conversion of the reaction.

Acknowledgements

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Notes and references
