1. Introduction

The hydrochlorination of acetylene using HCl is an important industrial route to the manufacture of vinyl chloride monomer (VCM), which is the building block for polyvinyl chloride. It was previously shown that Au/C catalysts can be the best catalysts to carry out this reaction using the direct acetylene hydrochlorination route. However, the catalyst was found to deactivate, possibly by reduction of Au species to Au0, when the reaction is carried out in the 120–180 °C temperature range, and by oligomer formation over its surface when the reaction is carried out in the 60–100 °C temperature range. At present, most VCM is obtained by an oxychlorination reaction using ethylene, O2, and Cl2 as reactants. Due to the current increase in energy cost, coal-based derived feedstocks, like acetylene, are now becoming economically advantageous. Current industrial catalysts for the direct hydrochlorination reaction make use of mercuric chloride, and the efficiency of this VCM manufacture route is severely affected by the volatility of this metal chlorinated salt. These factors prompted us to explore the nature of the active species present over Au/C catalysts with the aim of identifying which of them are responsible for the catalytic activity. Previous studies showed that it was possible to regenerate the Au catalyst using Cl2 and NO. Alternatively, it was possible to demonstrate that an off-line treatment with aqua regia was also an effective regeneration method. However in all these cases the attention was on the sole change of the gold oxidation state without considering possible modifications of the support, as well as postulating Au3+ species on top of Au nanoparticles as active sites for the reaction, without providing clear insight into the possible correlation between the presence of an excess amount of Au3+ and the catalytic activity.

This prompted us to investigate the Au/C catalysts by a series of sequential treatments using H2 as a reducing agent and aqua regia as an oxidizing agent, in order to identify the role of Au3+ and Au0 in the nanoparticles deposited over the carbon support with respect to catalytic activity. The catalysts were therefore investigated by means of high resolution X-ray photoelectron spectroscopy complemented with temperature programmed reduction analysis, the latter being a bulk technique capable of quantifying oxidized gold species and changes in the carbon functional groups that could also affect the final reactivity of the catalyst, in the presence of active gold centers at the Au/C interface. In addition, porosimetry determinations were also carried out, as this is proven to be a useful tool for the determination of carbon textural properties. In fact, an aspect so far neglected in previous studies is the influence of oxidizing...
acids in the impregnating mixture that was used to prepare the catalyst. This could affect the formation of oxygenated species over the carbon surface\textsuperscript{11} as well as the pore structure of the carbon,\textsuperscript{12} with possible effects on the reusability of this material.

As Au/C catalysts are widely used for reactions other than hydrochlorination \textit{e.g.} partial oxidation of alcohols\textsuperscript{13,14} and hydrocarbons,\textsuperscript{15,16} we foresee that this work may have a broader application to catalyst design for such reactions.

2. Experimental

2.1 Catalyst preparation

All the catalysts were prepared by a wet impregnation method. The gold precursor, H\textsubscript{2}AuCl\textsubscript{4}·xH\textsubscript{2}O (Alfa Aesar, 40 mg, assay 49%), was dissolved in \textit{aqua regia} (3 : 1 HCl (Fisher, 32%) : HNO\textsubscript{3} (Fisher, 70%) by volume, 5.4 ml) and the solution added dropwise with stirring to the activated carbon support (Norit ROX 0.8) (1.98 g) in order to obtain a catalyst with a final metal loading of 1 wt%. Stirring was continued at ambient temperature until NO\textsubscript{x} production subsided, approximately 10 minutes. The product was dried for 16 h at 140 °C and used as a catalyst.

Catalyst oxidation was carried out by stirring the material in a minimum amount of \textit{aqua regia} to obtain a slurry at room temperature for 10 min (\textit{i.e.} until NO\textsubscript{x} production subsided) followed by drying overnight at 140 °C.

Due to the nature of the catalysts preparation procedure used, wet impregnation,\textsuperscript{17} no filtration of the carbon or catalyst washing was carried out, and the metal loading should be considered as equal to the nominal amount of metal impregnated into the support.\textsuperscript{18}

2.2 Catalytic tests and characterization of the products

Catalysts were tested for acetylene hydrochlorination in a fixed-bed glass microreactor. Acetylene (5 mL min\textsuperscript{-1}, 0.5 bar) and HCl (6 mL min\textsuperscript{-1}, 1 bar) were fed through a mixing vessel and a preheater (70 °C), and further mixed in a N\textsubscript{2} flow (10 mL min\textsuperscript{-1}, 1 bar) via calibrated mass flow controllers in a heated glass reactor containing catalyst (200 mg), with a total GHSV of 1000 h\textsuperscript{-1}. A reaction temperature of 180 °C was chosen, and blank tests using an empty reactor filled with quartz wool did not reveal any catalytic activity, even at 250 °C with the reactants under these flow conditions, SiC (2 \times 2.5 g) was used to extend the bed length, above and below the catalyst itself, separated by quartz wool. The pressure of the reactants, HCl, C\textsubscript{2}H\textsubscript{2} and N\textsubscript{2}, was chosen both for safety reasons and to test the catalyst under mild conditions. The gas phase products were analyzed on-line by GC using a Varian 450GC equipped with a flame ionisation detector (FID). Chromatographic separation and identification of the products were carried out using a Porapak N packed column (6 ft \times 1/8” stainless steel).

2.3 Characterization of the catalyst

2.3.1 Temperature programmed reduction. Temperature programmed reduction (TPR) analysis was carried out on a Thermo TPD/R/O 1100 Series instrument equipped with a thermal conductivity detector (TCD), recording the signal in mV. The sample (100 mg) was heated up to 800 °C at a ramp rate of 5 °C min\textsuperscript{-1}, under a flow of hydrogen (10% in Ar, 20 mL min\textsuperscript{-1}) for the reduction and the oxidation step respectively. Calibration of the detector, and hydrogen consumption, was carried out from the integrated TPR (TCD) signal using CuO as a standard\textsuperscript{19} (Sigma-Aldrich, 10 mg), subject to the same temperature program used for the Au/C catalysts. The Au\textsuperscript{3+} amount is reported as a ratio of the Au\textsuperscript{3+} to the total Au amount and the absolute amount in mmol (see ESI\textsuperscript{†}).

Peak integration was carried out using SpecView Software. The thermogram was subjected to baseline correction and the area integrated using a cumulative counts algorithm (Fig. S1, ESI\textsuperscript{†}).

CO\textsubscript{2} from decarboxylation reactions was qualitatively identified by heating the carbon in a He/H\textsubscript{2} atmosphere and collecting the effluent gases in a saturated solution of BaCl\textsubscript{2}·2H\textsubscript{2}O, the formation of a BaCO\textsubscript{3} precipitate was detected.

2.3.2 Scanning electron microscopy. Scanning electron microscopy (SEM) and energy dispersive X-ray (EDX) analyses were performed using a Carl Zeiss EVO-40 microscope and an Oxford instrument SiLi detector respectively. Samples were mounted on aluminium stubs using adhesive carbon discs. EDX data were averaged from multiple overscans and spot analysis is considered representative of the sample. SEM was used to analyse the morphology of the samples, while SEM-EDX to determine the chemical composition of the samples.

2.3.3 X-ray photoelectron spectroscopy. X-ray photoelectron spectroscopy (XPS) was performed with a Kratos Axis Ultra DLD spectrometer using a monochromatised AlK\textsubscript{α} X-ray source (120 W) with an analyzer pass energy of 160 eV for survey scans and 40 eV for detailed elemental scans. Binding energies are referenced to the C(1s) binding energy of carbon, taken to be 284.7 eV. As it is well known that cationic Au species can be reduced to the zero-valent state by secondary electron emission during XPS analysis, the Au(4f) region was recorded at the beginning and end of the analysis.\textsuperscript{20} The Au\textsuperscript{3+} and Au\textsuperscript{0} amounts are reported as the percentage of the total Au amount, and the absolute amount in mmol (see ESI\textsuperscript{†}).

2.3.4 X-ray powder diffraction. X-ray powder diffraction (XRPD) spectra were acquired using a X’Pert PANalytical diffractometer operating at 40 kV and 40 mA selecting the CuK\textsubscript{α} radiation. Analysis of the spectra was carried out using X’Pert HighScore Plus software. Particle size was determined using the Scherrer equation assuming spherical particle shapes and a \(K\) factor of 0.89. The line broadening was determined using a Voigt profile function convoluting the Gaussian and Lorentzian profile part of the reflection peak and the instrumental broadening for the Bragg–Brentano geometry used was estimated to be 0.06° 2θ.

2.3.5 Surface area and porosimetry determination. Surface area and pore size analysis was determined by N\textsubscript{2} adsorption at 77 K using a Quantachrome Autosorb AS1. Samples were degassed for a minimum of 24 h at 120 °C before the analysis. Surface areas were evaluated using the BET method and the pore size distribution was determined by the Barrett–Joyner–Halenda (BJH) method.\textsuperscript{21} The microporous surface area and the external surface area (the area of those pores which are not micropores) were determined by the \(t\)-plot.
3. Results and discussion

3.1 Correlation between the catalytic activity and gold oxidation state by TPR determinations

In order to verify the effect of oxidized gold species on Au/C catalysts, a catalytic test was carried out with a fresh catalyst (1 wt% Au) and with this material reduced in a H₂ atmosphere prior to the hydrochlorination reaction (Fig. 1). The fresh catalyst displayed a conversion to vinyl chloride monomer of ca. 60% with a selectivity virtually of 100% to VCM, with trace amounts (<0.1%) of 1,2-dichloroethane and chlorinated oligomers only. In contrast, for the catalyst pre-treated in H₂ the activity was limited to only ca. 10% conversion.

In order to assess the differences in the Au species for the fresh and the pre-reduced catalyst, temperature programmed reduction (TPR) was used (Fig. 2). It is known that Au³⁺ can reduce in the range of 120–180 °C, and that the catalyst can form oligomers over its surface in the temperature range of 60–100 °C. However, the analyses carried out to date were all focused on pure changes of the oxidation state of the metal. In contrast, by means of TPR we can also probe changes in the carbon support matrix, and therefore acquire a more accurate picture of the catalyst requirements to be active in the case of metal–support interaction. For our catalysts, the fresh Au/C material presents a characteristic reduction band between 230 and 300 °C (with center at 267 °C, Fig. 2 and Fig. S2, ESI†), which is diagnostic of Au⁴⁺. Comparison of the TCD signal with a standard permits an estimate of the Au³⁺ amount to be ca. 26% of the total Au loading. In contrast, TPR of the pre-reduced catalyst showed that no Au³⁺ species remained as this reduction band was absent. Control tests with the carbon support without Au showed that the active Au/C catalyst was identical to that of the support. This residual activity of the carbon can arise from the presence of trace amounts of K⁺ and Al³⁺ in the carbon matrix, as these metals can display some activity to the hydrochlorination reaction of acetylene. These impurities were identified using SEM-EDX (see ESI†, Fig. S3 and S4) and the following catalyst atomic composition was determined: C 86.44%, O 9.69%, Na 0.11%, Al 0.05%, Si 0.13%, S 0.22%, Cl 2.34%, K 0.04% and Au 1.04%.

In view of this, and to evaluate systematically the effect of Au³⁺ centres on the catalytic activity, the catalyst was treated off-line with *aqua regia*. We have previously demonstrated that a short *aqua regia* treatment of the catalyst can regenerate the catalytic activity without loss of gold metal (as determined by atomic absorption spectroscopy). This was the case also for the Norit carbon support used in the present study, and the catalytic activity was recovered (Fig. 2). More importantly, if the catalyst was subjected to a reduction treatment the catalytic activity was again lost, but if the catalyst was re-oxidized it was able to recover its original activity without any apparent conversion loss when compared to the fresh catalyst.

Using TPR we were able to monitor the amount of Au³⁺ present at each step of the reduction–oxidation cycles, and it is clearly possible to observe that at every oxidation Au⁴⁺ is restored, and catalytic activity is present, while at each reduction Au³⁺ is absent, and negligible catalytic activity is detected accordingly (Fig. 2). This is an important result, because it shows a high degree of reversibility of the Au³⁺–Au⁴⁺ system, as well as it implies that the catalyst can support a high number of regeneration by sequential oxidation–reduction, and this could be extremely useful in an industrial context.

An important aspect, in the use of TPR tools for the analysis of the catalysts reported in this study, is that they allow the determination of not just the gold oxidation state, and quantification of the bulk Au⁴⁺ amount, but also changes in structural groups present in the carbon matrix. In fact, it should be stressed that activated carbon is not a matrix constituted of carbon only as an element, but it has a complex structure characterized by the presence of oxygen in the form of carboxylic, ester, ether and lactone groups as well as heteroatoms such as phosphorus nitrogen or sulphur, present as phosphates, amines and thiols.
This was evident from careful analysis of the TPR profiles, where the oxidation step in the presence of \textit{aqua regia} not only regenerated Au$^{3+}$, but also affected the oxidation of the carbon support (Fig. 2). From the thermograms (Fig. 2), it is inferred that bands in the range from 450 to 800 °C are assigned to decarboxylation reactions of oxygenated carbon functional groups at the carbon surface, which releases CO and CO$_2$ with concomitant reduction of oxygenated groups by H$_2$ in the TPR stream. More specifically, decarboxylation and reduction of oxygenated functional groups in the range of 400–650 °C are attributed to carboxylic acids and carboxylic anhydrides, while bands above 650 °C are usually assigned to lactones and phenols. Therefore while the pre-treatment of the catalyst in H$_2$ also reduced the surface carboxylic groups on the carbon, the treatment with \textit{aqua regia} is capable of inducing carboxyl functionality of the carbon surface as well as Au$^{3+}$ recovery. This effect on the carbon matrix should be considered a consequence of the presence of HNO$_3$ in the regeneration step. In fact, this acid is known to induce modifications on the carbon surface inducing oxygenated functional groups, as well as changes in the textural properties of the carbon support and this was confirmed by XPS and porosimetry (this will be discussed subsequently in Sections 3.2 and 3.3).

It is worth noting that previous studies on Au/C catalysts for hydrochlorination$^{15,29}$ showed a monotonic deactivation trend ascribed to the reduction of Au$^{3+}$ to Au$^0$ and not an activity trend with enhanced activity per time on stream, like the one we are detecting in the current study. On the other hand, for the catalyst reported in the present study, XRPD patterns (Fig. S5, ESI†) permit an estimate of the mean particle size to be around 20 nm while in previous cases catalysts having an average particle size in the range of 4–5 nm were used, and this could affect the observed trend. Additional tests on the differences in drying temperature that could affect the different particle size and the metal/support interface were also carried out. Two catalysts were prepared at a drying temperature of 110 and 180 °C (Fig. S6, ESI†). The latter was chosen because it is the same as the reaction temperature, in order to evaluate if the conversion trend could be affected by the temperature itself. The catalyst dried at 180 °C has a similar trend to those dried at 110 °C, in contrast, the one dried at 140 °C is markedly different with an increase in activity from ca. 10% at the beginning of the reaction to up to 70% after 5 h of time on stream. It is possible that modifications of the Au/C interface, also induced by the particle size, are responsible for the observed phenomenon. XRPD patterns for the set of catalysts dried at 110, 140 and 180 °C (Fig. S5, ESI†) showed a particle size distribution centered at <2 nm, 20 and 3 nm, respectively, suggesting that particles that are too small could not be active for the reaction. On the other hand, previous studies showed that under reaction conditions, the catalyst can be affected by a small, yet detectable, degree of sintering$^{29}$.

3.1.1 Excess amount of Au$^{3+}$. A further important aspect of the analysis of the Au$^{3+}$ content is to assess if there is a threshold beyond which the amount of Au$^{3+}$ is uneffective, or up to which extent the amount of Au$^{3+}$ present in the catalyst can influence the catalytic activity. In fact, while there is a clear distinction between the reduced Au/C catalysts with no Au$^{3+}$ (which are not active for the reaction), and the oxidized catalyst with an amount of Au$^{3+}$ (which are active for the reaction), by analyzing the amount of Au$^{3+}$ for the fresh catalyst, the catalyst after first oxidation, and the catalyst after second oxidation (Fig. 2), the Au$^{3+}$ content was ca. 26, 36 and 40% respectively. This would suggest that despite Au$^{3+}$ being clearly needed for the reaction, above a given limit an excess of Au$^{3+}$ does not increase the activity further. In view of this, we prepared a set of catalysts using a different HCl/HNO$_3$ ratio in the starting impregnation mixture spanning from 0 to 0.5 HNO$_3$ volume fraction. In fact in HCl/HNO$_3$ mixtures HNO$_3$ is responsible for changes in the oxidation state of gold, as well as textural properties of the carbon.$^{24}$ The Au$^{3+}$ content was determined for each catalyst by means of TPR, and compared with the corresponding catalytic activity after 5 h (Fig. 3 and Fig. S7 and S8, ESI†). Interestingly, a volcano plot is obtained (Fig. 3 and Fig. S7, ESI†), centred at the \textit{aqua regia} composition (0.25 HNO$_3$). This shows that if the amount of Au$^{3+}$ increases (in the range of 0 to 0.25 HCl/HNO$_3$) the activity also increases. However, if the amount of Au$^{3+}$ increases further, no actual gain in activity is obtained but rather a decrease in conversion. It should also be stressed that in this set of experiments at a different HCl/HNO$_3$ ratio, an increased Au$^{3+}$ amount was obtained in line with the increased HNO$_3$ amount, rather than using a repeated \textit{aqua regia} treatment as it was done initially. This result would suggest that the most active catalyst is not necessarily the material with the highest Au$^{3+}$ content, but possibly the material that has Au$^{3+}$ at the appropriate catalyst sites. Thus suggesting the existence of active Au$^{3+}$ sites at the Au/C interface and not just at the surface of Au nanoparticles as previously postulated.$^{24}$

3.2 X-ray photoelectron spectroscopy and particle size distribution

In order to correlate bulk changes of the catalyst structure with the surface of gold nanoparticles, X-ray photoelectron spectroscopy (XPS) was systematically carried out for all these samples
Fig. 4 XPS profiles of Au/C catalysts subject to reduction and oxidation cycles, (A) fresh catalyst, (B) reduced catalyst, (C) first catalyst oxidation, (D) second catalyst reduction, (E) second catalyst oxidation, (F) third catalyst reduction and (G) third catalyst oxidation.

(Fig. 4 and Fig. S9 to S15, ESI†). It should be noted that where more than one Au species was evident, curve fitting was employed to determine the ratio of each species. As shown in Table 1, the XPS results confirm the TPR measurements and indicate that the deactivated catalysts contain Au⁰ only. Similarly, for the active catalysts, the Au³⁺ content was found to follow the same general trend obtained from TPR. Quantification of the Au³⁺ content reveals a fluctuation between ca. 12 and 25% of the overall
gold content after regeneration (Table 1 and Table S1, ESI†), however regardless of the overall amount all catalysts are capable of reaching similar conversion values of ca. 60%. This result may further indicate that it is not just the presence of Au3+ which is responsible for the observed reactivity, but also the location of such species (the Au3+ amount obtained by XPS are always lower than those obtained by TPR as the former is a surface method, while the latter a bulk technique). The observed increase in surface oxygen content (Table 2) after each regeneration cycle is a consequence of the oxidation effect of the nitric acid in the aqua regia regeneration medium.24,30 and could be responsible for stabilising the high Au3+ oxidation state, particularly as there is no decrease in the surface oxygen content of the reduced samples, together with the absence of any Au0-s species.

However, the most important feature of the XPS spectra is possibly that in the preparation of these catalysts small metallic gold clusters (hereafter labelled Au0-s) are also formed, the binding energy of which is ca. 1 eV higher than the Au(4f) binding energy for the majority of the Au0 species.31,32 (Table 2 and Fig. 4). As we as well as other researchers20 have previously observed, Au0 will also reduce to Au3+, which is not observed by prolonged X-ray analysis here. However, we consider the Au0-s species to be nothing more than a spectator species, since the reduced catalysts also contain these Au0-s nanoclusters and are inactive, although small gold clusters are active in a range of reactions such as CO oxidation.33

Therefore, considering the XPS and XRD reported in this study, as well as previous work, these data could suggest a particle size range for the particles activity from 5–20 nm. It should also be underlined that the apparent decrease in Au content detected from XPS analysis is an effect induced by pore changes in the carbon structure by nitric acid,16,17 and therefore gold particles are present also inside the carbon pores and not just at the surface of these. In fact when SEM-EDX was used in view of the major penetration depth of this method a composition close to 1% was detected.

### 3.3 Textural properties of the Au/C catalysts

To corroborate these experimental results, porosimetry determinations were carried out on an untreated carbon support, a fresh catalyst and an catalyst after oxidation treatment with aqua regia.

Adsorption of N2 resulted in the formation of a type 1 isotherm typical of microporous material (Fig. S16 and S17, ESI†). The narrow pore size distribution curves we obtained are indicative of uniform pore channels in the small mesopore to micropore region. Application of the BJH method shows that the average pore size is in the range of 2–3 nm but given the high N2 uptake at P/P0 < 0.2 the material likely occupies the microporous to mesoporous range (1–2 nm). The untreated carbon has the lowest surface area of 1153 m2 g⁻¹ and the lowest total pore size volume of 0.5318 cm³ g⁻¹ (Table 3). Treatment of the catalyst with acid appears to decrease microporosity indicated by the decrease in the Smic and an increase in Sext, which accounts for the external surface area and mesoporous contribution. The microporosity of the catalyst is further decreased by oxidation. It has been shown previously20,24 that oxidation and acid treatment of activated carbon result in a decrease in the microporous volume due to the formation of surface oxides at the micropore entrance. It was also shown that strong oxidation can result in a total loss of surface area due to destruction of the pore walls and network.12 The blocking of micropores as a result of Au addition should also be considered. These factors have been so far fully neglected in the acetylene hydrochlorination reaction, and they suggest that they could be important for the long term usability of this catalyst.

### 4. Conclusions

It has been confirmed that the activity of Au/C catalysts for the hydrochlorination reaction is invariably associated with the presence of Au3+ species, although our data suggest that the final activity can also be a consequence of the Au17 location, and not just its concentration, as an excess amount of Au3+ does not contribute to the reaction, with important implications in the catalyst design. In addition, the current work provides insight...
into the active particle size distribution for this catalyst for this reaction, showing that Au nanoclusters are not active for this reaction. This will have important implications in the catalyst preparation targeted to obtain nanoparticles >1 nm and possibly centered at the size range of 5–20 nm. The catalysts also showed a large extent of reusability, although changes in textural properties of the carbon were detected.

Acknowledgements

The authors thank Johnson Matthey Plc and World Gold Council for financial support.

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