

# Temperature dependent behavior of the optical gain and electroabsorption modulation properties of an InAs/GaAs quantum dot epistructure

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## ABSTRACT

In this work, the feasibility of a monolithically integrated laser and electroabsorption modulator based on the same active quantum dot epistructure is studied. The net modal gain and the absorption in the modulator were measured using the segmented contact method from 25 °C to 125 °C. The maximum of the net modal gain active region of the laser decreases from 10 cm<sup>-1</sup> at 25°C to 3.9 cm<sup>-1</sup> at 125 °C. The non-optimized maximum extinction ratio of the modulator, 4.1 dBmm<sup>-1</sup>, is almost constant until 25 °C. The wavelength at which the net modal gain and the change in absorption are maximum, shift with temperature by 0.04 eV.

## 1. INTRODUCTION

InAs quantum dot (QD) based devices have been extensively studied in the past decades [1]. Most of the work was done in QD lasers with only a few photodetectors and modulators reported. The majority of those devices were studied in a discrete form. Currently, there is not any in-depth study of the monolithic integration of different QD devices towards a final photonic integrated circuit (PIC). To achieve this integration, the growth and fabrication of monolithically integrated QD devices can be significantly simplified if the same QD epistructure is used across different active devices. Two devices that can be integrated are the laser and the modulator. This work determines the degradation with temperature of this solution when using QDs in the active regions.

Lasers based on QDs offer ultra-low threshold currents, high threshold temperature insensitivity [1], and large tolerance to optical feedback [2]. This tolerance may obviate the need of an isolator at the output of the laser. Another interesting property of QD lasers is that they are more tolerant to temperature and radiation than devices based on quantum wells [3], [4]. Furthermore, the operational wavelength of the laser can be tuned using a Distributed Feedback (DFB) or Distributed Bragg Reflector (DBR) due to the broad gain spectrum of QDs.

One of the most used effects in electroabsorption modulation is the quantum confined Stark effect (QCSE). The QCSE was studied in InAs quantum dots [5-9] and there are several modulator designs [10-11]. To the best of our knowledge, most of them were not optimized to maximize device performance, *E.g.* Lin et al. demonstrated an InAs QD electroabsorption modulator with a bandwidth of 3.3 GHz at 1300 nm in [10]. Another example is [11] where a maximum bit-rate of 2.5 Gbs<sup>-1</sup> is reached.

There are several lasers and few modulators using QDs in the literature. Their properties were studied for each discrete device, often using different quantum dot structures. In this paper, we address the feasibility of monolithically integrating a QD laser and modulator based on the same active QD epistructure and we study its performance with temperature. For this, we measured the net modal gain and the absorption in the same QD epistructure using the segmented contact method. The net modal gain was measured in forward bias while the change in absorption was measured in reverse bias to exploit the QCSE. The behavior of both devices was studied from 25°C (298K) to 125°C (398K) to evaluate its performance for potential use in high temperature environments.

## 2. DEVICE FABRICATION AND MEASUREMENT METHOD

The measurements of the net modal gain of the laser and the absorption in the modulator were performed in the same QD epistructure shown in Fig. 1(a). The InAs/GaAs epistructure was grown by molecular beam epitaxy on n-type GaAs. We employed 5 dot-in-a-well layers (DWELLS), with InAs dots areal density of 5x10<sup>10</sup> cm<sup>-2</sup>. The

grown InAs dots are shown in Fig. 1(b). The intrinsic region of the 5 DWELLS and barriers correspond to a total thickness of 300 nm. The QD active region is placed between two  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$  cladding layers.

To perform the measurement of the net modal gain and absorption, the segmented contact method [12] was employed. Gold multi-section contacts were fabricated with a width of 100  $\mu\text{m}$  and a length of 300  $\mu\text{m}$ . The sections were isolated electrically from each other by etching into the p-doped GaAs and  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$  cladding to a total etch depth of 700 nm. Before performing the measurement, the segmented contact device was mounted on a copper block and wire-bonded to a TO8-can.

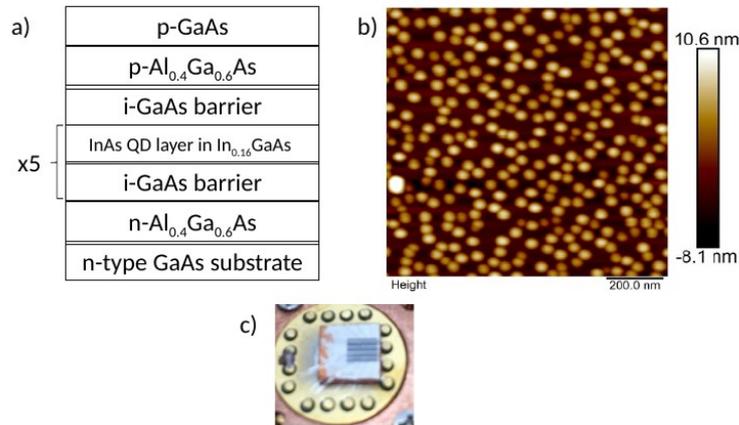


Figure 1: a) schematic representation of the QD epilayer used in this study b), AFM images of QDs before capping of a typical device, c) image of a the segmented contact device used in this study, mounted on a copper block and wire-bonded to a TO8-can.

### 3. GAIN AND ABSORPTION MEASUREMENTS

In this section, we present the measurements of the monolithically integrated laser and modulator active regions. First, the net modal gain and the absorption of the modulator were measured in the same QD epilayer at room temperature. The measurements are shown in Fig. 2. Then, we studied the behavior of the monolithically integrated active sections from 25  $^{\circ}\text{C}$  to 125  $^{\circ}\text{C}$ .

The net modal gain for the measurement at room temperature is shown in Fig. 2(a) The forward bias current applied goes from 40 mA to 180 mA (133 to 600  $\text{A}\cdot\text{cm}^{-2}$ ) at 25  $^{\circ}\text{C}$  (298 K). The absorption of the QD active region is shown in Fig. 2 (b). In that figure, the absorption measurement of the same QD epilayer as a function of reverse bias at 25 $^{\circ}\text{C}$  (298K) is shown. It is possible to observe that the absorption at the band edge increases with reverse bias. The QCSE linear redshift goes from 955.8 meV to 946.4 meV and it is in the same order of magnitude found in previous studies [7], [10]. The maximum extinction ratio is 4.1 dBmm $^{-1}$  and it is in agreement with measurements performed in [10] and [11].

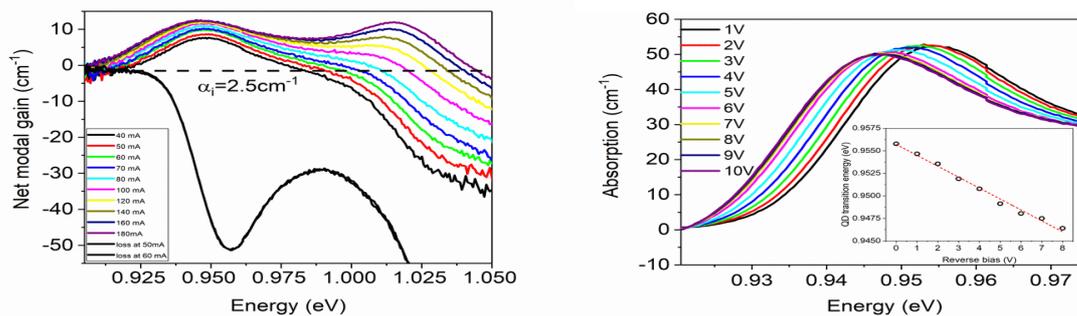


Figure 2: (left) Net modal gain obtained at 25  $^{\circ}\text{C}$  (298 K) for a range of current and (right) measured absorption for reverse bias from 0 V to -10 V. The inset represents the QD transition energy as a function of reverse bias.

In Fig. 2, it is possible to observe that the maximum change in absorption in the modulator and the maximum net modal gain are at slightly different energy. This represented a trade-off between the efficiency of the laser and the efficiency of the modulator. Due to the broad spectrum of the QD active regions, it will be possible to design the DFB or DBR at a slightly different wavelength to select the best trade-off regarding the efficiencies.

Another factor that may influence the total efficiency is the temperature dependence of the band-edge. To study the change in the trade-off between modulation and laser efficiencies we performed the same

measurements of net modal gain and absorption between 25 °C to 125 °C. The results are shown in Fig. 3 where the evolution of the net modal gain and the change of absorption  $\Delta\alpha_i$  are combined. The change in absorption was performed using a voltage sweep  $\Delta V_{pp}$  of 3V at a constant reverse bias of -3.5 V. To maximize the efficiency, the operational wavelength of the laser will ideally match the wavelength where the highest extinction ratio is obtained in the modulator. The broad gain peak characteristics of QD based structure will be beneficial to match this wavelength. This tuning could be easily achieved by using a DFB or DBR to tune and control the emission wavelength.

Examining the trend of the maximum in the net modal gain, it was found that it decreases with increasing temperature, from 10  $\text{cm}^{-1}$  at 25 °C (298K) to 3.9  $\text{cm}^{-1}$  at 125 °C (398K). The lowest gain of 3.9  $\text{cm}^{-1}$  is sufficient to achieve lasing in a Fabry–Pérot cavity of 3 mm with 30% reflective cleaved facets. The measured extinction ratio in the modulator is 4.1  $\text{dBmm}^{-1}$ . This value is maintained over range 25 to 125 °C. The extinction ratio has a DC reverse bias voltage of -3.5 V and a voltage swing  $\Delta V_{pp}$  of 3V. The maximum of the net modal gain and the extinction ratio shift with temperature by a similar amount.

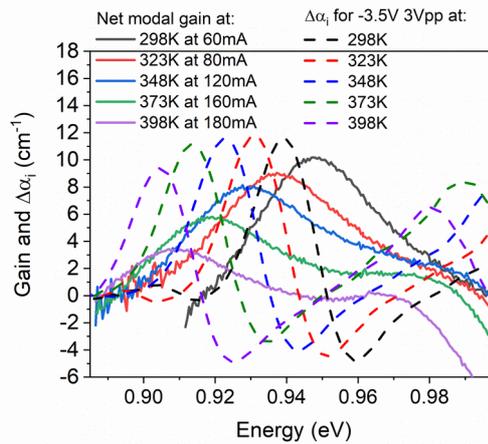


Figure 3: Change in net modal gain and absorption of the QD active section.

## CONCLUSION

We studied the possibility of monolithically integrating a laser and a modulator with the same QD epitaxial structure. Properties of the QD's active region were studied as a function of temperature. The net modal gain was reduced from 10  $\text{cm}^{-1}$  at 25 °C to 3.9  $\text{cm}^{-1}$  at 125 °C, such values fall within the tolerance sufficient to achieve lasing. Regarding the modulator, it will have a near constant extinction ratio of 4.1  $\text{dB}\cdot\text{mm}^{-1}$  from 25 °C to 125 °C. The maximum extinction ratio can be further improved when using the QDs in a waveguide. It was found that the wavelength at which the net modal gain is maximum and the wavelength at which the extinction ratio is maximum shifts by a very similar amount. This opens the possibility to use this active region in devices that may operate at high temperatures.

## ACKNOWLEDGEMENTS

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